The interference channel

Natasha Devroye, Associate Professor

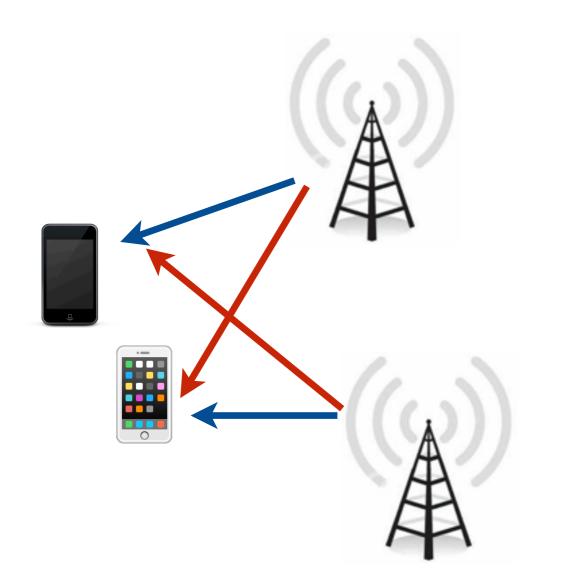
RICA

Joint work with Alex Dytso, former Ph.D. student, postdoc at Princeton

148 slides

Daniela Tuninetti, Associate Professor

Zhiyu Cheng, former Ph.D. student

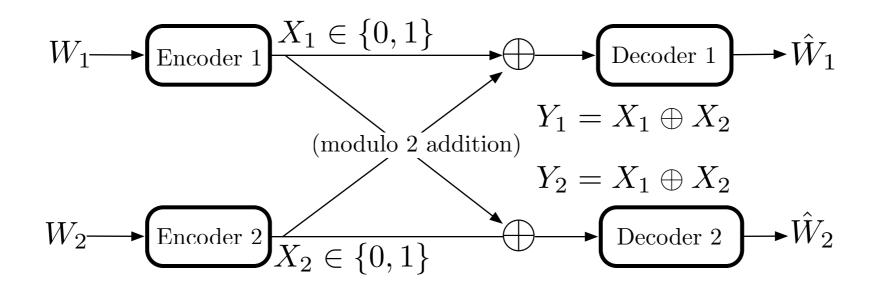


What to do?

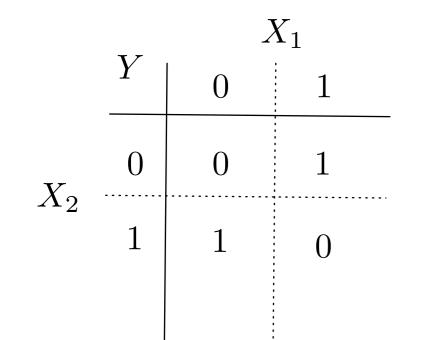
What is information theoretically optimal?

OPEN PROBLEM: THE CAPACITY REGION OF THE INTERFERENCE CHANNEL

Example I: binary adder channel



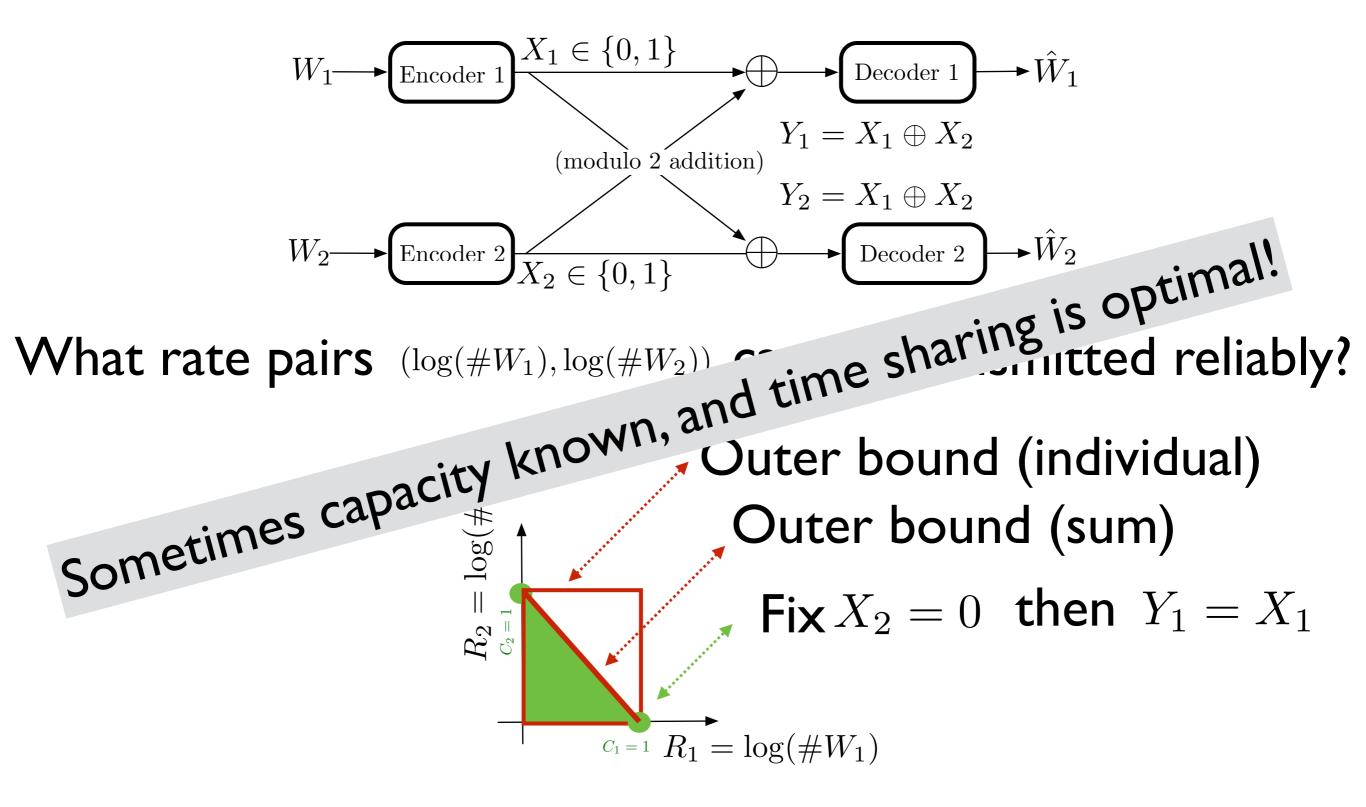
What rate pairs $(\log(\#W_1), \log(\#W_2))$ can be transmitted reliably?



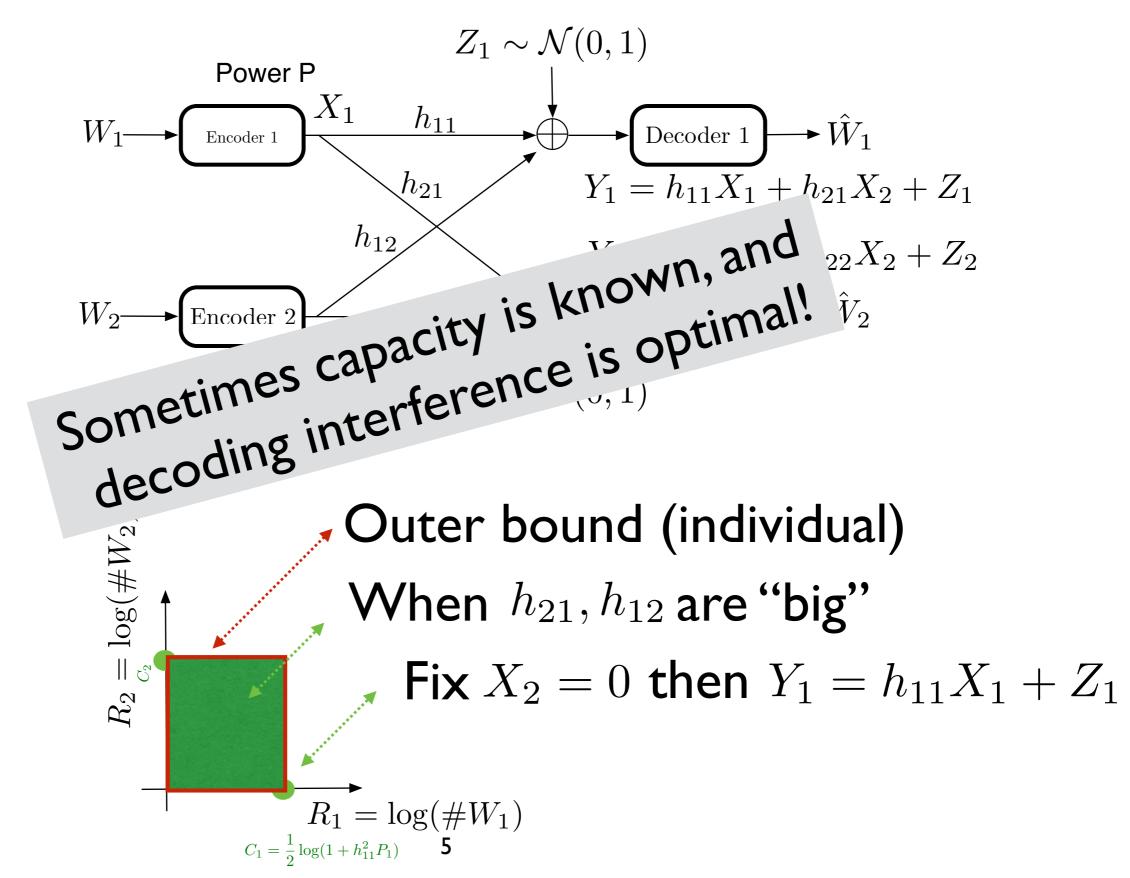
 $\begin{array}{cccc} X_1 \\ Y & & & \\ 0 & & \\ 1 \end{array} \qquad Y_1 = Y_2 = 0 \to (X_1, X_2) \in \{(0, 0), (1, 1)\} \end{array}$ $X_{2} \xrightarrow[1]{0} 0 1$ $X_{1} = Y_{2} = 1 \rightarrow (X_{1}, X_{2}) \in \{(0, 0), (1, 1)\}$ $Y_{1} = Y_{2} = 1 \rightarrow (X_{1}, X_{2}) \in \{(0, 1), (1, 0)\}$

Can only transmit | bit in total

Example I: binary adder channel



Example 2: AWGN channel



Goals of this lecture

forced jokes

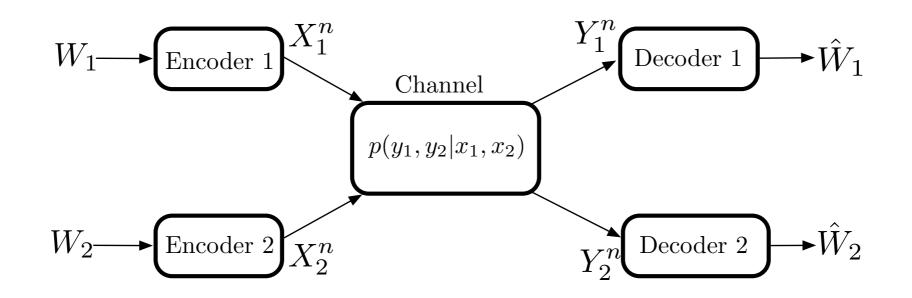
I) understand what is understood



- 2) understand 3 outer bound and 1 inner bound proof techniques forced UIC advertisement
- understand different ways of handling interference

4) ask questions and relate to your own research

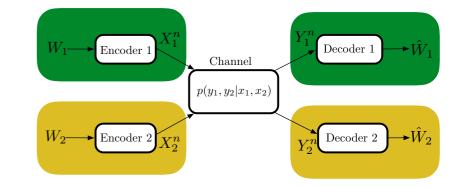
Formal definition



• a discrete memoryless interference channel (DM-IC) $(\mathcal{X}_1 \times \mathcal{X}_2, p(y_1, y_2 | x_1, x_2), \mathcal{Y}_1 \times \mathcal{Y}_2)$ consists of 4 finite sets/alphabets $\mathcal{X}_1, \mathcal{X}_2, \mathcal{Y}_1, \mathcal{Y}_2$ and a collection of conditional pmfs $p(y_1, y_2 | x_1, x_2)$

- sender j = 1, 2 sends an independent message W_i to receiver j
- lower case x is an instance of random variable X in calligraphic alphabet \mathcal{X}

Formal definition



- A $(2^{nR_1}, 2^{nR_2}, n)$ code for the IC consists of:
 - 1. Two message sets $[1:2^{nR_1}]$, and $[1:2^{nR_2}]$
 - 2. Two encoders:

 $w_1 \in [1:2^{nR_1}] \to x_1^n(w_1)$ $w_2 \in [1:2^{nR_2}] \to x_2^n(w_2)$

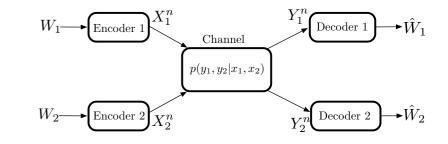
3. Two decoders:

$$y_1^n \to [1:2^{nR_1}] \cup \text{error}$$

 $y_2^n \to [1:2^{nR_2}] \cup \text{error}$

• we assume W_1 and W_2 are uniformly distributed on $[1:2^{nR_1}]$ and $[1:2^{nR_2}]$ respectively

Formal definition

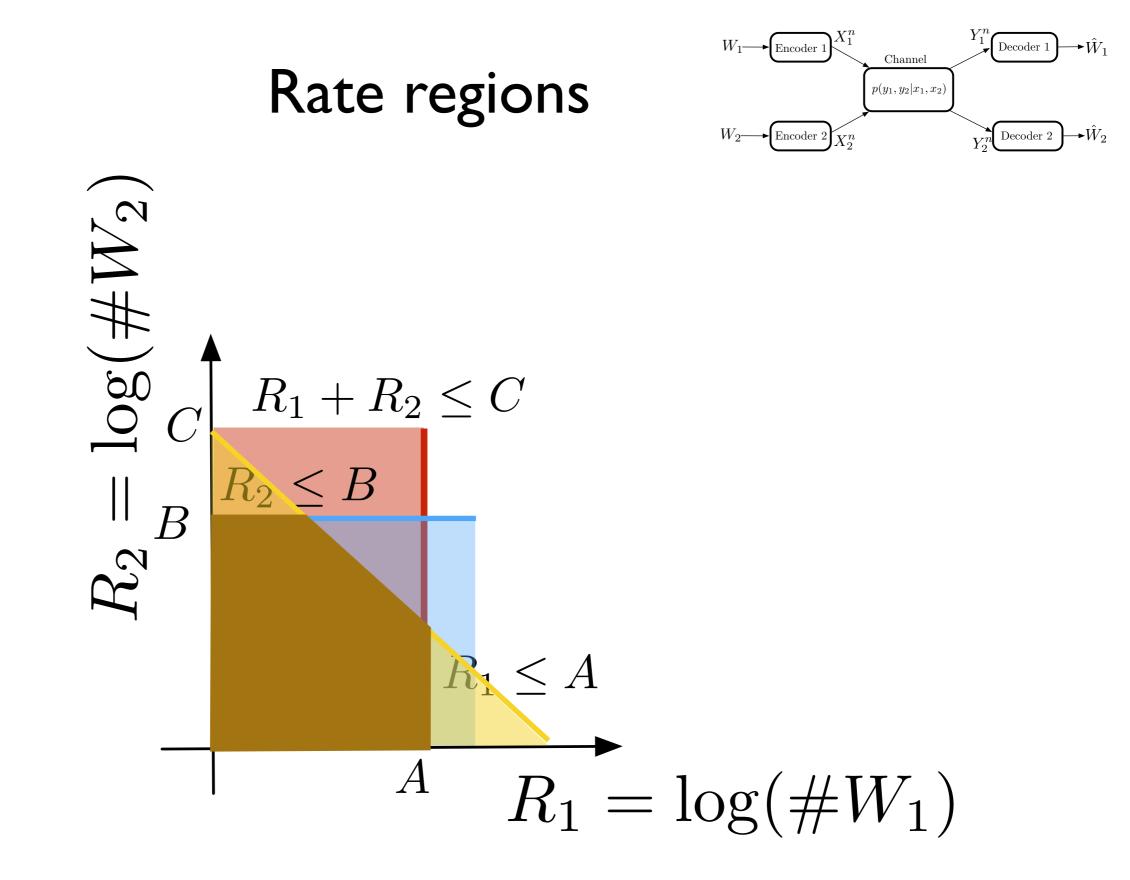


• average probability of error:

$$P_e^{(n)} := P\{(\widehat{W}_1, \widehat{W}_2) \neq (W_1, W_2)\}$$

- Rate pair (R_1, R_2) is *achievable* if there exists a sequence of $(2^{nR_1}, 2^{nR_2}, n)$ codes with $P_e^{(n)} \to 0$ as $n \to \infty$
- The capacity region of the DM-IC is the closure of the set of achievable rate pairs (R_1, R_2)

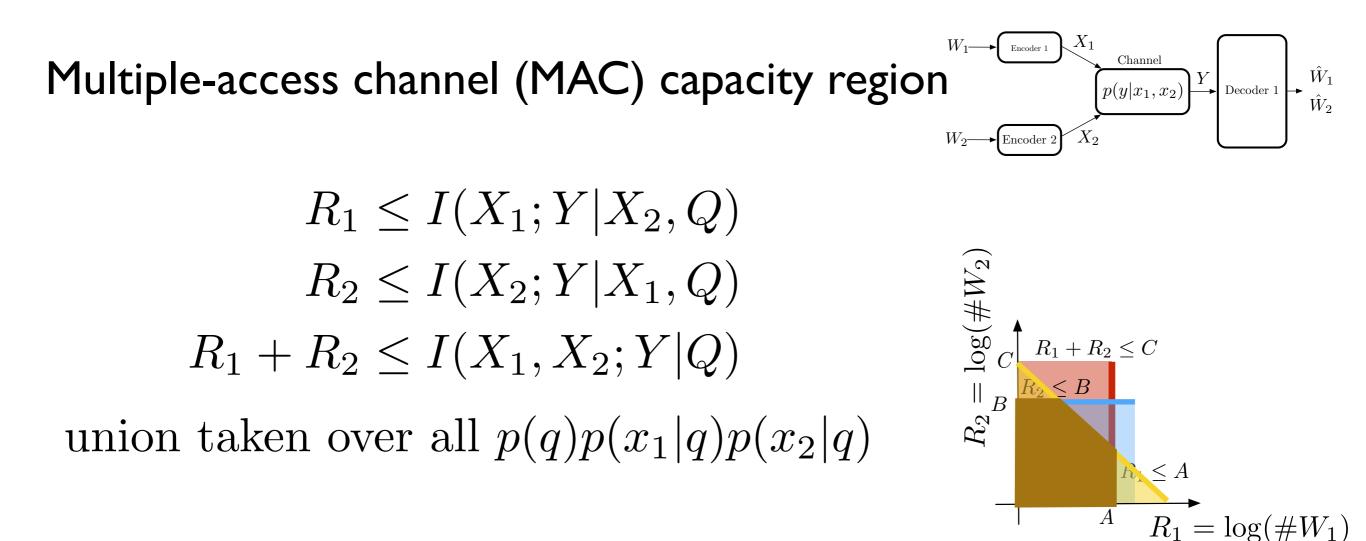
• Note: capacity region depends on $p(y_1, y_2 | x_1, x_2)$ only through the marginals $p(y_1 | x_1, x_2)$ and $p(y_2 | x_1, x_2)$



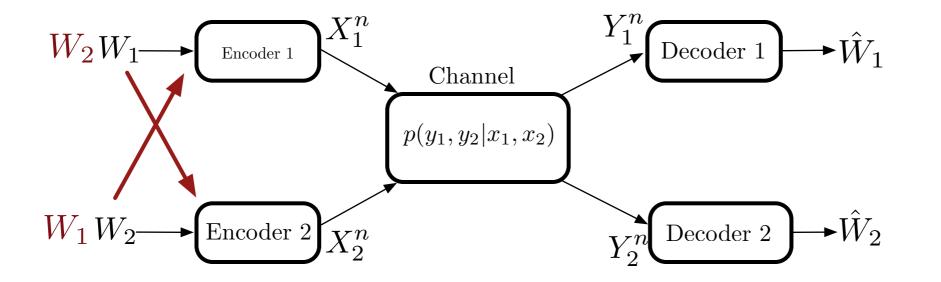
Reminder

Point-to-point capacity

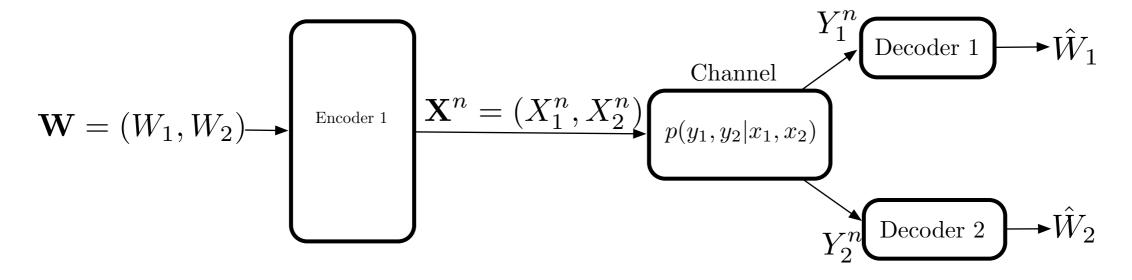
$$R \le C := \max_{p(x)} I(X;Y)$$



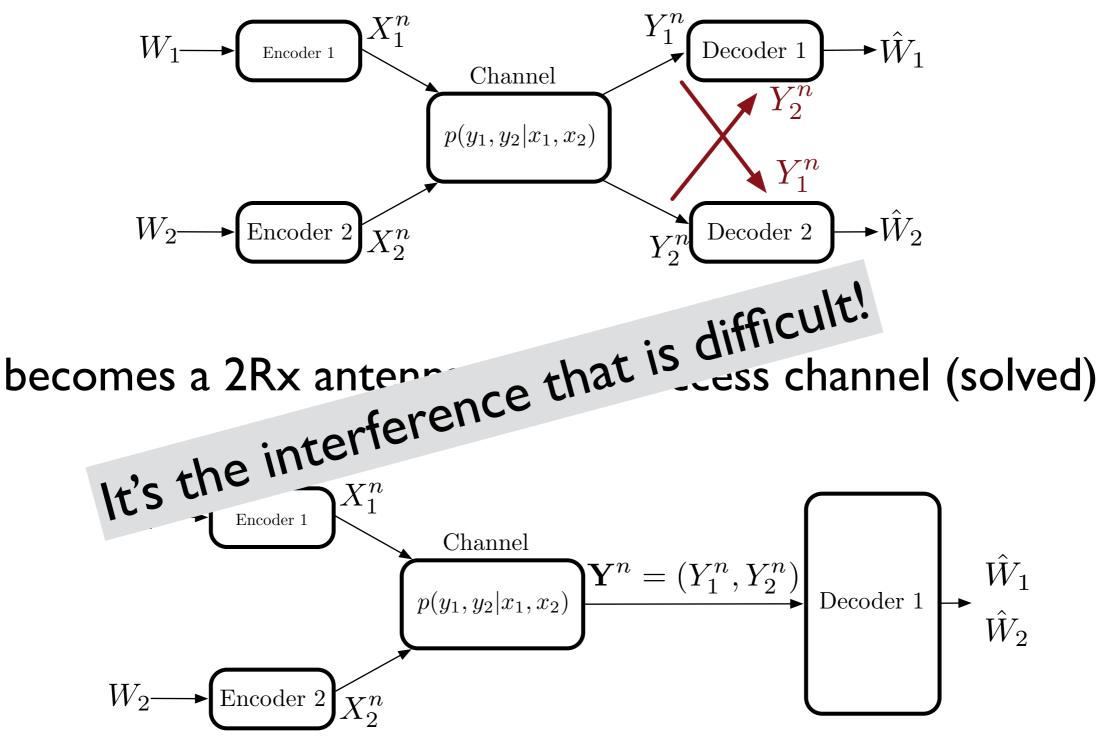
What if the transmitters cooperate?



becomes a 2Tx antenna broadcast channel (solved for AWGN)

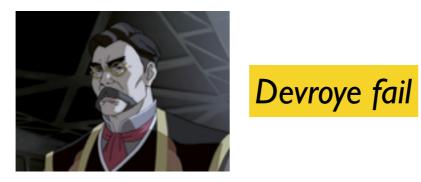


What if the receivers cooperate?



Early work

[H. Sato, "Two-user communication channels," IEEE Trans. Inf. Theory, vol. 23, no. 3, pp. 295–304, 1977.]



[R. Ahlswede, "The capacity region of a channel with two senders and two receivers," Ann. Probability, vol. 2, pp. 805–814, 1974.]



[A. B. Carleial, "A case where interference does not reduce capacity," IEEE Trans. Inf. Theory, vol. 21, no. 5, pp. 569–570, 1975.]

[A. B. Carleial, "Interference channels," IEEE Trans. Inf. Theory, vol. 24, no. 1, pp. 60-70, 1978.]



DM-IC: Basic inner and outer bounds



• Maximal (achievable) individual rates:

$$R_1 \le C_1 := \max_{p(x_1), x_2} I(X_1; Y_1 | X_2 = x_2), \quad R_2 \le C_2 := \max_{p(x_2), x_1} I(X_2; Y_2 | X_1 = x_1)$$

- "Basic genie" outer bound: GIVE interference
 - Union over all $p(q)p(x_1|q)p(x_2|q)$ of

 $R_1 \leq I(X_1; Y_1 | X_2, Q), \ R_2 \leq I(X_2; Y_2 | X_1, Q)$

"Single-user" time-sharing inner bound: AVOID interference

• Union over all $t \in [0, 1]$ of: $R_1 \le t C_1, R_2 \le (1 - t) C_2$

Treating interference as noise inner bound: "SUFFER" interference

• Union over all $p(q)p(x_1|q)p(x_2|q)$ of

 $R_1 \leq I(X_1; Y_1 | Q), \ R_2 \leq I(X_2; Y_2 | Q)$

Decoder 2

≁Ŵ₂

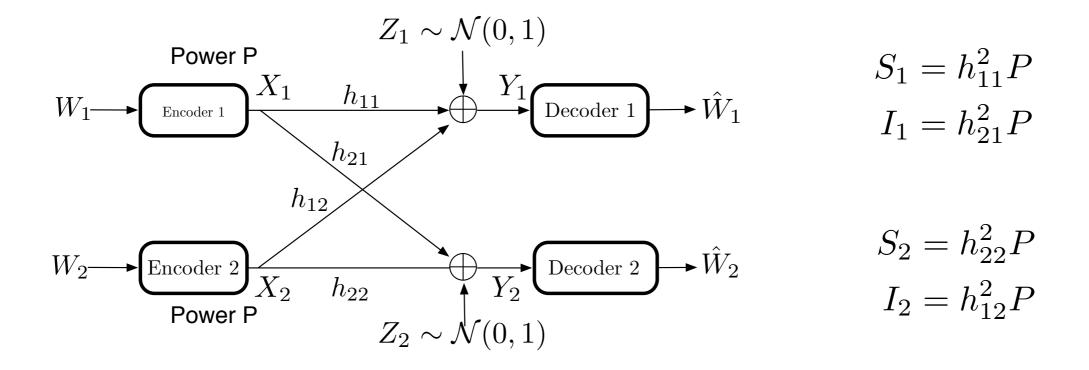
 $W_1 \longrightarrow$ Encoder 1

• Encoder 2 X_2^n

Channel

 $p(y_1, y_2 | x_1, x_2)$

AWGN Gaussian IC

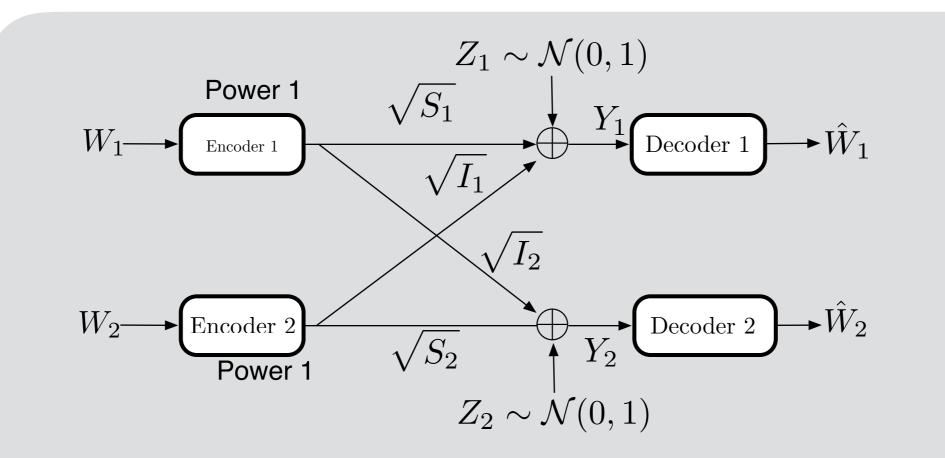


Average transmit power constraint: for all codewords $x_1^n(w_1)$ and $x_2^n(w_2)$,

$$\sum_{j=1}^{n} x_{1j}^2(w_1) \le nP, \quad \sum_{j=1}^{n} x_{2j}^2(w_2) \le nP,$$

Practically relevant

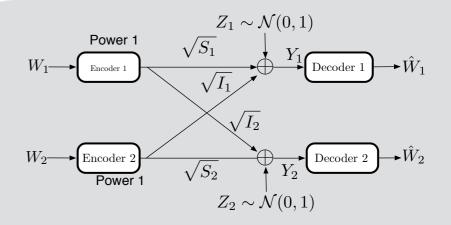
AWGN Gaussian IC



Average transmit power constraint: for all codewords $x_1^n(w_1)$ and $x_2^n(w_2)$,

$$\frac{1}{n}\sum_{j=1}^{n}x_{1j}^{2}(w_{1}) \leq 1, \quad \frac{1}{n}\sum_{j=1}^{n}x_{2j}^{2}(w_{2}) \leq 1,$$

Gaussian-IC: Basic inner and outer bounds



"Single-user" outer bound:

• Maximal (achievable) individual rates:

Average transmit power constraint: for all codewords $x_1^n(w_1)$ and $x_2^n(w_2)$,

 $\frac{1}{n}\sum_{j=1}^{n}x_{1j}^{2}(w_{1}) \leq 1, \quad \frac{1}{n}\sum_{j=1}^{n}x_{2j}^{2}(w_{2}) \leq 1,$

$$R_1 \le C_1 := \frac{1}{2}\log(1+S_1), \ R_2 \le C_2 := \frac{1}{2}\log(1+S_2)$$

"Single-user" time-sharing (with power control) inner bound:

• Union over all $\alpha \in [0, 1]$:

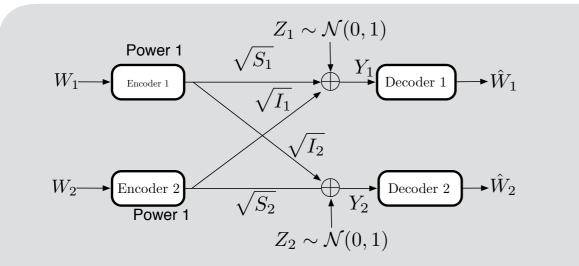
$$R_1 \le \frac{\alpha}{2} \log\left(1 + \frac{S_1}{\alpha}\right), \quad R_2 \le \frac{(1-\alpha)}{2} \log\left(1 + \frac{S_2}{(1-\alpha)}\right)$$

Treating interference as noise inner bound (with Gaussian inputs):

$$R_1 \le \frac{1}{2} \log \left(1 + \frac{S_1}{1 + I_1} \right), \ R_2 \le \frac{1}{2} \log \left(1 + \frac{S_2}{1 + I_2} \right)$$

The main problem with the Gaussian-IC

What inputs are optimal?



Average transmit power constraint: for all codewords $x_1^n(w_1)$ and $x_2^n(w_2)$,

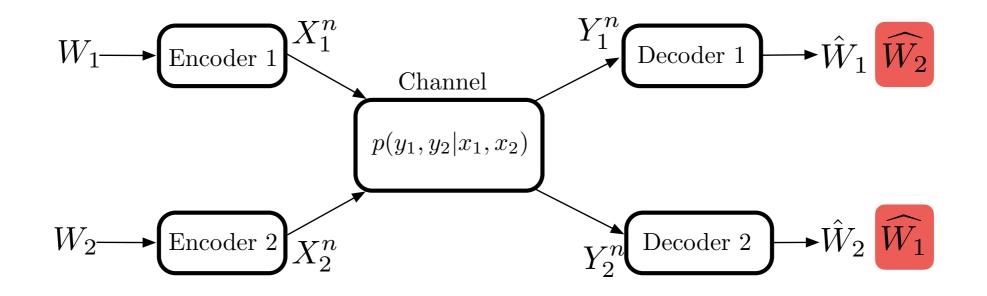
$$\frac{1}{n}\sum_{j=1}^{n}x_{1j}^{2}(w_{1}) \leq 1, \quad \frac{1}{n}\sum_{j=1}^{n}x_{2j}^{2}(w_{2}) \leq 1,$$

Max entropy and EPI: Gaussian inputs are best inputs but also worst noise

Tension between the 2 users!

DM-IC: Simultaneous decoding inner bound

"Compound MAC" inner bound: (force both to decode both)



• Union over all $p(q)p(x_1|q)p(x_2|q)$ of

 $R_{1} \leq \min\{I(X_{1}; Y_{1}|X_{2}, Q), I(X_{1}; Y_{2}|X_{2}, Q)\}$ $R_{2} \leq \min\{I(X_{2}; Y_{1}|X_{1}, Q), I(X_{2}; Y_{2}|X_{1}, Q)\}$ $R_{1} + R_{2} \leq \min\{I(X_{1}, X_{2}; Y_{1}|Q), I(X_{1}, X_{2}; Y_{2}|Q)\}$

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Can be tightened..... how?
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DM-IC: Simultaneous decoding inner bound

"Compound MAC" inner bound: (force both to decode both)

• Union over all $p(q)p(x_1|q)p(x_2|q)$ of

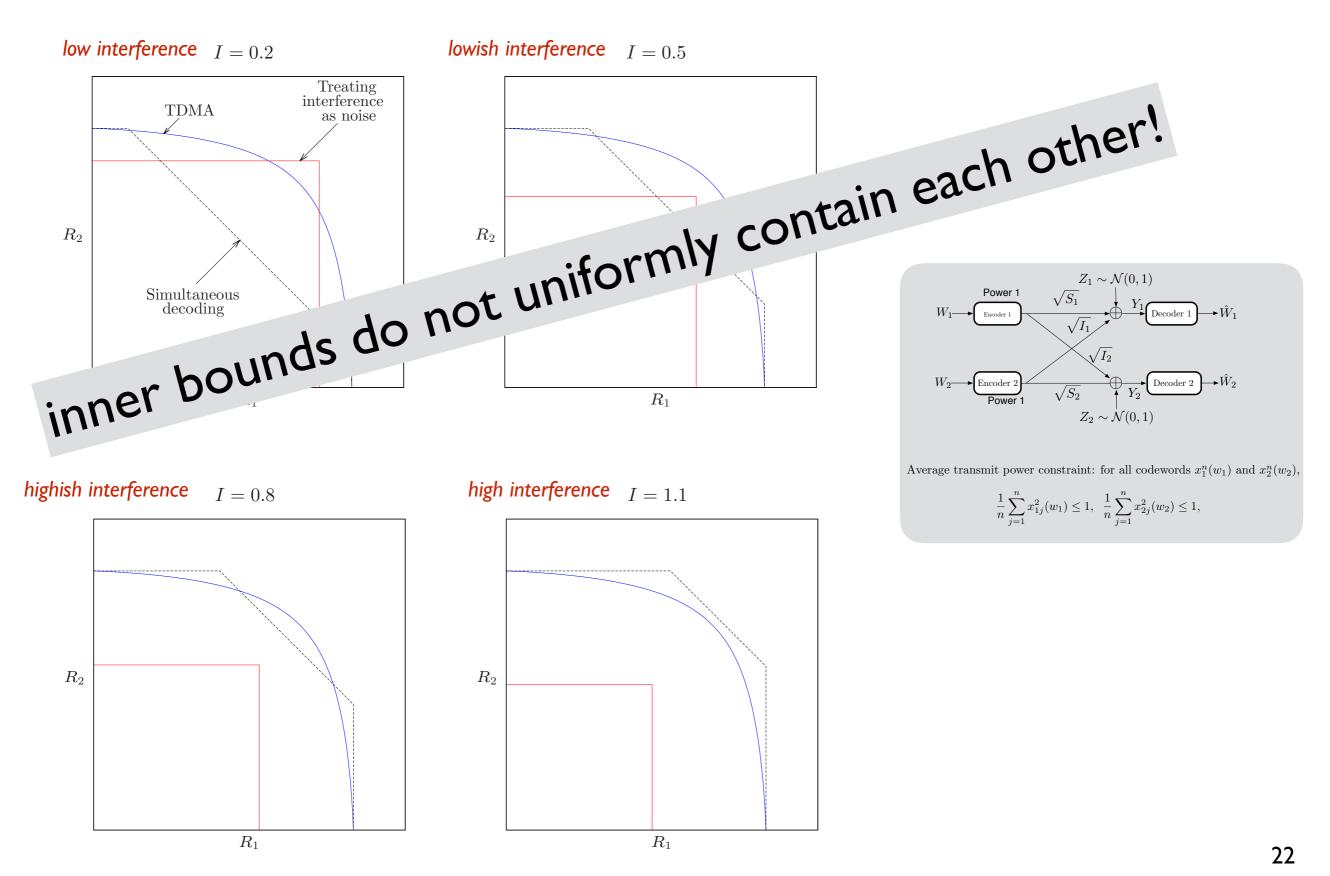
 $R_{1} \leq \min\{I(X_{1}; Y_{1}|X_{2}, Q), I(X_{1}; Y_{2}|X_{2}, Q)\}$ $R_{2} \leq \min\{I(X_{2}; Y_{1}|X_{1}, Q), I(X_{2}; Y_{2}|X_{1}, Q)\}$ $R_{1} + R_{2} \leq \min\{I(X_{1}, X_{2}; Y_{1}|Q), I(X_{1}, X_{2}; Y_{2}|Q)\}$

Can be tightened..... how?

• Union over all $p(q)p(x_1|q)p(x_2|q)$ of

 $R_{1} \leq I(X_{1}; Y_{1} | X_{2}, Q)$ $R_{2} \leq I(X_{2}; Y_{2} | X_{1}, Q)$ $R_{1} + R_{2} \leq \min\{I(X_{1}, X_{2}; Y_{1} | Q), I(X_{1}, X_{2}; Y_{2} | Q)\}$

• We consider the symmetric case $(S_1 = S_2 = S = 1 \text{ and } I_1 = I_2 = I)$

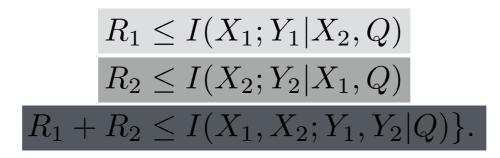


images taken from [A. El Gamal and Y.H. Kim, "Lecture Notes on Network Information Theory," http://arxiv.org/abs/1001.3404.]

DM-IC: Sato's outer bound



• Let $\mathcal{R}(\tilde{p}(y_1, y_2 | x_1, x_2))$ be the union over all $p(q)p(x_1 | q)p(x_2 | q)\tilde{p}(y_1, y_2 | x_1, x_2)$ of



Then the intersection of the sets $\mathcal{R}(\tilde{p}(y_1, y_2 | x_1, x_2))$ over all $\tilde{p}(y_1, y_2 | x_1, x_2)$ with the same marginals as $p(y_1, y_2 | x_1, x_2)$ is an outer bound for the DM-IC.

Give user 2 signal as side information at Rx 1

Give user 1 signal as side information at Rx 2

Let both Rxs cooperate in decoding both messages

Handout I: proof of Sato's outer bound

Handout I: proof of Sato's outer bound

$$nR_{1} = H(W_{1})$$

$$\stackrel{(a)}{=} H(W_{1}|W_{2})$$

$$\stackrel{(b)}{=} H(W_{1}|X_{2}^{n}) \text{ single-letterization is the issuel}$$

$$\stackrel{(c)}{=} H(W_{1}|X_{2}^{n}) + I(W_{1};Y_{1}^{n}|X_{2}^{n})$$

$$\stackrel{(d)}{\leq} n\epsilon_{1}^{n} + I(W_{1};Y_{1}^{n}|X_{2}^{n})$$

$$\stackrel{(e)}{=} n\epsilon_{1}^{n} + I(X_{1}^{n};Y_{1}^{n}|X_{2}^{n})$$

$$\stackrel{(f)}{\leq} n\epsilon_{1}^{n} + \sum_{j=1}^{n} I(X_{1j};Y_{1j}|X_{2j})$$

$$\stackrel{(g)}{=} n = V(W_{1}|W_{1}|W_{1}|W_{2j})$$

 $\stackrel{(g)}{=} n\epsilon_1^n + nI(X_1; Y_1 | X_2, Q),$

Handout I: proof of Sato's outer bound

$$\begin{split} I(X_{1}^{n};Y_{1}^{n}|X_{2}^{n}) &\stackrel{(h)}{=} \sum_{j=1}^{n} I(X_{1j};Y_{1}^{n}|X_{2}^{n},X_{11},\cdots X_{1(j-1)}) \\ &\stackrel{(i)}{\leq} \sum_{j=1}^{n} I(X_{1j};Y_{1j}|X_{2}^{n},X_{11},\cdots X_{1(j-1)}) \\ &\stackrel{(j)}{=} \sum_{j=1}^{n} H(Y_{1j}|X_{2}^{n},X_{11},\mathsf{met}_{1(j-1)}) - H(Y_{1j}|X_{2}^{n},X_{11},\cdots X_{1(j-1)},X_{1j}) \\ &\stackrel{(k)}{\leq} \sum_{j=1}^{n} H(Y_{1j}|X_{2j}) - H(Y_{1j}|X_{2}^{n},X_{11},\cdots X_{1(j-1)},X_{1j}) \\ &\stackrel{(l)}{=} \sum_{j=1}^{n} H(Y_{1j}|X_{2j}) - H(Y_{1j}|X_{2j},X_{1j}) \\ &\stackrel{(l)}{=} \sum_{j=1}^{n} H(Y_{1j}|X_{2j}) - H(Y_{1j}|X_{2j},X_{1j}) \\ &\stackrel{(l)}{=} \mathsf{negative term has all inputs in conditioning} \\ & \mathsf{negative term has all inputs in conditioning} \\ \end{aligned}$$

Are these ever tight? (inner = outer)

Treat interference as noise (Coded) time-sharing Simultaneous decoding (Successive interference cancellation)

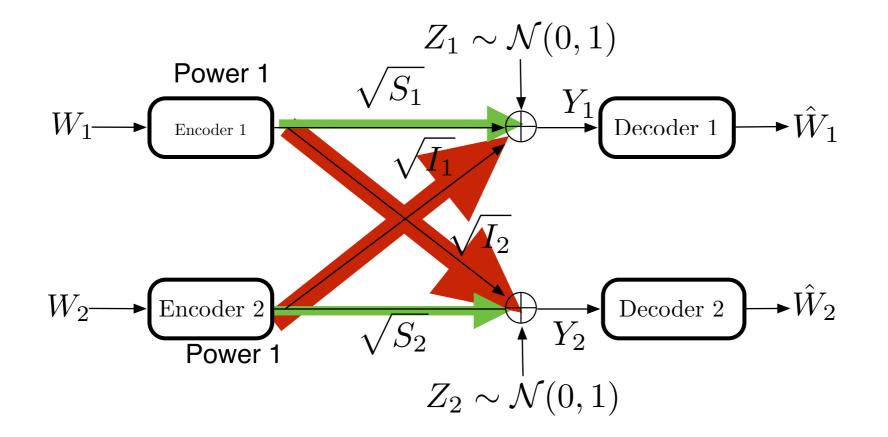
Single-user outer

Sato outer

$$I(X_1; Y_1 | X_2) \le I(X_1; Y_2)$$

$$I(X_2; Y_2 | X_1) \le I(X_2; Y_1)$$

 $\forall p(x_1)p(x_2)$



 $I(X_1; Y_1 | X_2) \le I(X_1; Y_2)$ $I(X_2; Y_2 | X_1) \le I(X_2; Y_1)$ $\forall p(x_1) p(x_2)$

Theorem (capacity region under very strong interference). The capacity region of the DM=IC under very strong interference is the set of rate pairs (R_1, R_2) such that

 $R_1 \leq I(X_1; Y_1 | X_2, Q)$ $R_2 \leq I(X_2; Y_2 | X_1, Q)$

for some $p(q, x_1, x_2) = p(q)p(x_1|q)p(x_2|q)$.

Achievability? Successive interference cancellation! Decode interference FIRST, then desired! At Rx 1: $R_2 \leq I(X_2; Y_1)$ then $R_1 \leq I(X_1; Y_1 | X_2)$ At Rx 2: $R_1 \leq I(X_1; Y_2)$ then $R_2 \leq I(X_2; Y_2 | X_1)$

 $I(X_1; Y_1 | X_2) \le I(X_1; Y_2)$ $I(X_2; Y_2 | X_1) \le I(X_2; Y_1)$

 $\forall p(x_1)p(x_2)$

Theorem (capacity region under very strong interference). The capacity region of the DM=IC under very strong interference is the set of rate pairs (R_1, R_2) such that

 $R_1 \leq I(X_1; Y_1 | X_2, Q)$ $R_2 \leq I(X_2; Y_2 | X_1, Q)$

for some $p(q, x_1, x_2) = p(q)p(x_1|q)p(x_2|q)$.

Converse? Basic genie outer bounds (or Sato)

 $I(X_1; Y_1 | X_2) \le I(X_1; Y_2)$ $I(X_2; Y_2 | X_1) \le I(X_2; Y_1)$

 $\forall p(x_1)p(x_2)$

A DM-IC is said to have strong interference if

 $I(X_1; Y_1 | X_2) \le I(X_1; Y_2 | X_2)$ $I(X_2; Y_2 | X_1) \le I(X_2; Y_1 | X_1)$

 $\forall p(x_1)p(x_2)$

Very strong interference → strong interference Strong interference → very strong interference

Capacity of DM-IC under strong interference

 $I(X_1; Y_1 | X_2) \le I(X_1; Y_2 | X_2)$ $I(X_2; Y_2 | X_1) \le I(X_2; Y_1 | X_1)$ $\forall p(x_1) p(x_2)$

Theorem (capacity region in strong interference). The capacity region of the interference channel $(\mathcal{X}_1 \times \mathcal{X}_2, p(y_1, y_2 | x_1, x_2), \mathcal{Y}_1 \times \mathcal{Y}_2)$ in strong interference is the set of rate pairs (R_1, R_2) such that

$$R_1 \le I(X_1; Y_1 | X_2, Q) \tag{1}$$

$$R_2 \le I(X_2; Y_2 | X_1, Q) \tag{2}$$

$$R_1 + R_2 \le \min\{I(X_1, X_2; Y_1 | Q), I(X_1, X_2; Y_2 | Q)\}$$
(3)

for some $p(q, x_1, x_2) = p(q)p(x_1|q)p(x_2|q)$ where $|\mathcal{Q}| \le 4$.

[M. H. M. Costa and A. El Gamal, "The capacity region of the discrete memoryless interference channel with strong interference," IEEE Trans. Inf. Theory, vol. 33, no. 5, pp. 710–711, 1987.]

Achievability? Simultaneous decoding inner bound Converse? See handout

$$n(R_{1} + R_{2}) = H(W_{1}) + H(W_{2})$$

$$\stackrel{(a)}{\leq} I(W_{1}; Y_{1}^{n}) + I(W_{2}; Y_{2}^{n}) + n\epsilon_{n}$$

$$\stackrel{(b)}{\leq} I(X_{1}^{n}; Y_{1}^{n}) + I(X_{2}^{n}; Y_{2}^{n}) + n\epsilon_{n}$$

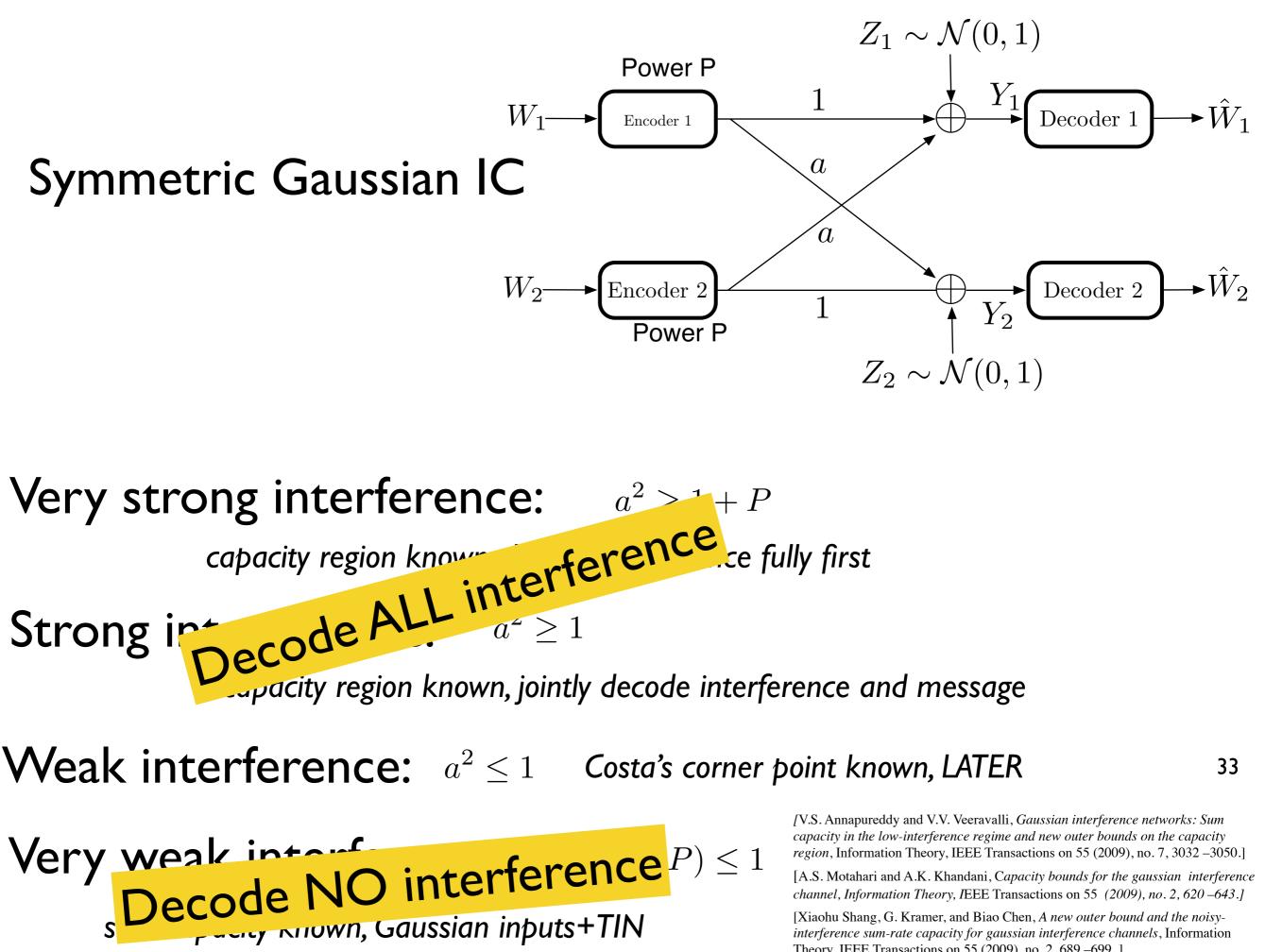
$$\stackrel{(c)}{\leq} I(X_{1}^{n}; Y_{1}^{n} | X_{2}^{n}) + I(X_{2}^{n}; Y_{2}^{n}) + n\epsilon_{n}$$

$$\stackrel{(d)}{\leq} I(X_{1}^{n}; X_{2}^{n}; Y_{2}^{n}) + n\epsilon_{n}$$

$$\stackrel{(e)}{=} I(X_{1}^{n}, X_{2}^{n}; Y_{2}^{n}) + n\epsilon_{n}$$

$$\stackrel{(f)}{\leq} \sum_{i|1}^{n} I(X_{1i}, X_{2i}; Y_{2i}) + n\epsilon_{n}$$

$$\stackrel{(g)}{=} nI(X_{1}, X_{2}; Y_{2} | Q) + n\epsilon_{n}$$
strong interference! one output!



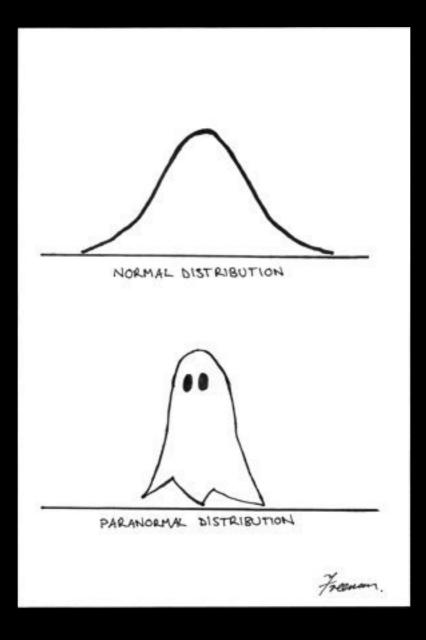
[Xiaohu Shang, G. Kramer, and Biao Chen, A new outer bound and the noisyinterference sum-rate capacity for gaussian interference channels, Information Theory, IEEE Transactions on 55 (2009), no. 2, 689 – 699.]

Decoding all or nothing (of the interference), the logical next step is.....

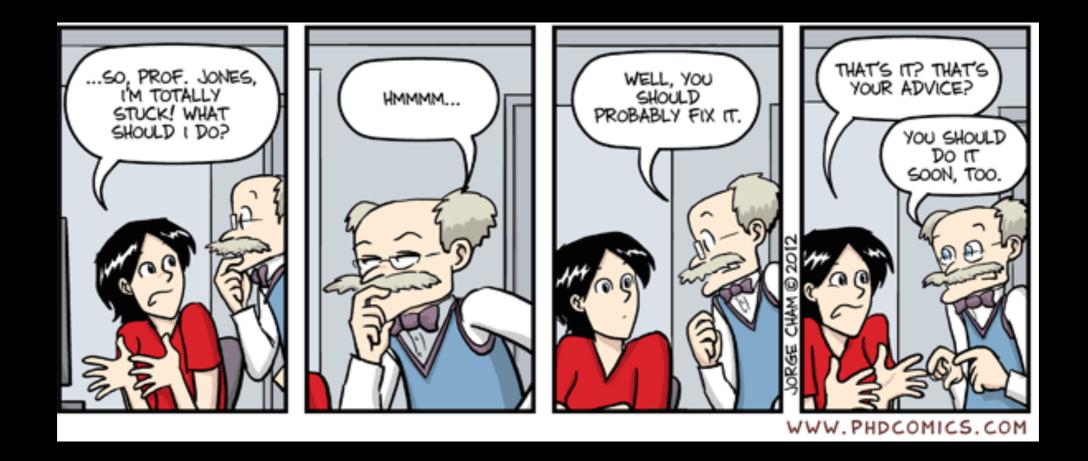
Forced jokes

I DON'T ALWAYS PREFER BEING REFERRED TO AS "DR."

BUT WHEN I DO, IT'S USUALLY AFTER BEING REFERRED TO AS MS., MRS., HEY, OR "EXCUSE ME DO YOU KNOW WHO THE PROFESSOR IS FOR THIS CLASS."

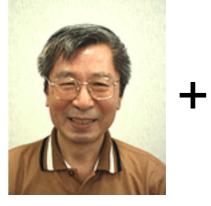






Decoding all or nothing (of the interference), the logical next step is.....

DMC: Han+Kobayashi inner bound





Largest single-letter achievable rate region

[T. S. Han and K. Kobayashi, "A new achievable rate region for the interference channel," IEEE Trans. Inf. Theory, vol. 27, no. 1, pp. 49-60, 1981.]

Achieves capacity when we know it

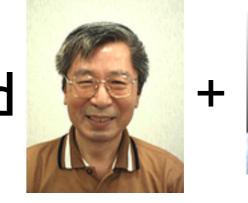
[H.-F. Chong, M. Motani, H. K. Garg, and H. El Gamal, "On the Han–Kobayashi region for the interference channel," IEEE Trans. Inf. Theory, vol. 54, no. 7, pp. 3188–3195, July 2008.]

Thought to perhaps be the capacity region in general, but NO!

[C. Nair, L. Xia, M. Yazdanpanah, "Sub-optimality of the Han-and-Kobayashi Achievable Region for Interference Channels," Proc. of ISIT, 2015.]

more later... for now, let us understand this important region

DMC: Han+Kobayashi inner bound





[T. S. Han and K. Kobayashi, "A new achievable rate region for the interference channel," IEEE Trans. Inf. Theory, vol. 27, no. 1, pp. 49–60, 1981.]

Theorem (Han+Kobayashi inner bound). A rate pair (R_1, R_2) is achievable for a DM-IC $(\mathcal{X}_1 \times \mathcal{X}_2, p(y_1, y_2 | x_1, x_2), \mathcal{Y}_1 \times \mathcal{Y}_2)$ if it satisfies

 $\begin{aligned} R_{1} &\leq I(X_{1};Y_{1}|U_{2},Q) \tag{1} \\ R_{2} &\leq I(X_{2};Y_{2}|U_{1},Q) \tag{2} \\ R_{1} + R_{2} &\leq I(X_{1},U_{2};Y_{1}|Q) + I(X_{2};Y_{2}|U_{1},U_{2},Q) \tag{3} \\ R_{1} + R_{2} &\leq I(X_{1};Y_{1}|U_{1},U_{2},Q) + I(X_{2},U_{1};Y_{2}|Q) \tag{4} \\ R_{1} + R_{2} &\leq I(X_{1},U_{2};Y_{1}|U_{1},Q) + I(X_{2},U_{1};Y_{2}|U_{2},Q) \tag{5} \\ 2R_{1} + R_{2} &\leq I(X_{1},U_{2};Y_{1}|Q) + I(X_{1};Y_{1}|U_{1},U_{2},Q) + I(X_{2},U_{1};Y_{2}|U_{2},Q) \tag{6} \\ R_{1} + 2R_{2} &\leq I(X_{2},U_{1};Y_{2}|Q) + I(X_{2};Y_{2}|U_{1},U_{2},Q) + I(X_{1},U_{2};Y_{1}|U_{1},Q) \end{aligned}$

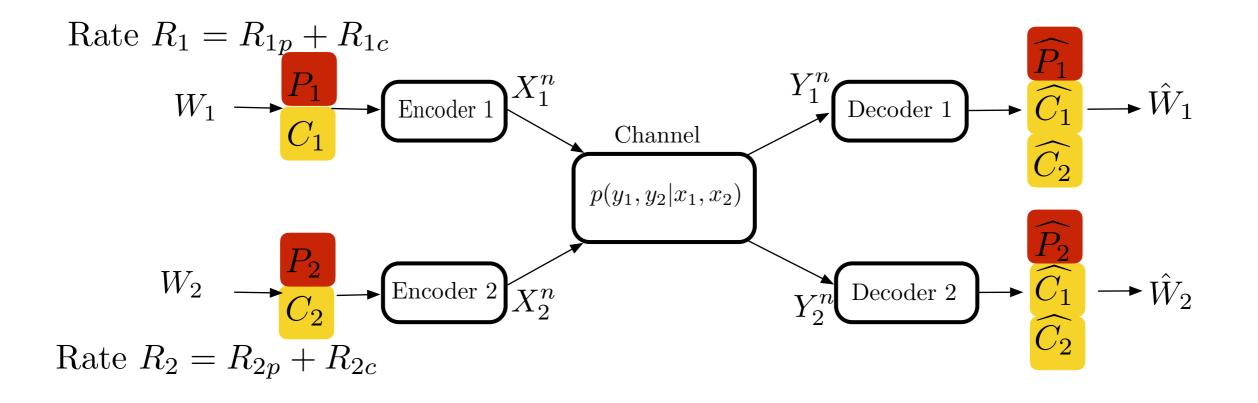
for some $p(q, u_1, u_2, x_1, x_2) = p(q)p(u_1, x_1|q)p(u_2, x_2|q)$ where $|\mathcal{U}_1| \le |\mathcal{X}_1| + 4$, $|\mathcal{U}_2| \le |\mathcal{X}_2| + 4$, and $|\mathcal{Q}| \le 7$.

Handout 3: proof of H+K

Outline:

- Split message into "public" and "private" parts
 At each Tx, superpose public over private
 At each Rx, decode both public messages and the desired private message
- 4) Rate region looks like two simultaneous 3 user MAC channels, one at each receiver
- 5)Fourier-Motzkin eliminate to put in terms of (R_1, R_2)

I) Split message into "public" and "private" parts



"Private" = decoded only by intended "Public" = common = decoded by everyone

Idea: carefully split so can decode part of the interference

2) At each Tx, superpose public over private $W_1 \rightarrow C_1$ $W_2 \rightarrow C_2$ W_2 W_2

Codebook generation: Fix $p(q)p(u_1, x_1|q)p(u_2, x_2|q)$

Generate a sequence $q^n \sim \prod_{i=1}^n p_Q(p_i)$

Tx 1 codebook: randomly and conditional independently generate $2^{nR_{1c}}$ sequences $u_1^n(w_{1c}), w_{1c} \in [1:2^{nR_{1c}}]$, each according to $\prod_{i=1}^n p_{U_1|Q}(u_{1i}|q_i)$. For each $u_1^n(w_{1c})$, randomly and conditionally independently generate $2^{nR_{1p}}$ sequences $x_1^n(w_{1c}, w_{1p}), w_{1p} \in [1:2^{nR_{1p}}]$, each according to $\prod_{i=1}^n p_{X_1|U_1,Q}(x_{1i}|u_{1i}(w_{1c}), q_i)$

(similarly for Tx 2 codebook)

Encoding: to send $w_1 = (w_{1c}, w_{1p})$, encoder 1 transmits $x_1^n(w_{1c}, w_{1p})$ (similarly for encoder 2)

Decoding: upon receiving y_1^n , decoder 1 finds the unique message pair $(\widehat{w_{1c}}, \widehat{w_{1p}})$ such that $(q^n, u_1^n(\widehat{u_{1c}}), u_2^n(w_{2c}), x_1^n(\widehat{w_{1c}}, \widehat{w_{1p}}), y_1^n)$ are jointly typical for some $w_{2c} \in [1 : 2^{nR_{2c}}]$. If no unique pair exists, the decoder declares an error. Similarly for decoder 2.

3) At each Rx, decode both public messages and the desired private message

Possible errors:

	w_{1c}	w_{2c}	w_{1p}	Joint pmf
1	1	1	1	$p(u_1^n, x_1^n)p(u_2^n)p(y_1^n x_1^n, u_2^n)$
2	1	1	*	$p(u_1^n, x_1^n)p(u_2^n)p(y_1^n u_1^n, u_2^n)$
3	*	1	*	$p(u_1^n, x_1^n)p(u_2^n)p(y_1^n u_2^n)$
4	*	1	1	$p(u_1^n, x_1^n)p(u_2^n)p(y_1^n u_2^n)$
5	1	*	*	$p(u_1^n, x_1^n)p(u_2^n)p(y_1^n u_1^n)$
6	*	*	1	$p(u_1^n, x_1^n)p(u_2^n)p(y_1^n)$
7	*	*	*	$p(u_1^n, x_1^n)p(u_2^n)p(y_1^n)$
8	1	*	1	$p(u_1^n, x_1^n)p(u_2^n)p(y_1^n x_1^n)$

Count them and get probability from the packing lemma:

• Cases 3.4 and 6.7 share same pmf, and case 8 does not cause an error 3) At each Rx, decode both public messages and the desired private message

Packing Lemma [3]. Cheete ($U_{20}N_{20}V_{20$

• We are left with only b, events: 0 as $n \to \infty$ if $R < I(X; Y|U) - \delta(\epsilon)$,

where $\mathcal{T}_{\epsilon}^{(n)}$ is defined as the optical $\{Q^n, U_1^n(1), U_2^n(1), X_1^n(1,1), Y_1^n) \notin \mathcal{T}_{\epsilon}^{(n)}\},$

 $\mathcal{T}_{\epsilon}^{(n)} = \mathcal{T}_{\epsilon}^{(p)}(\mathcal{Y}, \mathcal{X}, \{\mathcal{Y}_{\epsilon}^{n}, \mathcal{Y}_{\epsilon}^{n}, \mathcal{Y}_{\epsilon}^{n$

where

$$\begin{aligned} & \text{for some } m_{11} \neq 1 \\ \mathcal{E}_{12} := \{ (Q^n, U_1^n(m_{10}), U_2^n(\mathcal{H}), X_1^n(m_{10}, m_{11}), Y_1^n) \in \mathcal{U}_{\epsilon} \times \mathcal{X} \times \mathcal{Y} \\ \mathcal{E}_{12} := \{ (Q^n, U_1^n(m_{10}), U_2^n(\mathcal{H}), X_1^n(m_{10}, m_{11}), Y_1^n) \in \mathcal{T}_{\epsilon} \end{aligned}$$

for some $m_{10} \neq 1, m_{11}$ },

U is "correct" and for $m_{20} \neq 1, m_{11} \neq 1$, for some $m_{20} \neq 1, m_{11} \neq 1$, $\mathcal{E}_{14} := \{(Q^n, U_1(m_{10}), U_2(m_{20}), X_1(m_{10}, m_{11}), Y_1^n) \in \mathcal{T}_{\epsilon}^{(n)}\}$ Prob. that (X, U, Y) sare jointly \neq typical vanishes if Then, the average probability of error for decoder 1 is $R \leq I(X; Y \mid U)$ $P(\mathcal{E}_1) \leq \sum P(\mathcal{E}_{1j})$

[3] A. El Gamal and Y.-H. Kim, <u>Network Information Theory</u>. *C*ambridge University Press, 2011.

3) At each Rx, decode both public messages and the desired private message

Possible errors:

	w_{1c}	w_{2c}	w_{1p}	Joint pmf
1	1	1	1	$p(u_1^n, x_1^n)p(u_2^n)p(y_1^n x_1^n, u_2^n)$
2	1	1	*	$p(u_1^n, x_1^n)p(u_2^n)p(y_1^n u_1^n, u_2^n)$
3	*	1	*	$p(u_1^n, x_1^n)p(u_2^n)p(y_1^n u_2^n)$
4	*	1	1	$p(u_1^n, x_1^n)p(u_2^n)p(y_1^n u_2^n)$
5	1	*	*	$p(u_1^n, x_1^n)p(u_2^n)p(y_1^n u_1^n)$
6	*	*	1	$p(u_1^n, x_1^n)p(u_2^n)p(y_1^n)$
7	*	*	*	$p(u_1^n, x_1^n)p(u_2^n)p(y_1^n)$
8	1	*	1	$p(u_1^n, x_1^n)p(u_2^n)p(y_1^n x_1^n)$

Count them and get probability from the packing lemma:

 $2^{nR_{1p}} \cdot 2^{-n(I(X_1;Y_1|U_1,U_2,Q)-\delta(\epsilon))}$

4) Rate region looks like two simultaneous 13^{-1} , user MAC channels, one at each receiver $\mathcal{E}_{12} := \{(Q^n, U_1^n(m_{10}), U_2^n(1), X_1^n(m_{10}, m_{11}), Y_1^n\}$ for some $m_{10} \neq 1, m_{11}$ }, Joint pmf w_{1c} w_{1p} w_{2c} $p(u_1^n, x_1^n)p(u_2^n)p(y_1^n|x_1^n, u_2^n)$ 1 1 1 1 2 $p(u_1^n, x_1^n)p(u_2^n)p(y_1^n|u_1^n, u_2^n)$ 1 $Q^n, U_1^n(1), U_2^n(m_{20}), X_1^n(1, m_{11}), Y_1^n) \in$ 3 $p(u_1^n, x_1^n) p(u_2^n) p(u_2^n) \overline{u_2^n}$ $p(u_1^n, x_1^n)p(u_2^n)p(y_1^n|u_2^n)$ 4 for some $m_{20} \neq 1, m_{11} \neq 1$, 5 $p(u_1^n, x_1^n)p(u_2^n)p(y_1^n)$ 6 1 * * $(Q^n, U^n_1(m_{10}), U^{R_1}_2 m_{20}), X^n_1(m_{10}, U^n_2))$ 7 $p(u_1^n, x_1^n)p(u_2^n)p(y_1^n)$ * * $p(u_1^n, x_1^n)p(u_2^n)p(u_1^n)\overline{x_1^n}$ 8 1 * $\begin{array}{c} R_{1p} + R_{1c} \leq I(X_1; Y_1 | U_2, Q) \\ \text{for some } m_{1p} + R_{2c}^0 \leq I(X_1^{-1}; U_2^{-1}; Y_1 | U_1^{-1}, Q) \\ R_{1p}^0 + R_{2c}^0 \leq I(X_1^{-1}; U_2^{-1}; Y_1 | U_1^{-1}, Q) \end{array}$ Then, the average probability Rof error for decoder 1 09 $R_{2p} \leq \underline{A}(X_1; Y_2 | U_1, U_2, Q)$ $R_{2p} (\mathcal{E}_{R})_{2c} \leq \sum (\chi^{P}_{2} (\mathcal{E}_{2}|\mathcal{J})_{1}, Q)$ symmetry at user 2 $R_{2p} + R_{1c} \leq^{j} \mathcal{H}(X_2, U_1; Y_2 | U_2, Q)$ • Now, we bound each probability of Repror I (exp $U_1; Y_2|Q)$ 1. By the LLN, $\mathsf{P}(\mathcal{E}_{10}) \to 0$ as $n \to \infty$

 $\{(Q'', U_1''(1), U_2''(m_{20}), X_1''(1, m_{11}), Y_1'') \in \mathcal{T}_{\epsilon}^{(n)}\}$ 5) Fourier-Motzkin eliminate, to put in terms of (R_1, R_2) $= \{ (Q^n, U_1^n(m_{10}), U_2^{R_1} \notin M_{20} \in \mathcal{X}_X \times \mathcal{X}_1 \in \mathcal{M}_{10}, \mathcal{M}_{11}), Y_1^n \} \in \mathcal{T}_{\epsilon}^{(n)}$ $\begin{array}{c} 1 & \widehat{R_{1p}} + \widehat{R_{1c}} \leq I(X_1; Y_1 | U_2, Q) \\ \text{for some } m_{R_{1p}} \neq R_{2c} \neq I(X_1; U_2; Y_1 | U_1, Q) \\ \mathcal{R}_{1p} + R_{2c} \leq I(X_1, U_2; Y_1 | U_1, Q) \end{array}$ ge probabilityRof erRor tor der, oder 1 de $R_{2p} \leq I(X_1; Y_2 | U_1, U_2, Q)$ $R_{2p} (\mathcal{E}_{R_2} \leq \mathcal{I}) (\mathcal{E}_{2} | \mathcal{I}_1, Q)$ $R_{2p} + R_{1c} \leq^{j} \mathcal{H}(X_2, U_1; Y_2 | U_2, Q)$ each probability of Reprovident I (expl $U_1; Y_2|Q)$ $\mathsf{P}(\mathcal{E}_{10}) \to 0$ as $n \to R_{\mathsf{N}} \leq I(X_1; Y_1 | U_2, Q)$ $R_2 \leq I(X_2; Y_2 | U_1, Q)$ NEW $R_1 + R_2 \leq I(X_1, U_2; Y_1 | Q) + I(X_2; Y_2 | U_1, U_2, Q)$ 10-06-22 08:45) Page 6-26 $R_1 + R_2 \leq I(X_1; Y_1 | U_1, U_2, Q) + I(X_2, U_1; Y_2 | Q)$ $R_1 + R_2 \leq I(X_1, U_2; Y_1 | U_1, Q) + I(X_2, U_1; Y_2 | U_2, Q)$ $2R_1 + R_2 \le I(X_1, U_2; Y_1 | Q) + I(X_1; Y_1 | U_1, U_2, Q) + I(X_2, U_1; Y_2 | U_2, Q)$ $R_1 + 2R_2 \le I(X_2, U_1; Y_2|Q) + I(X_2; Y_2|U_1, U_2, Q) + I(X_1, U_2; Y_1|U_1, Q)$

Comments on H+K

Theorem (Han+Kobayashi inner bound). A rate pair (R_1, R_2) is achievable for a DM-IC $(\mathcal{X}_1 \times \mathcal{X}_2, p(y_1, y_2 | x_1, x_2), \mathcal{Y}_1 \times \mathcal{Y}_2)$ if it satisfies

- $R_1 \leq I(X_1; Y_1 | U_2, Q)$ (1)
- $R_2 \leq I(X_2; Y_2 | U_1, Q)$ (2)
- $R_1 + R_2 \leq I(X_1, U_2; Y_1 | Q) + I(X_2; Y_2 | U_1, U_2, Q)$ (3)
- $R_1 + R_2 \leq I(X_1; Y_1 | U_1, U_2, Q) + I(X_2, U_1; Y_2 | Q)$ (4)
- $R_1 + R_2 \leq I(X_1, U_2; Y_1 | U_1, Q) + I(X_2, U_1; Y_2 | U_2, Q)$ (5)
- $2R_1 + R_2 \le I(X_1, U_2; Y_1 | Q) + I(X_1; Y_1 | U_1, U_2, Q) + I(X_2, U_1; Y_2 | U_2, Q)$ (6)
- $R_1 + 2R_2 \le I(X_2, U_1; Y_2 | Q) + I(X_2; Y_2 | U_1, U_2, Q) + I(X_1, U_2; Y_1 | U_1, Q)$ (7)

Ask Mojtaba Vaezi for some $p(q, u_1, u_2, x_1, x_2) = p(q)p(u_1, x_1|q)p(u_2, x_2|q)$ where $|\mathcal{U}_1| \leq |\mathcal{X}_1| + 4$, $|\mathcal{U}_2| \leq |\mathcal{X}_2| + 4$, and $|\mathcal{Q}| \leq 7$.

I) Key difficulty?

Comments on H+K

Theorem (Han+Kobayashi inner bound). A rate pair (R_1, R_2) is achievable for a DM-IC $(\mathcal{X}_1 \times \mathcal{X}_2, p(y_1, y_2 | x_1, x_2), \mathcal{Y}_1 \times \mathcal{Y}_2)$ if it satisfies

$$R_1 \le I(X_1; Y_1 | U_2, Q) \tag{1}$$

$$R_2 \le I(X_2; Y_2 | U_1, Q) \tag{2}$$

$$R_1 + R_2 \le I(X_1, U_2; Y_1 | Q) + I(X_2; Y_2 | U_1, U_2, Q)$$
(3)

$$R_1 + R_2 \le I(X_1; Y_1 | U_1, U_2, Q) + I(X_2, U_1; Y_2 | Q)$$
(4)

$$R_1 + R_2 \le I(X_1, U_2; Y_1 | U_1, Q) + I(X_2, U_1; Y_2 | U_2, Q)$$
(5)

$$2R_1 + R_2 \le I(X_1, U_2; Y_1 | Q) + I(X_1; Y_1 | U_1, U_2, Q) + I(X_2, U_1; Y_2 | U_2, Q) \quad (6)$$

$$R_1 + 2R_2 \le I(X_2, U_1; Y_2 | Q) + I(X_2; Y_2 | U_1, U_2, Q) + I(X_1, U_2; Y_1 | U_1, Q)$$
(7)

for some $p(q, u_1, u_2, x_1, x_2) = p(q)p(u_1, x_1|q)p(u_2, x_2|q)$ where $|\mathcal{U}_1| \le |\mathcal{X}_1| + 4$, $|\mathcal{U}_2| \le |\mathcal{X}_2| + 4$, and $|\mathcal{Q}| \le 7$.

I) Key difficulty?

2) Tight for a few classes of channels, up next

Comments on H+K

Theorem (Han+Kobayashi inner bound). A rate pair (R_1, R_2) is achievable for a DM-IC $(\mathcal{X}_1 \times \mathcal{X}_2, p(y_1, y_2 | x_1, x_2), \mathcal{Y}_1 \times \mathcal{Y}_2)$ if it satisfies

 $R_1 \le I(X_1; Y_1 | U_2, Q) \tag{1}$

$$R_2 \le I(X_2; Y_2 | U_1, Q) \tag{2}$$

$$R_1 + R_2 \le I(X_1, U_2; Y_1 | Q) + I(X_2; Y_2 | U_1, U_2, Q)$$
(3)

 $R_1 + R_2 \le I(X_1; Y_1 | U_1, U_2, Q) + I(X_2, U_1; Y_2 | Q)$ (4)

$$R_1 + R_2 \le I(X_1, U_2; Y_1 | U_1, Q) + I(X_2, U_1; Y_2 | U_2, Q)$$
(5)

$$2R_1 + R_2 \le I(X_1, U_2; Y_1 | Q) + I(X_1; Y_1 | U_1, U_2, Q) + I(X_2, U_1; Y_2 | U_2, Q)$$
(6)

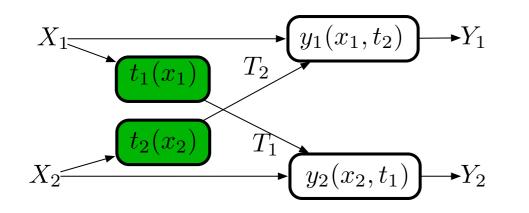
 $R_1 + 2R_2 \le I(X_2, U_1; Y_2 | Q) + I(X_2; Y_2 | U_1, U_2, Q) + I(X_1, U_2; Y_1 | U_1, Q)$ (7)

for some $p(q, u_1, u_2, x_1, x_2) = p(q)p(u_1, x_1|q)p(u_2, x_2|q)$ where $|\mathcal{U}_1| \le |\mathcal{X}_1| + 4$, $|\mathcal{U}_2| \le |\mathcal{X}_2| + 4$, and $|\mathcal{Q}| \le 7$.

 Key difficulty?
 Tight for a few classes of channels, up next
 As of 2015 and the great work of Chandra Nair, NOT tight in general!

Does H+K ever achieve capacity?

Class of deterministic ICs



deterministic have capacity in general

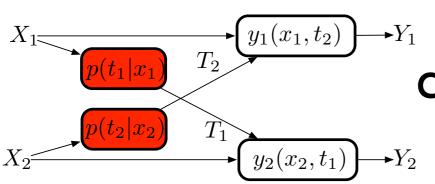
- for every $x_1, y_1(x_1, t_2)$ is a one-to-one function of t_2
- for every x_2 , $y_2(x_2, t_1)$ is a one-to-one function of t_1



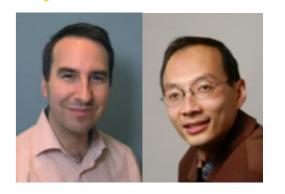
[A. El Gamal and M. H. M. Costa, "The capacity region of a class of deterministic interference channels," IEEE Trans. Inf. Theory, vol. 28, no. 2, pp. 343–346, 1982.]

recovers / generalizes

Class of **semi-**deterministic ICs

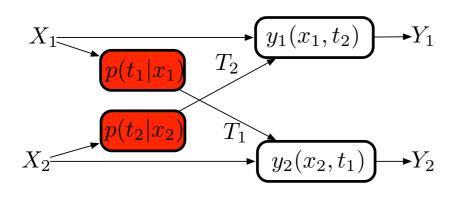


probabilistic constant gap to capacity



.[I. E. Telatar and D. N. C. Tse, "Bounds on the capacity region of a class of interference channels," in Proc. IEEE International Symposium on Information Theory, Nice, France, June 2007.]

Class of **semi-**deterministic ICs

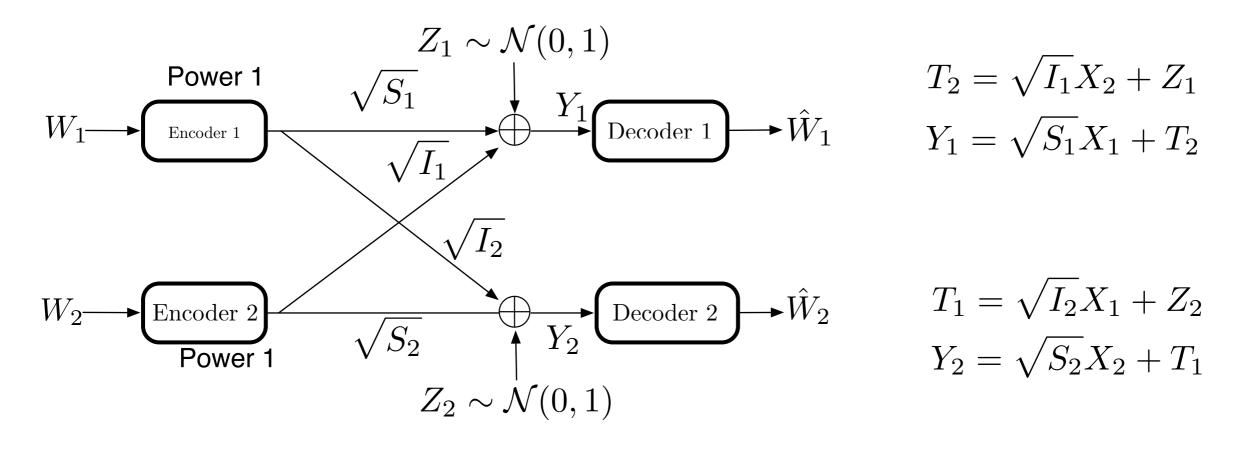


• for every x_1 , $y_1(x_1, t_2)$ is a one-to-one function of t_2 • for every x_1 , $y_1(x_1, t_2)$ is a one-to-one function of t_2

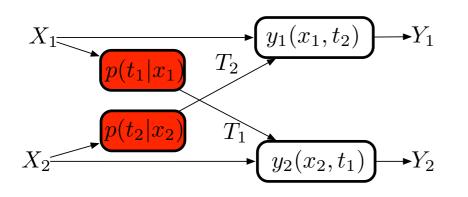
• for every x_2 , $y_2(x_2, t_1)$ is a one-to-one function of t_1

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probabilistic
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Gaussian is a special case!



Class of **semi-**deterministic ICs

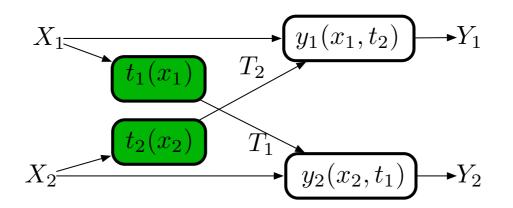


• for every $x_1, y_1(x_1, t_2)$ is a one-to-one function of t_2

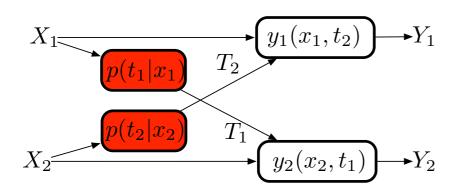
• for every x_2 , $y_2(x_2, t_1)$ is a one-to-one function of t_1

probabilistic

Gaussian is a special case! Deterministic is a special case!



Inner bound: class of **semi-**deterministic ICs



for every x₁, y₁(x₁, t₂) is a one-to-one function of t₂
for every x₂, y₂(x₂, t₁) is a one-to-one function of t₁

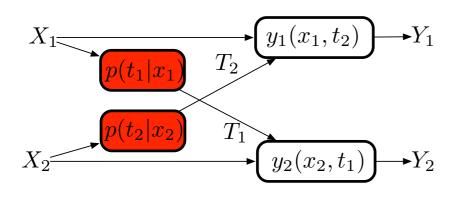
probabilistic

Theorem (inner bound of semi-deterministic IC) The following rate pairs (R_1, R_2) are achievable (Han+Kobayashi scheme under restriction $p(u_1, u_2|q, x_1, x_2) = p_{T_1|X_1}(u_1|x_1)p_{T_2|X_2}(u_2|x_2)$:

 $\begin{aligned} R_{1} &\leq H(Y_{1}|U_{2},Q) - H(T_{2}|U_{2},Q) & (1) \\ R_{2} &\leq H(Y_{2}|U_{1},Q) - H(T_{1}|U_{1},Q) + Kobayashi & (2) \\ R_{1} + R_{2} &\leq H(Y_{1}|Q) + H(Y_{2}|U_{1} + Man + T_{1}|U_{1},Q) - H(T_{2}|U_{2},Q) & (3) \\ R_{1} + R_{2} &\leq H(Y_{1}|U_{1},U_{2},Q) + H(T_{2}|Q) - H(T_{1}|U_{1},Q) - H(T_{2}|U_{2},Q) & (4) \\ R_{1} + R_{2} &\leq H(Y_{1}|U_{1},Q) + H(Y_{2}|U_{2},Q) - H(T_{1}|U_{1},Q) - H(T_{2}|U_{2},Q) & (5) \\ 2R_{1} + R_{2} &\leq H(Y_{1}|Q) + H(Y_{1}|U_{1},X_{2},Q) + H(Y_{2}|U_{2},Q) - H(T_{1}|U_{1},Q) - 2H(T_{2}|U_{2},Q) & (6) \\ R_{1} + 2R_{2} &\leq H(Y_{2}|Q) + H(Y_{2}|U_{1},U_{2},Q) + H(Y_{1}|U_{1},Q) - 2H(T_{1}|U_{1},Q) - H(T_{2}|U_{2},Q) & (7) \end{aligned}$

for some $p(q, x_1, x_2) = p(q)p(x_1|q)p(x_2|q)$ and $p(u_1, u_2|q, x_1, x_2) = p_{T_1|X_1}(u_1|x_1)p_{T_2|X_2}(u_2|x_2)$.

Outer bound: class of **semi-**deterministic ICs



for every x₁, y₁(x₁, t₂) is a one-to-one function of t₂
for every x₂, y₂(x₂, t₁) is a one-to-one function of t₁

 $\mathcal{R}_O(Q, X_1, X_2)$

probabilistic

Theorem (outer bound of semi-deterministic IC) Every achievable rate pair (R_1, R_2) must satisfy

$$R_{1} \leq H(Y_{1}|X_{2},Q) - H(T_{2}|X_{2}) \qquad (1)$$

$$R_{2} \leq H(Y_{2}|X_{1},Q) - H(T_{1}|X_{1}) \qquad (2)$$

$$R_{1} + R_{2} \leq H(Y_{1}|Q) + H(Y_{2}|U_{2}, X, Clever_{1}|X_{1}) - H(T_{2}|X_{2}) \qquad (3)$$

$$R_{1} + R_{2} \leq H(Y_{1}|U_{1}, Y, CRCK_{1,q}) - H(T_{1}|X_{1}) - H(T_{2}|X_{2}) \qquad (4)$$

$$R_{1} + R_{2} \leq H(Y_{1}|U_{1}, Y, CRCK_{1,q}) - H(T_{1}|X_{1}) - H(T_{2}|X_{2}) \qquad (5)$$

$$2R_{1} + R_{2} \leq H(Y_{2}|Q) + H(Y_{1}|U_{1}, X_{2}, Q) - H(T_{1}|Y_{1}) - H(T_{2}|X_{2}) \qquad (6)$$

$$R_{1} + 2R_{2} \leq H(Y_{2}|Q) + H(Y_{2}|U_{2}, X_{1}, Q) + H(Y_{1}|U_{1}, Q) - 2H(T_{1}|X_{1}) - H(T_{2}|X_{2}) \qquad (7)$$

for some $p(q, x_1, x_2) = p(q)p(x_1|q)p(x_2|q)$ and $p(u_1, u_2|q, x_1, x_2) = p_{T_1|X_1}(u_1|x_1)p_{T_2|X_2}(u_2|x_2)$.

Outline:

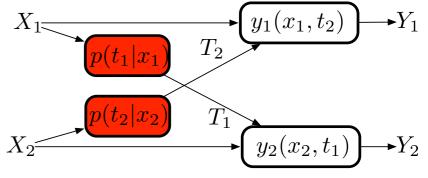
 Showcases major difficulty in converses: singleletterization (if this is desired...)
 Neat trick of combining many multi-letter terms

Define random variables U_1^n and U_2^n such that U_{ji} is jointly distributed with X_{ji} according to $p_{T_j|X_j}(u|x_{ji})$, conditionally independent of T_{ji} given X_{ji} for every j = 1, 2 and every $i \in [1:n]$.

$$nR_j = H(W_j) = I(W_j; Y_j^n) + H(W_j | Y_j^n)$$

$$\leq I(W_j; Y_j^n) + n\epsilon_n$$

$$\leq I(X_j^n; Y_j^n) + n\epsilon_n$$



Bound A1:

$$nR_{1} \leq I(X_{1}^{n}; Y_{1}^{n})$$

= $H(Y_{1}^{n}) - H(Y_{1}^{n}|X_{1}^{n})$
= $H(Y_{1}^{n}) - H(T_{2}^{n}|X_{1}^{n})$
= $H(Y_{1}^{n}) - H(T_{2}^{n})$
 $\leq \sum_{i=1}^{n} H(Y_{1i}) - H(T_{2}^{n})$

 $y_1(x_1,t_2)$

 T_2

 $p(t_1|x_1)$

 $\rightarrow Y_1$

 X_{15}

Bound B1: (genie at Rx 1 of U_1^n, X_2^n)

$$nR_{1} \leq I(X_{1}^{n};Y_{1}^{n}, \bigcup_{1}^{n}, X_{2}^{n})$$

$$= I(X_{1}^{n};U_{1}^{n}) + I(X_{1}^{n};X_{2}^{n}|U_{1}^{n}) + I(X_{1}^{n};Y_{1}^{n}|U_{1}^{n}, X_{2}^{n})$$

$$= H(U_{1}^{n}) - H(U_{1}^{n}|X_{1}^{n}) + H(Y_{1}^{n}|U_{1}^{n}, X_{2}^{n}) - H(Y_{1}^{n}|X_{1}^{n}, U_{1}^{n}, X_{2}^{n})$$

$$\stackrel{(a)}{=} H(T_{1}^{n}) - H(U_{1}^{n}|X_{1}^{n}) + H(Y_{1}^{n}|U_{1}^{n}, X_{2}^{n}) - H(T_{2}^{n}|X_{2}^{n})$$

$$\leq \overline{H(T_{1}^{n})} - \sum_{i=1}^{n} H(U_{1i}|X_{1i}) + \sum_{i=1}^{n} H(Y_{1i}|U_{1i}, X_{2i}) - \sum_{i=1}^{n} H(T_{2i}|X_{2i})$$
Bound C1: (genie at Rx 1 of U_{1}^{n})
$$= I(X_{1}^{n}; Y_{1}^{n}, U_{1}^{n})$$

$$= H(U_{1}^{n}) - H(U_{1}^{n}|X_{1}^{n}) + H(Y_{1}^{n}|U_{1}^{n}) - H(Y_{1}^{n}|X_{1}^{n}, U_{1}^{n})$$

$$= H(U_{1}^{n}) - H(U_{1}^{n}|X_{1}^{n}) + H(Y_{1}^{n}|U_{1}^{n}) - H(Y_{1}^{n}|X_{1}^{n}, U_{1}^{n})$$

$$= H(T_{1}^{n}) - H(U_{1}^{n}|X_{1}^{n}) + H(Y_{1}^{n}|U_{1}^{n}) - H(Y_{1}^{n}|X_{1}^{n}, U_{1}^{n})$$

$$\leq \overline{H(T_{1}^{n})} - \left[\overline{H(T_{2}^{n})}\right] - \sum_{i=1}^{n} H(U_{1i}|X_{1i}) + \sum_{i=1}^{n} H(Y_{1i}|U_{1i})$$

 $X_{1} \xrightarrow{p(t_{1}|x_{1})} Y_{1}$ $y_{1}(x_{1}, t_{2}) \xrightarrow{Y_{1}} Y_{1}$ $y_{1}(x_{1}, t_{2}) \xrightarrow{Y_{1}} Y_{1}$ $y_{2}(x_{2}, t_{1}) \xrightarrow{Y_{2}} Y_{2}$

Bound D1: (genie at $\mathbb{R}x \ 1 \text{ of } X_2^n$)

$$nR_{1} \leq I(X_{1}^{n}; Y_{1}^{n}, X_{2}^{n})$$

$$= I(X_{1}^{n}; X_{2}^{n}) + I(X_{1}^{n}; Y_{1}^{n} | X_{2}^{n})$$

$$= H(Y_{1}^{n} | X_{2}^{n}) - H(Y_{1}^{n} | X_{1}^{n}, X_{2}^{n})$$

$$= H(Y_{1}^{n} | X_{2}^{n}) - H(T_{2}^{n} | X_{2}^{n})$$

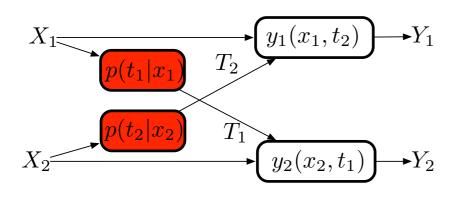
$$\leq \sum_{i=1}^{n} H(Y_{1i} | X_{2i}) - \sum_{i=1}^{n} H(T_{2i} | X_{2i})$$

by symmetry, obtain analogous bounds at Rx 2

$$\begin{aligned} X_{1} & \xrightarrow{y_{1}(x_{1}, t_{2})} \xrightarrow{y_{1}(x_{1}, t_{2})} \xrightarrow{Y_{1}} \\ \text{Bound A1:} \quad nR_{1} \leq \sum_{i=1}^{n} H(Y_{1i}) - \boxed{H(T_{2}^{n})} \\ & \xrightarrow{y_{1}(t_{1}|x_{1})} \xrightarrow{Y_{2}} \\ \text{Bound B1:} \quad nR_{1} \leq \boxed{H(T_{1}^{n})} - \sum_{i=1}^{n} H(U_{1i}|X_{1i}) + \sum_{i=1}^{n} H(Y_{1i}|U_{1i}, X_{2i}) - \sum_{i=1}^{n} H(T_{2i}|X_{2i}) \\ \text{Bound C1:} \quad nR_{1} \leq \boxed{H(T_{1}^{n})} - \boxed{H(T_{2}^{n})} - \sum_{i=1}^{n} H(U_{1i}|X_{1i}) + \sum_{i=1}^{n} H(Y_{1i}|U_{1i}) \\ \text{Bound D1:} \quad nR_{1} \leq \sum_{i=1}^{n} H(Y_{1i}|X_{2i}) - \sum_{i=1}^{n} H(T_{2i}|X_{2i}) \\ \text{Bound A2:} \quad nR_{2} \leq \sum_{i=1}^{n} H(Y_{2i}) - \boxed{H(T_{1}^{n})} \\ \text{Bound B2:} \quad nR_{2} \leq \boxed{H(T_{2}^{n})} - \sum_{i=1}^{n} H(U_{2i}|X_{2i}) + \sum_{i=1}^{n} H(Y_{2i}|U_{2i}, X_{1i}) - \sum_{i=1}^{n} H(T_{1i}|X_{1i}) \\ \text{Bound C2:} \quad nR_{2} \leq \boxed{H(T_{2}^{n})} - \boxed{H(T_{1}^{n})} - \sum_{i=1}^{n} H(U_{2i}|X_{2i}) + \sum_{i=1}^{n} H(Y_{2i}|U_{2i}) \\ \text{Bound D2:} \quad nR_{2} \leq \sum_{i=1}^{n} H(Y_{2i}|X_{1i}) - \sum_{i=1}^{n} H(T_{1i}|X_{1i}) \end{aligned}$$

Combine these in different ways and use Q time-sharing

Outer bound: class of **semi-**deterministic ICs



for every x₁, y₁(x₁, t₂) is a one-to-one function of t₂
for every x₂, y₂(x₂, t₁) is a one-to-one function of t₁

probabilistic

 $\mathcal{R}_O(Q, X_1, X_2)$

Theorem (outer bound of semi-deterministic IC) Every achievable rate pair (R_1, R_2) must satisfy

$$R_{1} \leq H(Y_{1}|X_{2},Q) - H(T_{2}|X_{2}) \qquad (1)$$

$$R_{2} \leq H(Y_{2}|X_{1},Q) - H(T_{1}|X_{1}) \qquad (2)$$

$$R_{1} + R_{2} \leq H(Y_{1}|Q) + H(Y_{2}|U_{2},Y, \textbf{Clever}_{1}|X_{1}) - H(T_{2}|X_{2}) \qquad (3)$$

$$R_{1} + R_{2} \leq H(Y_{1}|U_{1},Y, \textbf{TRICK}, q) - H(T_{1}|X_{1}) - H(T_{2}|X_{2}) \qquad (4)$$

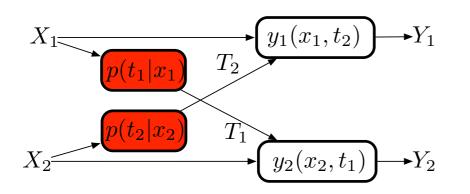
$$R_{1} + R_{2} \leq H(Y_{1}|U_{1},Y, \textbf{TRICK}, q) - H(T_{1}|X_{1}) - H(T_{2}|X_{2}) \qquad (5)$$

$$2R_{1} + R_{2} \leq H(Y_{2}|Q) + H(Y_{1}|U_{1},X_{2},Q) - H(T_{1}|Y_{1}) - H(T_{2}|X_{2}) \qquad (6)$$

$$R_{1} + 2R_{2} \leq H(Y_{2}|Q) + H(Y_{2}|U_{2},X_{1},Q) + H(Y_{1}|U_{1},Q) - 2H(T_{1}|X_{1}) - H(T_{2}|X_{2}) \qquad (7)$$

for some $p(q, x_1, x_2) = p(q)p(x_1|q)p(x_2|q)$ and $p(u_1, u_2|q, x_1, x_2) = p_{T_1|X_1}(u_1|x_1)p_{T_2|X_2}(u_2|x_2)$.

GAP: Class of **semi-**deterministic ICs



• for every $x_1, y_1(x_1, t_2)$ is a one-to-one function of t_2

• for every x_2 , $y_2(x_2, t_1)$ is a one-to-one function of t_1

probabilistic

Theorem (gap for class H+K + new outer directly $\mathcal{R}_O(Q, X_1, X_2)$ then $(R_1 \operatorname{Compare H+K} + new \operatorname{Outer directly} (X_1, X_2), I_1 | U_1, Q)$ is achievable.

.[I. E. Telatar and D. N. C. Tse, "Bounds on the capacity region of a class of interference channels," in Proc. IEEE International Symposium on Information Theory, Nice, France, June 2007.]

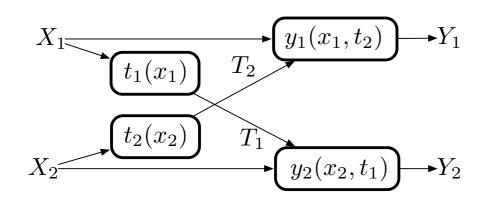
Theorem (gap for Gaussian IC) If (R_1, P_2) for Gaussian then $(R_1 - 1/2, R_2 - 1/2)$ is achievable.

[R. Etkin, D. Tse, and H. Wang, "Gaussian interference channel capacity to within one bit," IEEE Trans. Inf. Theory, vol. 54, no. 12, pp. 5534–5562, Dec. 2008.]

Theorem (gap for class of deterministic ICs) $U_{i}(X;T|U,Q)=0$ function of x_i , and the one-to-one construinistic, then U=T and U(X;T|U,Q)=0bounds match and we have $U_{i} \times to T$ is deterministic, then U=T and U(X;T|U,Q)=0when X to T is deterministic, then U=T and U(X;T|U,Q)=0.

[[]A. El Gamal and M. H. M. Costa, "The capacity region of a class of deterministic interference channels," IEEE Trans. Inf. Theory, vol. 28, no. 2, pp. 343–346, 1982.]

Capacity: class of deterministic ICs



- for every $x_1, y_1(x_1, t_2)$ is a one-to-one function of t_2
- for every x_2 , $y_2(x_2, t_1)$ is a one-to-one function of t_1

(6)

Theorem (capacity of class of deterministic IC) The capacity region of the class of deterministic interference channels is the set of rate pairs (R_1, R_2) such that

$$R_1 \le H(Y_1|T_2, Q) \tag{1}$$

$$R_2 \le H(Y_2|T_1, Q) \tag{2}$$

$$R_1 + R_2 \le H(Y_1|Q) + H(Y_2|T_1, T_2, Q)$$
(3)

$$R_1 + R_2 \le H(Y_1|T_1, T_2, Q) + H(Y_2|Q) \tag{4}$$

$$R_1 + R_2 \le H(Y_1|T_2, Q) + H(Y_2|T_1, Q)$$
(5)

$$2R_1 + R_2 \le H(Y_1|Q) + H(Y_1|T_1, T_2, Q) + H(Y_2|T_2, Q)$$

 $R_1 + 2R_2 \le H(Y_2|Q) + H(Y_2|T_1, T_2, Q) + H(Y + 1|T_1, Q)$ (7)

for some $p(q)p(x_1|q)p(x_2|q)$.

Forced interference quotes



"Do not let the things that you can't do interfere with the things that you can do"

- John Wooden



"I have never let schooling interfere with my education"

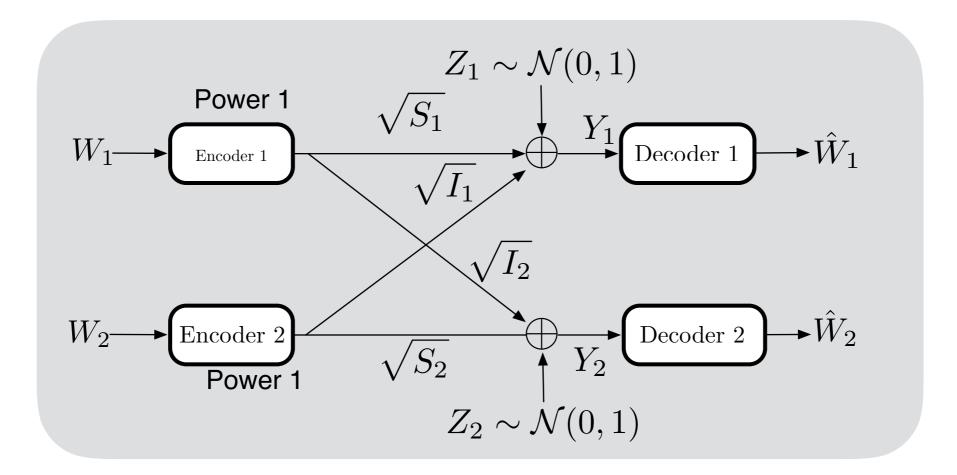
- Mark Twain

Does H+K ever achieve capacity?

almost.....

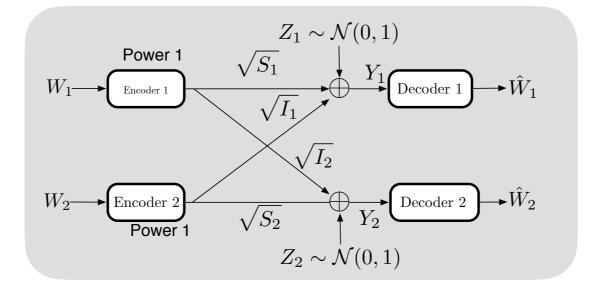
The Gaussian IC

The AWGN-IC



of practical relevance in wireless systems: cellular, wireless local area networks (WiFi), ad hoc networks (wireless sensors or nodes)

AWGN: H+K achieves capacity to within 1/2 bit



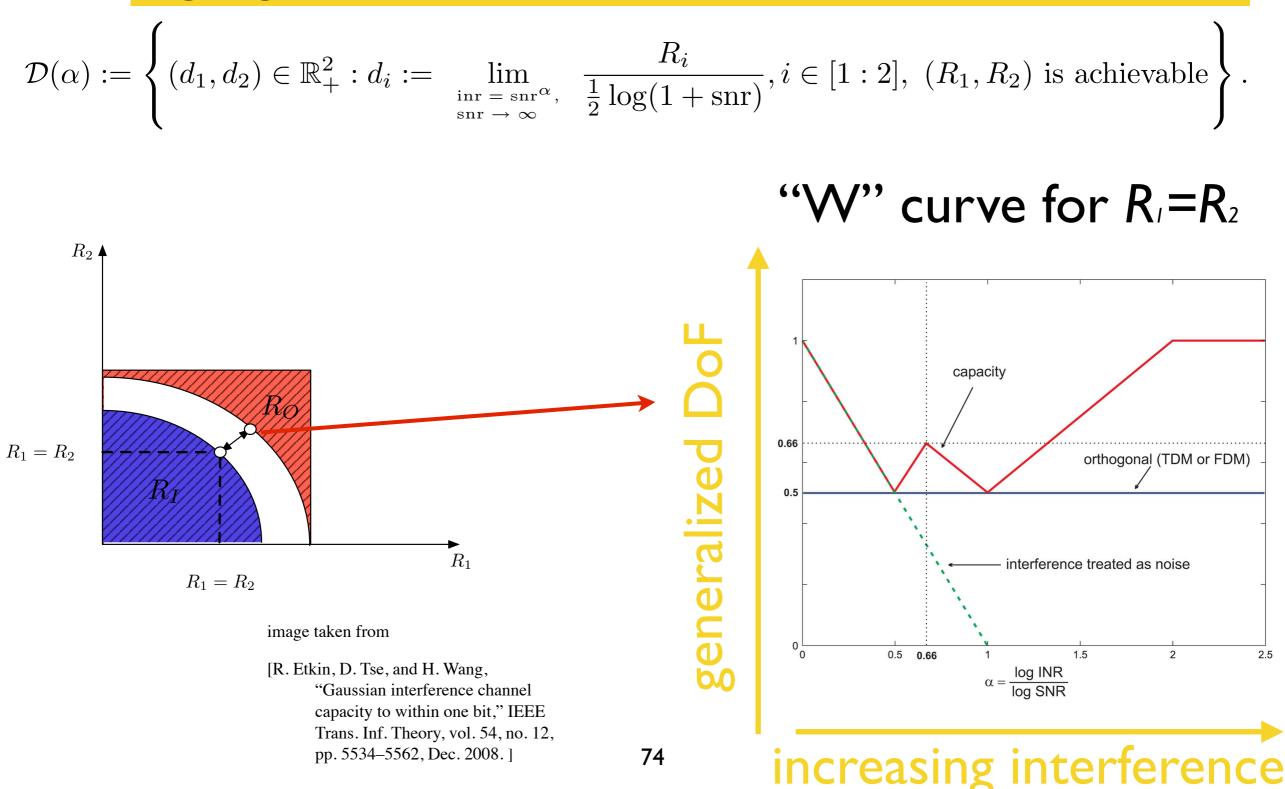
Theorem (gap for Gaussian IC) If (R_1, R_2) is in the outer bound \mathcal{R}_O^{AWGN} then $(R_1 - 1/2, R_2 - 1/2)$ is achievable.

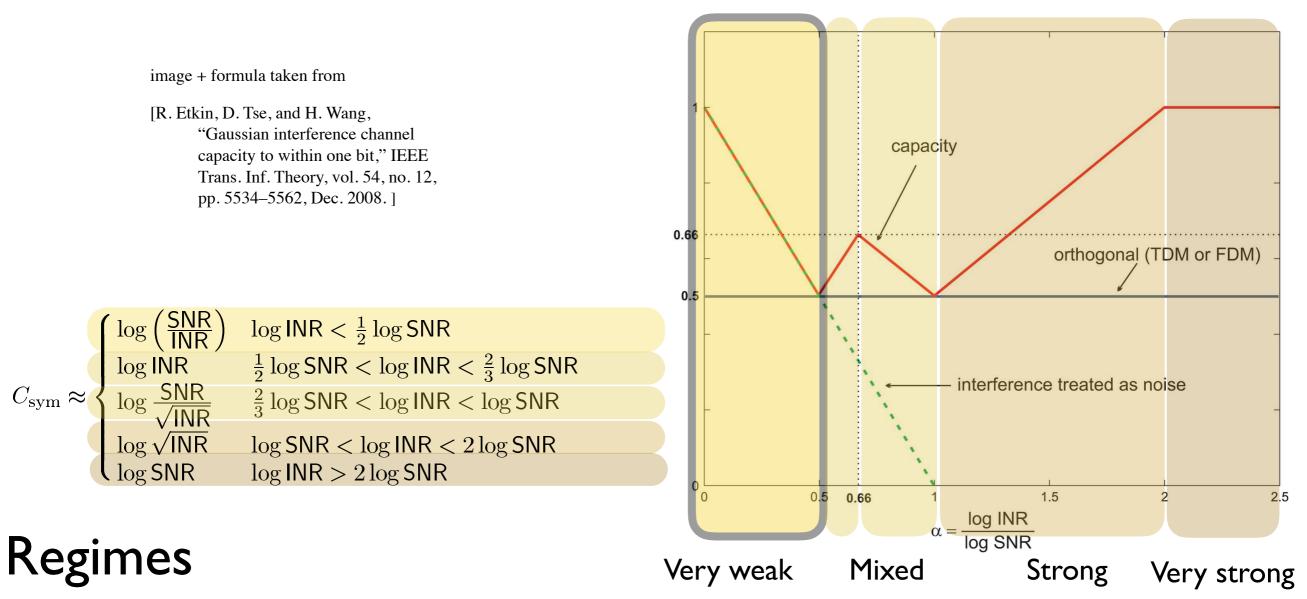
Etkin, Tse, Wang show how to pick Gaussian inputs in H+K scheme

[R. Etkin, D. Tse, and H. Wang, "Gaussian interference channel capacity to within one bit," IEEE Trans. Inf. Theory, vol. 54, no. 12, pp. 5534–5562, Dec. 2008.]

depends on the regime of operation

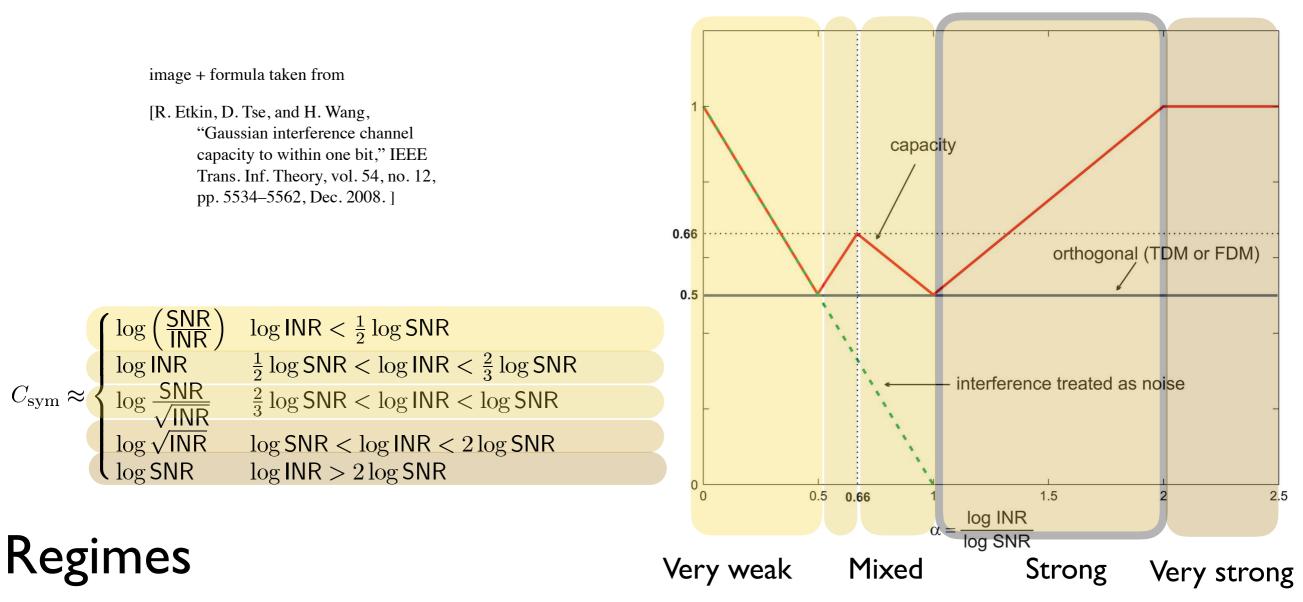
highlights effect of interference rather than noise





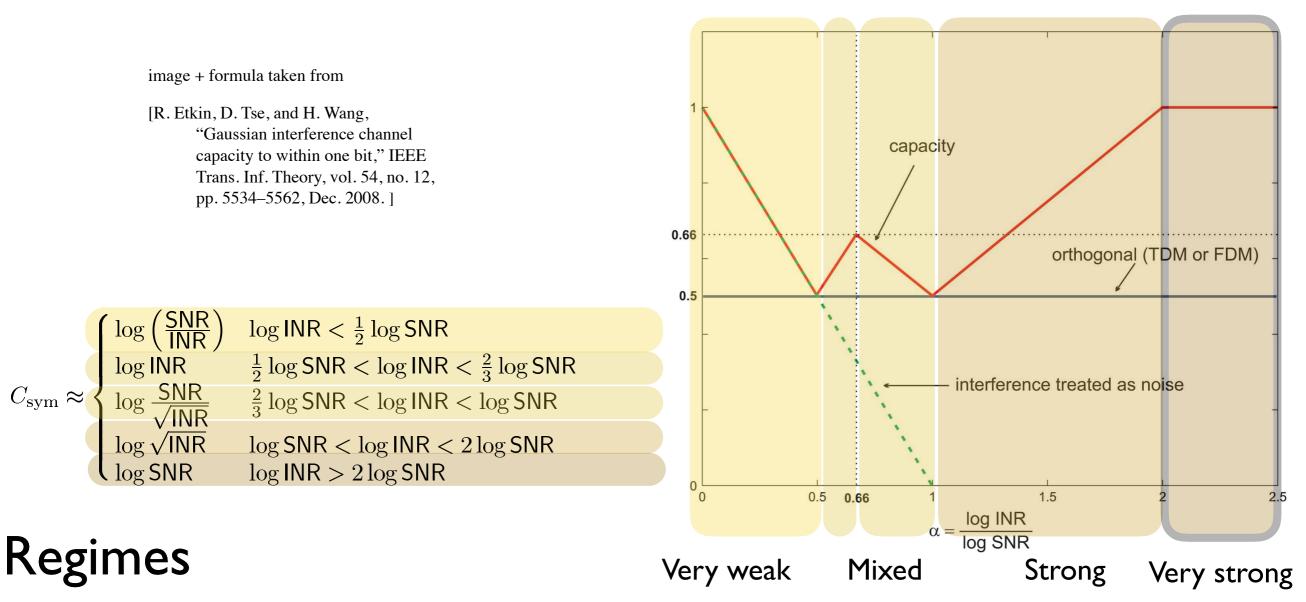
Very weak: treating interference as noise is sometimes sum-capacity optimal, capacity partially known

- [X. Shang, G. Kramer, and B. Chen, "A new outer bound and the noisy-interference sum-rate capacity for Gaussian interference channels," IEEE Trans. Inf. Theory, vol. 55, no. 2, pp. 689–699, Feb. 2009.]
- [V. S. Annapureddy and V. V. Veeravalli, "Gaussian interference networks: Sum capacity in the low interference regime and new outer bounds on the capacity region," IEEE Trans. Inf. Theory, vol. 55, no. 7, pp. 3032–3050, July 2009.]
- [A. S. Motahari and A. K. Khandani, "Capacity bounds for the Gaussian interference channel," IEEE Trans. Inf. Theory, vol. 55, no. 2, pp. 620–643, Feb. 2009.] **75**



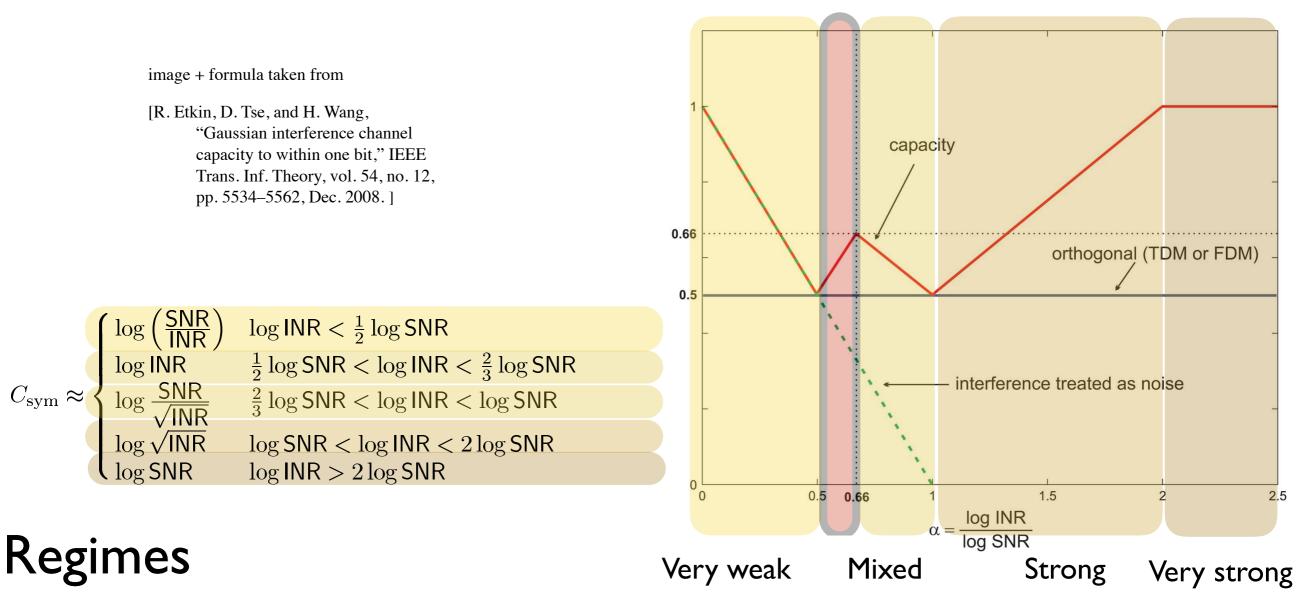
Very weak: treating interference as noise is sometimes sum-capacity optimal, capacity partially known

Strong: jointly decoding both messages at both receivers is capacity optimal, capacity known



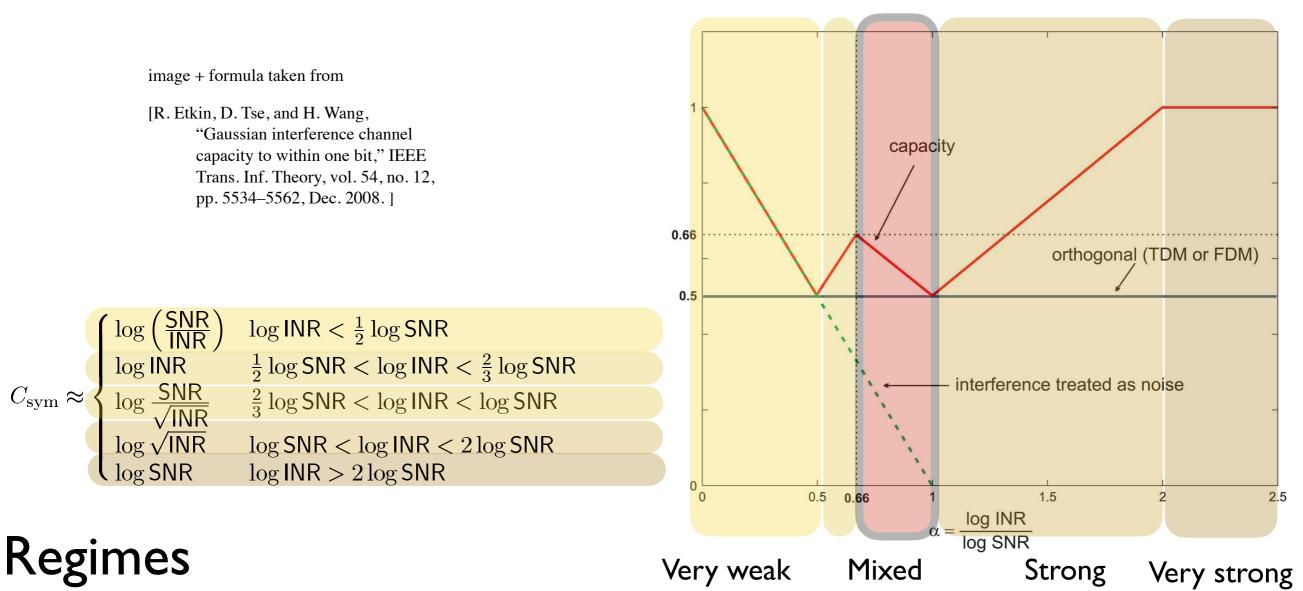
Very weak: treating interference as noise is sometimes sum-capacity optimal, capacity partially known

Strong: jointly decode both messages at both receivers is capacity optimal, capacity known Very strong: first decode interference then desired is capacity optimal, capacity known



Very weak: treating interference as noise is sometimes capacity optimal, capacity partially known Mixed I: partially decode interference H+K is gDoF optimal — larger INR, cancel more, capacity unknown

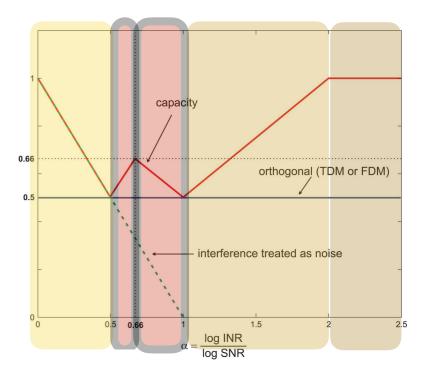
Strong: jointly decode both messages at both receivers is capacity optimal, capacity known Very strong: first decode interference then desired is capacity optimal, capacity known



Very weak: treating interference as noise is sometimes capacity optimal, capacity partially known Mixed I: partially decode interference H+K is gDoF optimal — larger INR, cancel more, capacity unknown Mixed 2: partially decode interference H+K is gDoF optimal — larger INR hurts, capacity unknown Strong: jointly decode both messages at both receivers is capacity optimal, capacity known Very strong: first decode interference then desired is capacity optimal, capacity known 79

AWGN: Regimes

OPEN



HIGHLY RECOMMEND LOOKING AT DAVID TSE'S SLIDES + IGAL SASON'S PAPERS ON GAUSSIAN ICs FOR FURTHER INSIGHT!

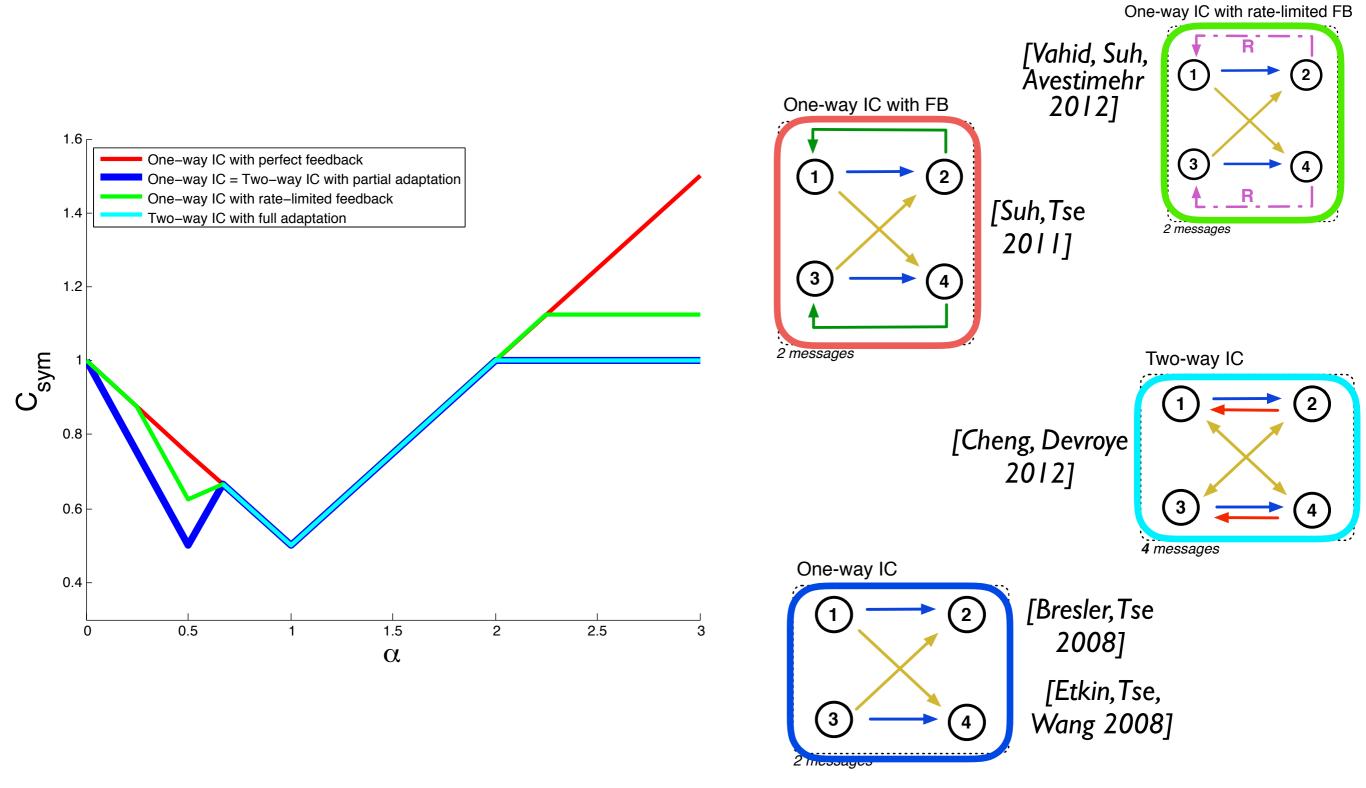
Very weak: treating interference as noise is sometimes sum-capacity optimal, capacity partially known Mixed 1: partially decode interference H+K is gDoF optimal — larger INR, cancel more, capacity unknown Mixed 2: partially decode interference H+K is gDoF optimal — larger INR hurts, capacity unknown Strong: jointly decode both messages at both receivers is capacity optimal, capacity known Very strong: first decode interference then desired is capacity optimal, capacity known

Use Han+Kobayashi scheme with private level set such that received at same level as noise at undesired receiver

Simple, almost optimal but not necessarily the best, in these challenging regimes

[R. Etkin, D. Tse, and H. Wang, "Gaussian interference channel capacity to within one bit," IEEE Trans. Inf. Theory, vol. 54, no. 12, pp. 5534– 5562, Dec. 2008.]

Some other GDoF comparisons



2 recent results

[C. Nair, L. Xia, M. Yazdanpanah, "Sub-optimality of the Han-and-Kobayashi Achievable Region for Interference Channels," Proc. of ISIT, 2015.]

I) Simplified channel model, Z-IC

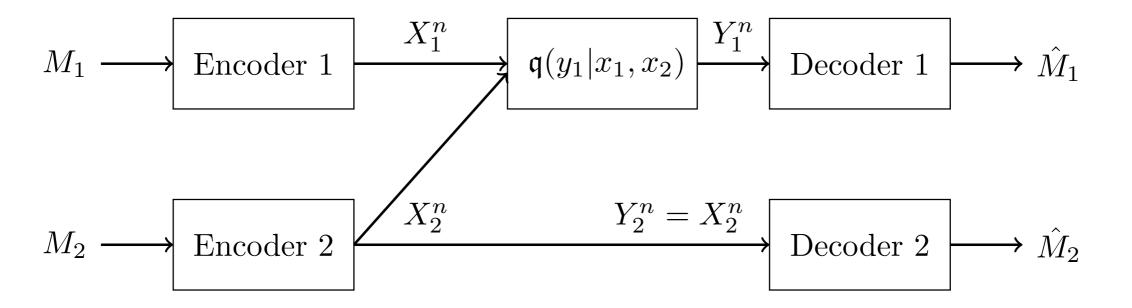


Fig. 2: Discrete memoryless CZI channel



[C. Nair, L. Xia, M. Yazdanpanah, "Sub-optimality of the Han-and-Kobayashi Achievable Region for Interference Channels," Proc. of ISIT, 2015.]

2) Characterize max sum-rate for H+K along the direction $\lambda R_1 + R_2$

Lemma 1. For a CZI channel, for all $\lambda > 1$

 $\max_{\mathcal{R}_{hk}}(\lambda R_1 + R_2) = \max_{p_1(x_1)p_2(x_2)} \Big\{ I(X_1, X_2; Y_1) + \mathfrak{C}_{p_2(x_2)} \Big[H(X_2) - I(X_2; Y_1 | X_1) + (\lambda - 1)I(X_1; Y_1) \Big] \Big\},\$

where $\mathfrak{C}[f(x)]$ of f(x) denotes the upper concave envelope of f(x) over x. [4]

[4] Chandra Nair, <u>Upper concave envelopes and auxiliary random variables</u>, International Journal of Advances in Engineering Sciences and Applied Mathematics 5 (2013), no. 1, 12–20 (English).

Look at two-letter treating interference as noise region

Proposition 3. The set of rate pairs satisfying

$$R_{1} = \frac{1}{2}I(X_{11}, X_{12}; Y_{11}, Y_{12}|Q),$$

$$R_{2} = \frac{1}{2}H(X_{21}, H_{22}|Q),$$

for some $pmf p(q)p(x_{11}, x_{12}|q)p(x_{21}x_{22}|q)$ with $|Q| \leq 2$ is achievable by the original channel.



[C. Nair, L. Xia, M. Yazdanpanah, "Sub-optimality of the Han-and-Kobayashi Achievable Region for Interference Channels," Proc. of ISIT, 2015.]

4) Find computable channel for which two-letter TIN outperforms i.i.d. HK $\lambda R_1 + R_2$

Analytical for
$$\lambda = 2$$

 $(p_0, q_0) = (0.507829413, 0.436538150)$

 $P((X_{11}, X_{12}) = (0, 0)) = p_0$ $P((X_{11}, X_{12}) = (1, 1)) = 1 - p_0$ repetition coding! $P((X_{21}, X_{22}) = (0, 0)) = 0.36q_0$ $P((X_{21}, X_{22}) = (0, 1)) = P((X_{21}, X_{22}) = (1, 0)) = 0.64q_0$ $P((X_{21}, X_{22}) = (1, 1)) = 1 - 1.64q_0$

memory

$\mathfrak{q}(y_1 x_1,x_2) =$	$\int P(Y_1 = 0 X_1, X_2 = 0, 0)$	$P(Y_1 = 0 X_1, X_2 = 0, 1)$
	$P(Y_1 = 0 X_1, X_2 = 1, 0)$	$P(Y_1 = 0 X_1, X_2 = 1, 1)$].

Tab. 1: Table of counter-examples				
λ	channel	$\max_{\mathcal{R}_{hk}}(\lambda R_1 + R_2)$	$\max_{\mathcal{R}_{two}}(\lambda R_1 + R_2)$	
2	$\begin{bmatrix} 1 & 0.5 \\ 1 & 0 \end{bmatrix}$	1.107516	1.108141	
2.5	$\begin{bmatrix} 0.204581 & 0.364813 \\ 0.030209 & 0.992978 \end{bmatrix}$	1.159383	1.169312	
3	$\begin{bmatrix} 0.591419 & 0.865901 \\ 0.004021 & 0.898113 \end{bmatrix}$	1.241521	1.255814	
3	$\begin{bmatrix} 0.356166 & 0.073253 \\ 0.985504 & 0.031707 \end{bmatrix}$	1.292172	1.311027	
3	$\begin{bmatrix} 0.287272 & 0.459966 \\ 0.113711 & 0.995405 \end{bmatrix}$	1.117253	1.123151	
4	$\begin{bmatrix} 0.429804 & 0.147712 \\ 0.948192 & 0.002848 \end{bmatrix}$	1.181392	1.196189	
4	$\begin{bmatrix} 0.068730 & 0.443630 \\ 0.011377 & 0.954887 \end{bmatrix}$	1.223409	1.243958	
5	$\begin{bmatrix} 0.969199 & 0.564440 \\ 0.954079 & 0.061409 \end{bmatrix}$	1.351229	1.372191	
5	$\begin{bmatrix} 0.943226 & 0.447252 \\ 0.950791 & 0.024302 \end{bmatrix}$	1.231254	1.250564	
6	$\begin{bmatrix} 0.943292 & 0.045996 \\ 0.589551 & 0.202487 \end{bmatrix}$	1.069405	1.076932	
6	$\begin{bmatrix} 0.714431 & 0.019375 \\ 0.955918 & 0.448539 \end{bmatrix}$	1.528508	1.541781	
7	$\begin{bmatrix} 0.058449 & 0.558649 \\ 0.194915 & 0.959172 \end{bmatrix}$	1.424974	1.452769	
7	$\begin{bmatrix} 0.033312 & 0.876067 \\ 0.286125 & 0.992825 \end{bmatrix}$	1.179438	1.187867	
10	$\begin{bmatrix} 0.307723 & 0.874843 \\ 0.032090 & 0.710535 \end{bmatrix}$	1.370830	1.388674	
15	$\begin{bmatrix} 0.946802 & 0.311909 \\ 0.730770 & 0.155075 \end{bmatrix}$	1.391596	1.406325	
100	$\begin{bmatrix} 0.382410 & 0.081474 \\ 0.584797 & 0.241840 \end{bmatrix}$	3.754016	3.789316	
100	$\begin{bmatrix} 0.673979 & 0.194596 \\ 0.781192 & 0.285216 \end{bmatrix}$	1.711938	1.730715	

[C. Nair, L. Xia, M. Yazdanpanah, "Sub-optimality of the Han-and-Kobayashi Achievable Region for Interference Channels," Proc. of ISIT, 2015.]

All known capacity results use H+K....

Intuition:

 X_2 acts as a state for $X_1 \rightarrow Y_1$ channel

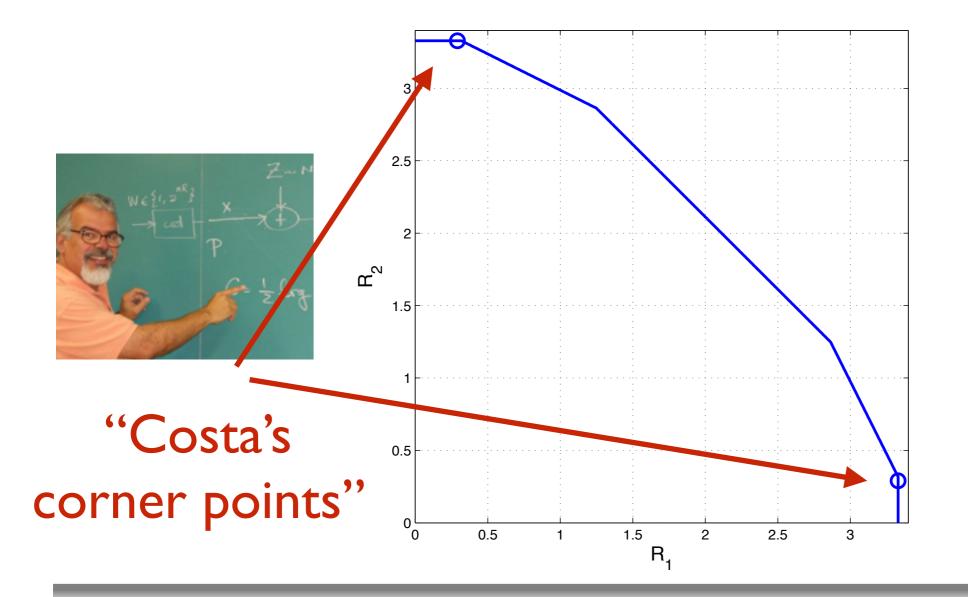
i.i.d. distributions on X_1 are not optimal if state has memory



2 recent results

2) Costa's corner point conjecture

images taken from slides of [I. Sason, ``On the Corner Points of the Capacity Region of a Two-User Gaussian Interference Channel," Proc. of ISIT, 2 4.]





Recent result: Costa's corner point conjecture

images taken from slides of [I. Sason, ``On the Corner Points of the Capacity Region of a Two-User Gaussian Interference Chaese, ``On the Corner Points of the Capacity Region of a Two-User Gaussian Interference Chaese, ``On the Corner Points of the Capacity Region of a Two-User Gaussian Interference Chaese, ``On the Corner Points of the Capacity Region of a Two-User Gaussian Interference Chaese, ``On the Corner Points of the Capacity Region of a Two-User Gaussian Interference Chaese, ``On the Corner Points of the Capacity Region of a Two-User Gaussian Interference Chaese, ``On the Corner Points of the Capacity Region of a Two-User Gaussian Interference Chaese, ``On the Corner Points of the Capacity Region of a Two-User Gaussian Interference Chaese, ``On the Corner Points of the Capacity Region of a Two-User Gaussian Interference Chaese, ``On the Corner Points of the Capacity Region of a Two-User Gaussian Interference Chaese, ``On the Corner Points of the Capacity Region of a Two-User Gaussian Interference Chaese, ``On the Corner Points of the Capacity Region of a Two-User Gaussian Interference Chaese, ``On the Corner Points of the Capacity Region of a Two-User Gaussian Interference Chaese, ``On the Corner Points of the Capacity Region of a Two-User Gaussian Interference Chaese, ``On the Corner Points of the Capacity Region of a Two-User Gaussian Interference Chaese, ``On the Corner Points of the Capacity Region of a Two-User Gaussian Interference Chaese, ``On the Corner Points of the Capacity Region of a Two-User Gaussian Interference Chaese, ``On the Capacity Region of a Two-User Gaussian Interference Chaese, ``On the Capacity Region of a Two-User Gaussian Interference Chaese, ``On the Capacity Region of a Two-User Gaussian Interference Chaese, ``On the Capacity Region of a Two-User Gaussian Interference Chaese, ``On the Capacity Region of a Two-User Gaussian Interference Chaese, ``On the Capacity Region of a Two-User Gaussian Interference Chaese, ``On the Capacity Region of a Two-User Gaussian Interference Ch

Conjecture (Originated by Costa, 1985)	
For a two-user GIC with positive cross-link gains let	
$C_1 \triangleq \frac{1}{2} \log(1+P_1), C_2 \triangleq \frac{1}{2} \log(1+P_2)$	Gaussian IC:
be the capacities of the single-user AWGN channels, and	$Y_1 = X_1 + \sqrt{a_{12}} X_2 + Z_1$
$R_1^* \triangleq \left \frac{1}{2} \log \left(1 + \frac{1}{1 + P_2} \right), R_2^* \triangleq \frac{1}{2} \log \left(1 + \frac{1}{1 + P_1} \right). \right $	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$
Then, the following is conjectured to hold for reliable communication:	
If $R_2 \ge C_2 - \varepsilon$, then $R_1 \le R_1^* + \delta_1(\varepsilon)$ where $\lim_{\varepsilon \to 0} \delta_1(\varepsilon) = 0$.	
2 If $R_1 \ge C_1 - \varepsilon$, then $R_2 \le R_2^* + \delta_2(\varepsilon)$ where $\lim_{\varepsilon \to 0} \delta_2(\varepsilon) = 0$.	
Maximal P2P rates	
Maximal treat-interfe	rence as noise rates
Interpretation of this conjecture for we	eak GIC
If one user transmits at its maximal possible rate, the decrease its rate such that both decoders can reliably decrease i	

Recent result: Costa's corner point conjecture

Recent progress by Sason:

[I. Sason, ``On the Corner Points of the Capacity Region of a Two-User Gaussian Interference Channel," Proc. of ISIT, 2014.]

idea: similar to gDoF analysis, shows asymptotic tightness of new bounds on corner point

And finally proven by:

[Y. Polyanskiy and Y. Wu "Wasserstein continuity of entropy and outer bounds for interference channels," http://arxiv:1504.04419]

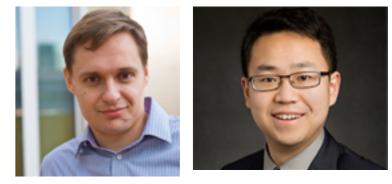
idea: new converse which relates differential entropies to Wasserstein distances and bounds these using Talagrand's inequality

[R. Bustin, H.V. Poor, and S. Shamai "The Effect of Maximal Rate Codes on the Interfering Message Rate," http://arxiv.org/abs/1404.6690

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idea: use properties of the MMSE of good channel codes







BREAK!

Variations, extensions and implications



Alex Dysto

Overview



Daniela Tuninetti

Natasha Devroye

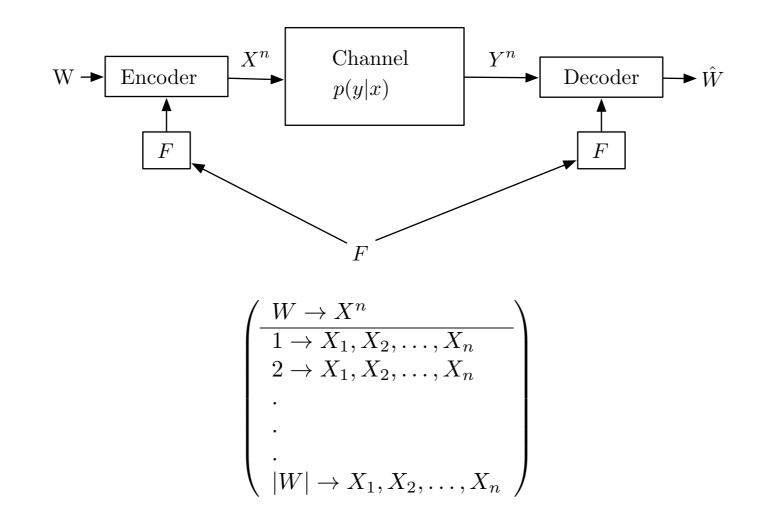
Discrete inputs in Gaussian interference channel: "good" codes and "good" interferers

some slides taken from Alex Dytso's Ph.D. defense, May 2016

Variations of the IC

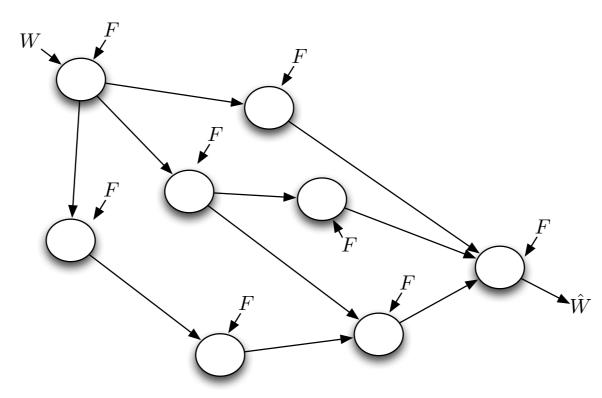
ICs with lack of codebook knowledge

"F" is the codebook, known to all Tx,Rx



ICs with lack of codebook knowledge

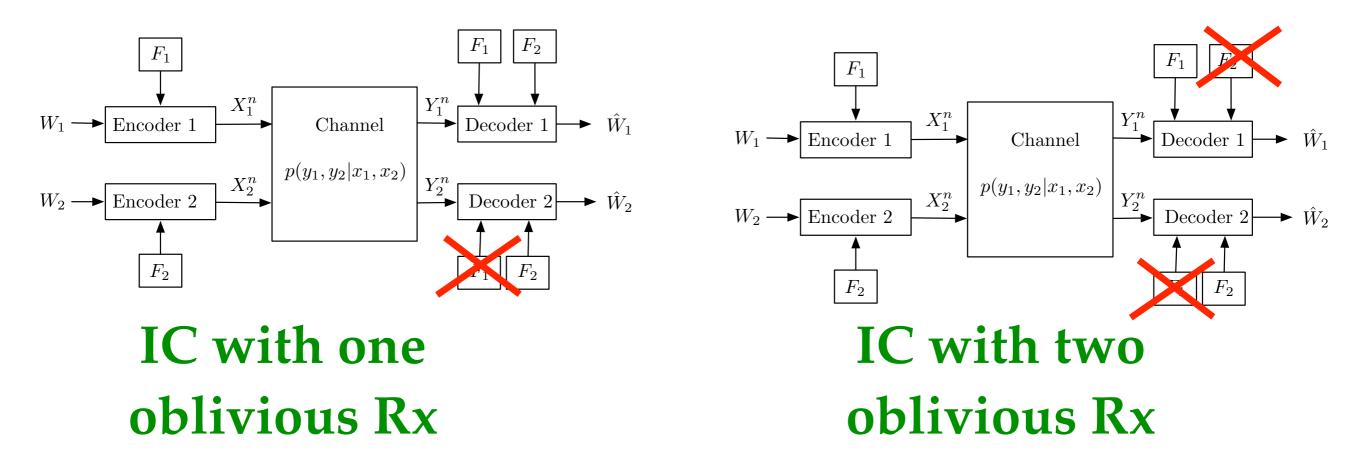
 in networks, often assume nodes know all codebooks of ALL other nodes



•this may be unrealistic sometimes....

ICs with lack of codebook knowledge

Our motivation:



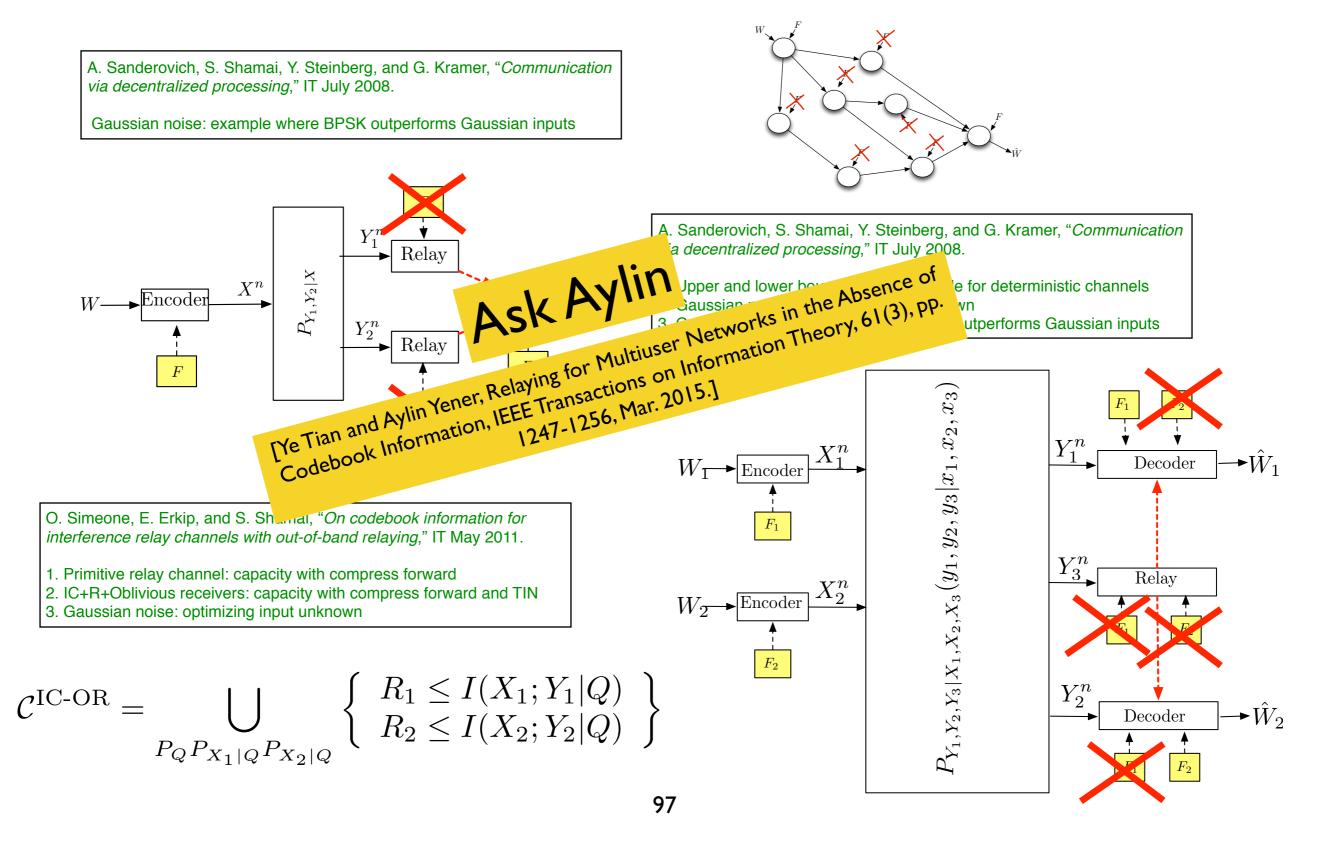
A. Dytso, N. Devroye, and D. Tuninetti, "On the capacity of interference channels with partial codebook knowledge," ISIT 2013

A. Dytso, D. Tuninetti and N. Devroye, ``On the Two-User Interference Channel With Lack of Knowledge of the Interference Codebook at One Receiver," IEEE Transactions on Information Theory, Vol. 61, No. 3, pp. 1256-1276, March 2015.

A. Dytso, D. Tuninetti and N. Devroye. "On Gaussian Interference Channels with Mixed Gaussian and Discrete Inputs," ISIT 2014

A. Dytso, D. Tuninetti and N. Devroye "Interference as Noise: Friend of Foe?" IEEE Trans. on Info Theory, June 2016.

Past work: lack of codebooks leads to non-Gaussians outperforming Gaussians



Discrete inputs in Gaussian channels — deeper?

Other supporting arguments

- E. Abbe and L. Zheng, "*A coordinate system for Gaussian networks*," IT 2012.
- E. Calvo, J. Fonollosa, and J. Vidal, "On the totally asynchronous interference channel with single-user receivers," ISIT 2009

- No gDoF Gain
- Discrete input conclusions are simulation based

Questions

 loss in performance due to lack of codebook knowledge? due to lack of synchronization?

•are there inputs that outperform Gaussians in the AWGN IC under these conditions?

• can we show analytical gains?

How we tackle discrete inputs for G-IC

- best inner bound for Gaussian IC is the complex H+K scheme
- simpler scheme Treating Interference as Noise with no Time Sharing:

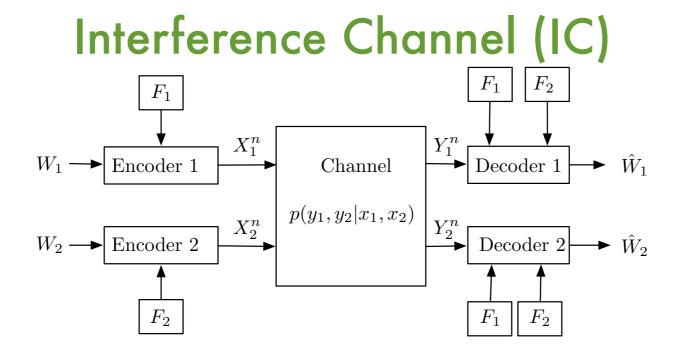
$$\mathcal{R}_{\text{in}}^{\text{TINnoTS}} = \bigcup_{P_{X_1 X_2} = P_{X_1} P_{X_2}} \left\{ \begin{array}{l} 0 \le R_1 \le I(X_1; Y_1) \\ 0 \le R_2 \le I(X_2; Y_2) \end{array} \right\}$$

- we show discrete inputs in TINnoTS performs well!
- neat, general tools to bound minimum distance of sum-sets, and information achieved by discrete RVs in Gaussian nois Ask Henry

Similar results as

S. Li, Y.-C. Huang, T. Liu, and H.D. Pfister, "On the limits of treating interference as noise in the two-user Gaussian symmetric interference channel," ISIT 2015.

Capacity is actually known.... sort of



$$C = \lim_{n \to \infty} \operatorname{co} \left(\bigcup_{P_{X_1^n X_2^n} = P_{X_1^n} P_{X_2^n}} \left\{ (R_1, R_2) : \begin{array}{l} 0 \le R_1 \le \frac{1}{n} I(X_1^n; Y_1^n) \\ 0 \le R_2 \le \frac{1}{n} I(X_2^n; Y_2^n) \end{array} \right\} \right)$$

Uncomputable

Complexity $|\mathcal{X}_1 \times \mathcal{X}_2|^n$

Treating interference as noise inner bound

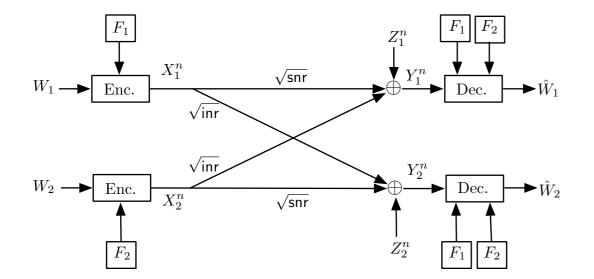
Capacity:
$$C = \lim_{n \to \infty} co \left(\bigcup_{P_{X_1^n X_2^n} = P_{X_1^n} P_{X_2^n}} \left\{ \begin{array}{l} 0 \le R_1 \le \frac{1}{n} I(X_1^n; Y_1^n) \\ 0 \le R_2 \le \frac{1}{n} I(X_2^n; Y_2^n) \end{array} \right\} \right)$$

R. Ahlswede, "Multi-way communication channels," in Proc. IEEE Int. Symp. Inf. Theory, March 1973, pp. 23–52.

i.i.d. inputs Treat Interference as Noise Inner Bound:

 $\begin{array}{ll} \mathcal{R}_{\mathrm{in}}^{\mathrm{TIN+TS}} &= \mathrm{co} \left(\bigcup_{P_{X_1X_2} = P_{X_1}P_{X_2}} \left\{ \begin{array}{l} 0 \leq R_1 \leq I(X_1;Y_1) \\ 0 \leq R_2 \leq I(X_2;Y_2) \end{array} \right\} \right) \ \text{With Time Sharing} \\ \\ \mathcal{R}_{\mathrm{in}}^{\mathrm{TINnoTS}} &= \bigcup_{P_{X_1X_2} = P_{X_1}P_{X_2}} \left\{ \begin{array}{l} 0 \leq R_1 \leq I(X_1;Y_1) \\ 0 \leq R_2 \leq I(X_2;Y_2) \end{array} \right\} \ \text{No Time Sharing} \\ \\ & \text{How far away is TINnoTS from capacity?} \\ \\ & \text{Is it really "treating interference as noise"?} \end{array}$

Gaussian channels with discrete inputs



$$Z_1, Z_2 \sim \mathcal{N}(0, 1)$$

$$Y_1 = \sqrt{\operatorname{snr}} X_1 + \sqrt{\operatorname{inr}} X_2 + Z_1$$
$$Y_2 = \sqrt{\operatorname{inr}} X_1 + \sqrt{\operatorname{snr}} X_2 + Z_2$$

- instead of taking X₁ and X₂ to be Gaussian, take them to be discrete
- difficulty: how to evaluate mutual information expressions with discrete and Gaussian mixtures

Tools for Discrete Inputs

Discrete+mixed inputs

- $X_D \sim P(X_D) = \sum_{i=1}^{|X|} p_i \delta(x_i)$ • Discrete input $X_D \sim \text{PAM}(N), |X| = N, p_i = \frac{1}{N} \text{ for all } i \in [1, ..., N]$ • PAM input x
- Minimum distance

$$d_{\min}(X_D) = \min_{x_i, x_j: i \neq j} \|x_i - x_i\|$$

Mixed inputs

$$X_{\min} = \sqrt{1 - \delta} X_D + \sqrt{\delta} X_G,$$

$$\delta \in [0, 1],$$

$$X_G \sim \mathcal{N}(0, 1)$$

$$\mathbb{E}[X_D^2] \le 1$$

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Bounds on mutual information

We define: $Y = \sqrt{\operatorname{snr}} X + Z,$ $Z \sim \mathcal{N}(0, 1)$ $I(X; Y_{\operatorname{snr}}) = I(X, \operatorname{snr})$

 $\mathbb{E}\left[(X - \mathbb{E}[X|Y_{\rm snr}])^2\right] = {\rm mmse}(X, {\rm snr})$

Interested in:
$$[H(X_D) - gap]^+ \le I(X_D, snr) \le H(X_D)$$

Want the tightest version of the "gap" term for a given PMF

Bounds on mutual information

$$[H(X_D) - gap]^+ \le I(X_D, \operatorname{snr}) \le H(X_D)$$

Ozarow-Wyner-A $\operatorname{gap}_{OW-A} \leq \xi \log \frac{1}{\xi} + (1-\xi) \log \frac{1}{1-\xi} + \xi \log(N-1) , \ \xi := 2Q \left(\frac{\sqrt{\operatorname{snr}} d_{\min(X_D)}}{2} \right)$

$$\begin{aligned} \mathsf{Ozarow-Wyner-B}\\ \mathrm{gap}_{\mathrm{OW-B}} &\leq \frac{1}{2} \log \left(\frac{\pi \mathrm{e}}{6} \right) + \frac{1}{2} \log \left(1 + \frac{12}{\mathrm{snr} \ d_{\min(X_D)}^2} \right) \end{aligned}$$

Ozarow and A. Wyner, "On the capacity of the Gaussian annel with a finite number of input levels," IEEE Trans. Inf. neory, vol. 36, no. 6, pp. 1426–1428, Nov 1990.

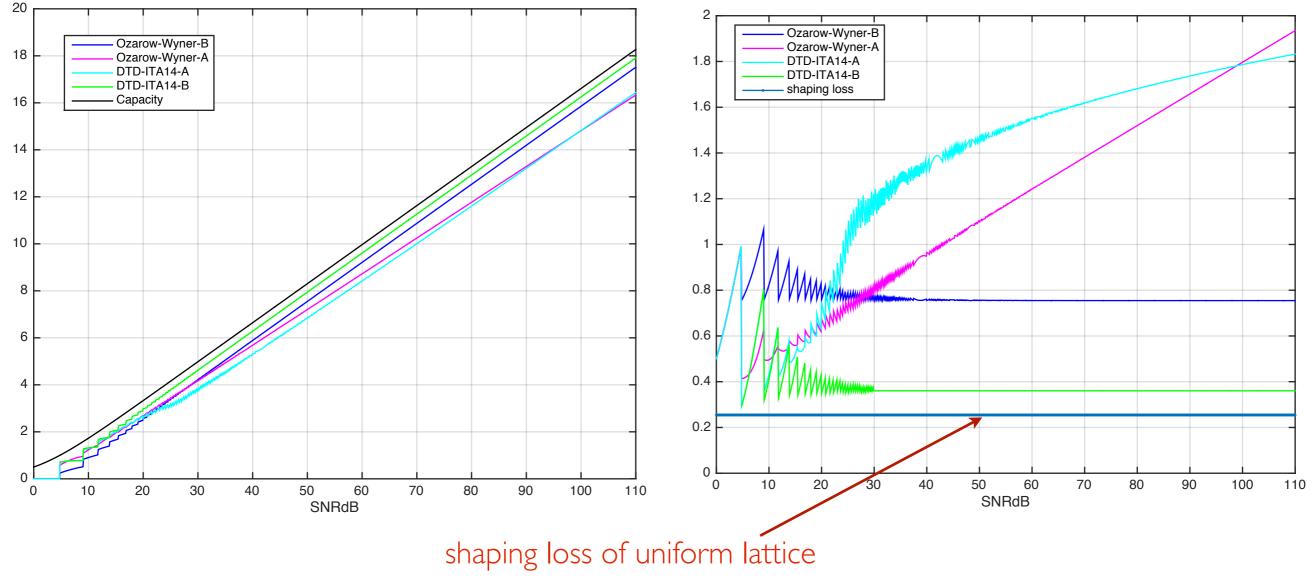
$$\begin{aligned} \mathbf{DTD-ITA^{14-A}} \\ \left[-\log\left(\sum_{(i,j)\in[1:N]^2} \frac{p_i p_j}{\sqrt{4\pi}} e^{-\frac{\operatorname{snr}(x_i - x_j)^2}{4}}\right) - \frac{1}{2}\log\left(2\pi e\right) \right]^+ &\leq I(X_D, \operatorname{snr}) \leq H(X_D) \\ \operatorname{gap}_{\mathrm{ITA}} &\leq \frac{1}{2}\log\left(\frac{e}{2}\right) + \log\left(1 + (N-1)e^{-\frac{\operatorname{snrd}_{\min(X_D)}^2}{4}}\right) \quad \mathbf{DTD-ITA^{14-B}} \end{aligned}$$

Dytso, A.; Tuninetti, D.; Devroye, N., "On discrete alphabets for the twouser Gaussian interference channel with one receiver lacking knowledge of the interfering codebook," ITA, 2014, vol., no., pp.1,8, 9-14 Feb. 2014

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Comparison of bounds

Input: PAM with number of points $N = \lfloor \sqrt{1 + \operatorname{snr}} \rfloor \Rightarrow H(X) = \log(N) \approx \frac{1}{2} \log(1 + \operatorname{snr})$



Why is discrete good? Examples.

1.Point-to-point Gaussian noise Channel $Y = \sqrt{\operatorname{snr} X} + Z_G :$ $E[X^2] \le 1, Z_G \sim \mathcal{N}(0, 1)$ **good input**

2.Point-to-point Gaussian noise Channel with State $Y = \sqrt{\operatorname{snr} X} + hT + Z_G$: good state / interferer $E[X^2] \le 1, Z_G \sim \mathcal{N}(0, 1),$ Channel State is $T \sim \operatorname{discrete:} |T| = N \operatorname{and} d^2_{\min(T)} > 0$ Unknown at Transmitter and Receiver

Discrete is a good input.

1.Point-to-point Gaussian noise Channel $Y = \sqrt{\operatorname{snr} X} + Z_G :$ $E[X^2] \le 1, Z_G \sim \mathcal{N}(0, 1)$

Capacity

$$C = \frac{1}{2}\log(1 + \operatorname{snr})$$

achieved by Gaussian

with PAM:

$$N = \lfloor \sqrt{1 + \operatorname{snr}} \rfloor$$
$$C \ge \frac{1}{2} \log(1 + \operatorname{snr}) - \operatorname{gap}$$
$$\operatorname{gap} = \frac{1}{2} \log\left(\frac{4\pi e}{3}\right)$$

Discrete is a good interferer.

2.Point-to-point Gaussian noise Channel with State $Y = \sqrt{\operatorname{snr} X} + hT + Z_G$: $E[X^2] \le 1, Z_G \sim \mathcal{N}(0, 1),$ $T \sim \operatorname{discrete:} |T| = N \text{ and } d^2_{\min(T)} > 0$

Discrete Interference

Gaussian Interference

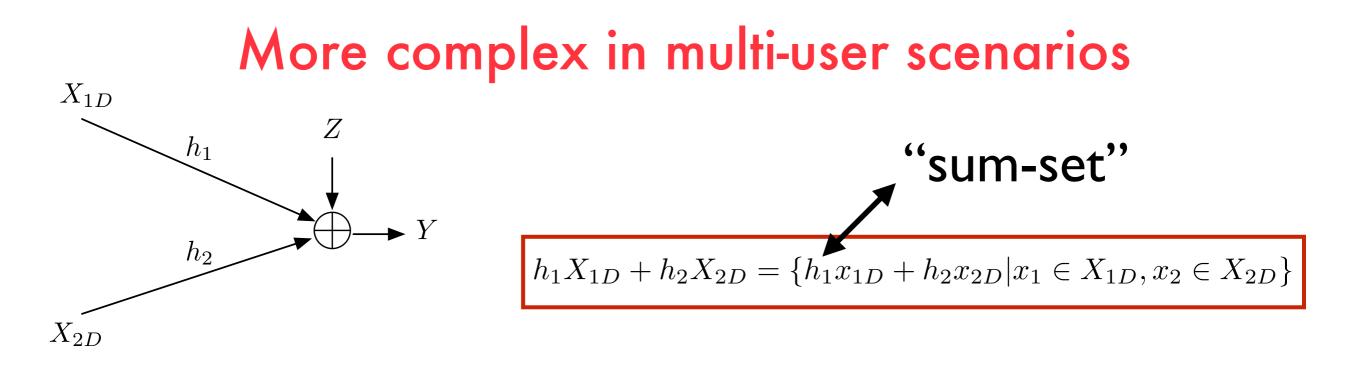
$$C \ge I(X_G; \sqrt{\operatorname{snr}} X_G + hT + Z_G)$$

$$\ge \frac{1}{2} \log(1 + \operatorname{snr}) - \operatorname{gap}$$

$$\operatorname{gap} = \frac{1}{2} \log\left[\frac{2\pi e}{12} \left(1 + \frac{12}{d_{\min}^2(T)} \frac{|h|^2 \mathcal{E}_T}{|h|^2 \mathcal{E}_T + 1 + \operatorname{snr}}\right)\right]$$

$$C \ge I(X_G; \sqrt{\operatorname{snr}} X_G + hT_G + Z_G)$$
$$= \frac{1}{2} \log \left(1 + \frac{\operatorname{snr}}{1 + |h|^2 \mathcal{E}_T} \right)$$

Discrete inputs in multi-user channels



 $|h_1X_{1D} + h_2X_{2D}| = |\{h_1x_{1D} + h_2x_{2D}|x_1 \in X_{1D}, x_2 \in X_{2D}\}| ???$ $d_{\min}(h_1X_{1D} + h_2X_{2D}) = \min\{|s_i - s_j| : s_i, s_j \in h_1X_{1D} + h_2X_{2D}, j\} ???$ Ask Helmut!

New phenomenon

Example, BPSK:

$$X_{1D} = X_{2D} = \{-1, +1\}$$

$$h_1 X_{1D} + h_2 X_{2D} \stackrel{(h_1 = 1, h_2 = 2)}{=} \{3, -1, 1, 3\}$$
$$\stackrel{(h_1 = 1, h_2 = 1)}{=} \{1, 0, -1\}$$

"Cardinality is Sensitive to Channel Gain Values."

Overall proposition / tool

• cardinality of the sum-set $\{h_x X + h_y Y\}$

Proposition: Let $X \sim \mathsf{PAM}(|X|, d_{\min(X)})$ and $Y \sim \mathsf{PAM}(|Y|, d_{\min(Y)})$. Then for $(h_x, h_y) \in \mathbb{R}^2$

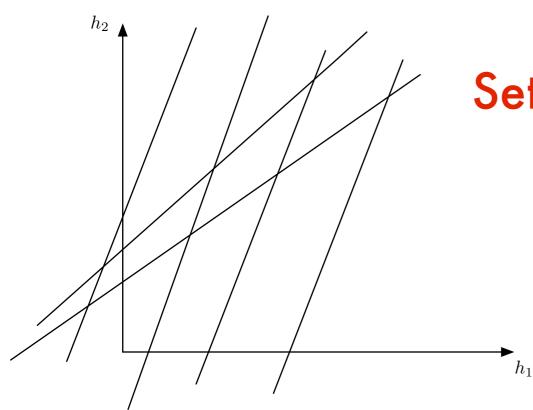
 $|h_x X + h_y Y| = |X||Y| \text{ almost everywhere (a.e.)}, \tag{1}$

• minimum distance of the sum-set

and
$$d_{\min(h_x X + h_y Y)} \ge \dots$$
?

Cardinality

 $|h_x X + h_y Y| = |X||Y|$ almost everywhere (a.e.)

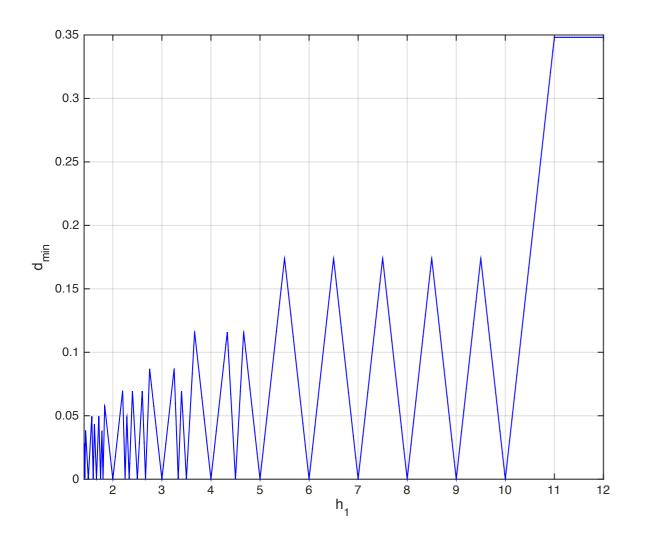


Set Values where cardinality is less

Union of lines has measure 0

Minimum distance

Example:h2=1, N1=N2=10



Very Irregular

Can we even have a lower bound?

$$gap_{OW-B} \le \frac{1}{2} \log\left(\frac{\pi e}{6}\right) + \frac{1}{2} \log\left(1 + \frac{12}{\operatorname{snr} d_{\min(X_D)}^2}\right)$$

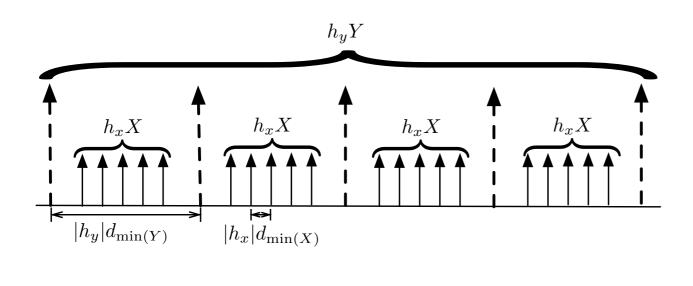
Minimum distance, case 1: no overlap

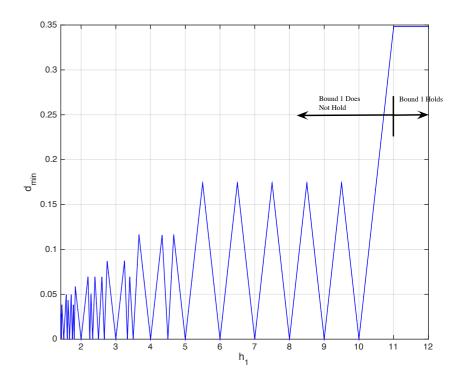
We have

$$d_{\min(h_x X + h_y Y)} = \min(|h_x|d_{\min(X)}, |h_y|d_{\min(Y)})$$

under the following conditions

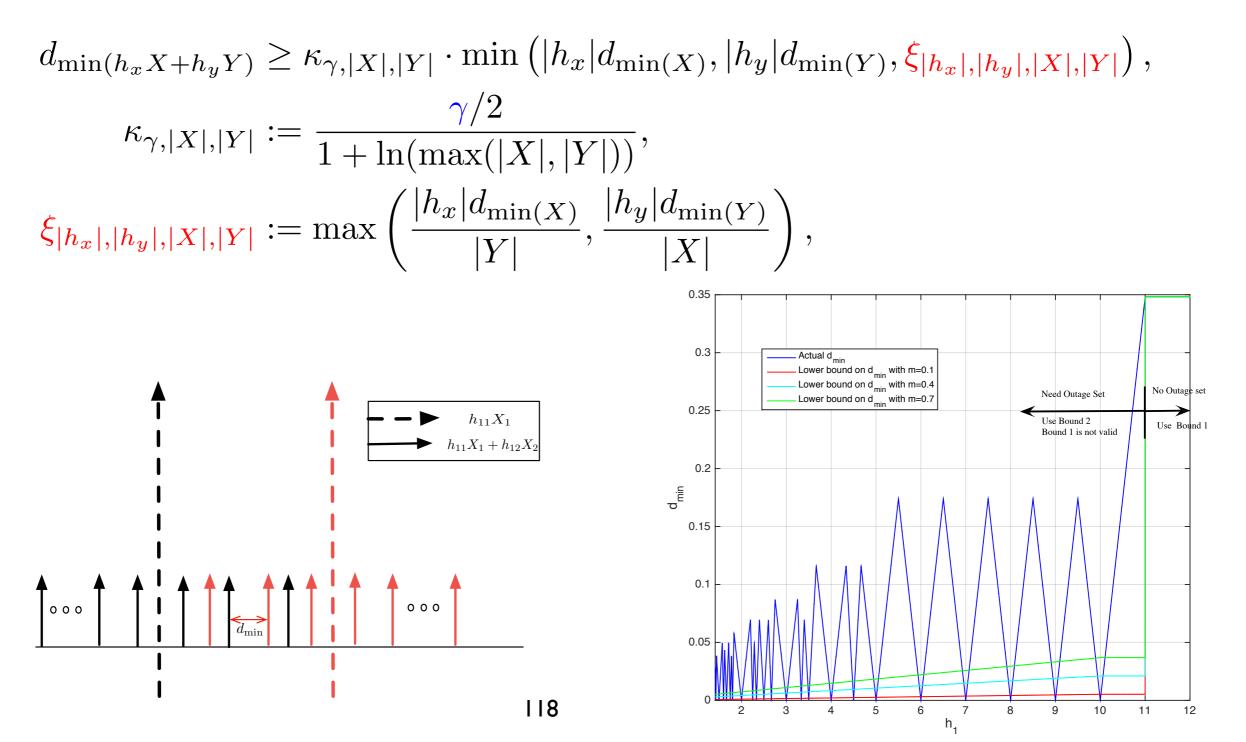
either
$$|Y||h_y|d_{\min(Y)} \le |h_x|d_{\min(X)}$$
,
or $|X||h_x|d_{\min(X)} \le |h_y|d_{\min(Y)}$ (shown below).





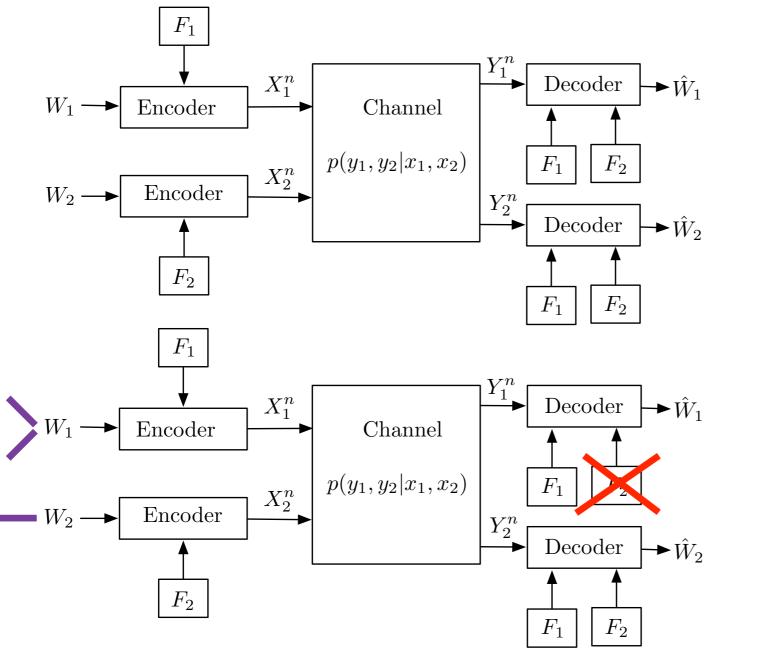
Minimum distance, case 2: with overlap

Then, up to a set of (h_x, h_y) of measure no more than γ , we have



Applications of discrete inputs

Approximate capacity without codebooks



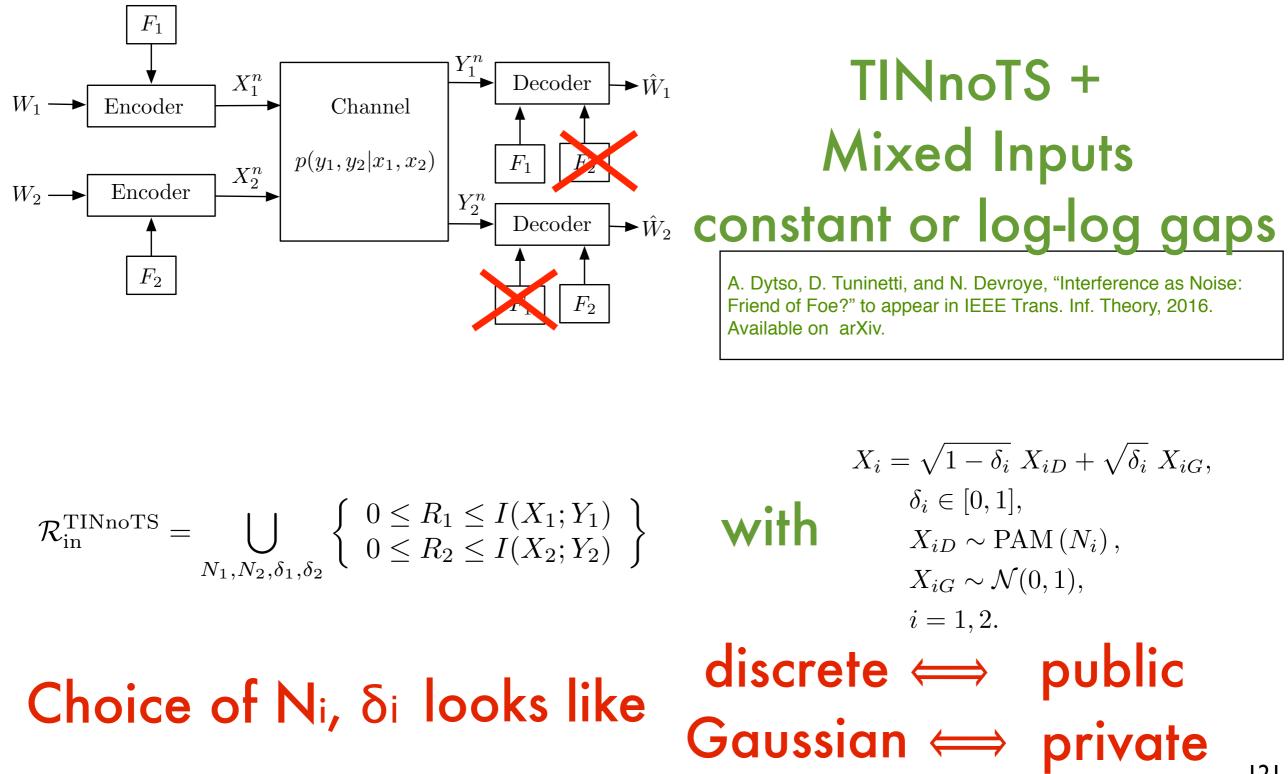
HK+Gaussian Inputs 1/2 bit

R. Etkin, D. Tse, and H. Wang, "Gaussian interference channel capacity to within one bit," IEEE Trans. Inf. Theory, vol. 54, no. 12, pp. 5534–5562, Dec. 2008.

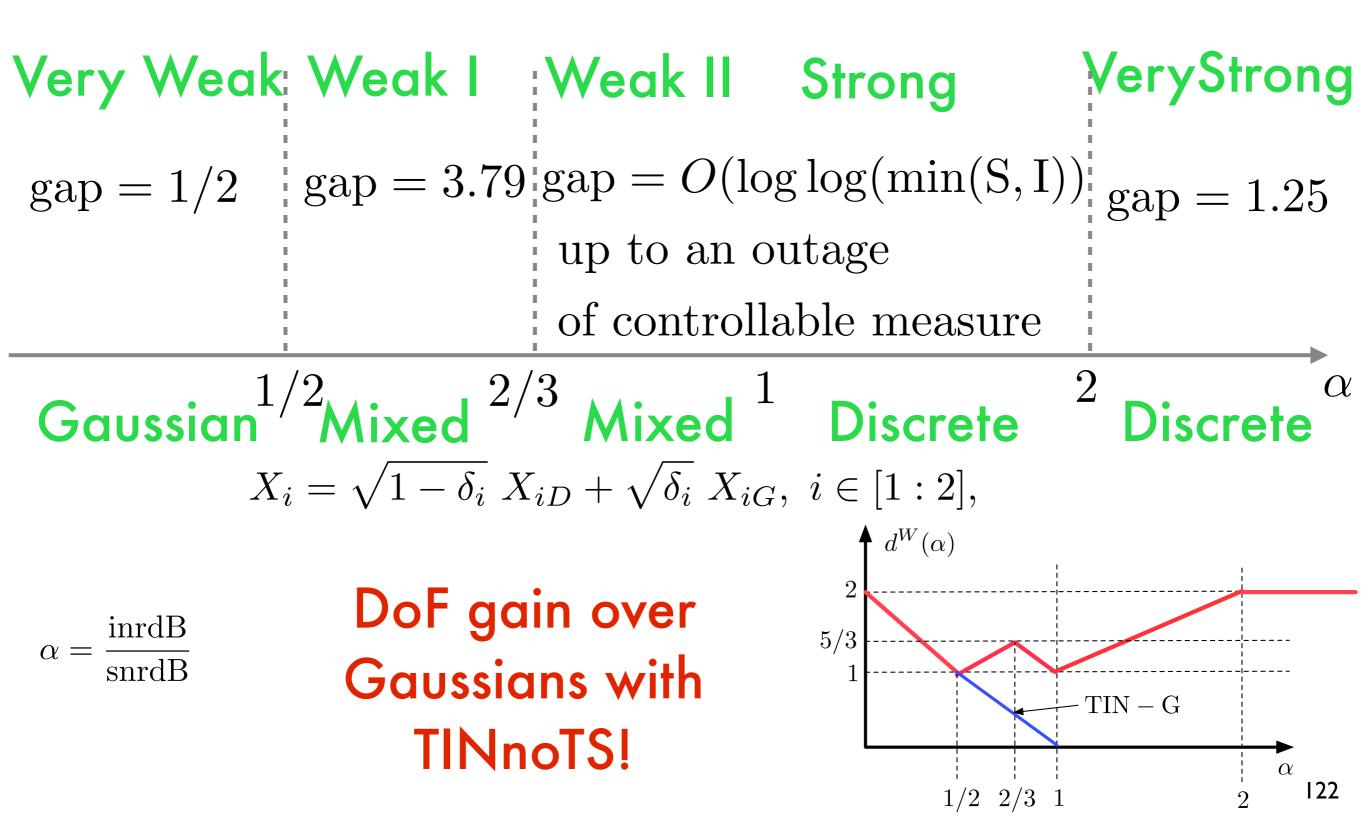
"One-sided" HK+ Mixed Inputs 3.34 bits

A. Dytso, D. Tuninetti, and N. Devroye, "On the two-user interference channel with lack of knowledge of the interference codebook at one receiver," IEEE Trans. Inf. Theory, vol. 61, no. 3, pp. 1257–1276, March 2015.

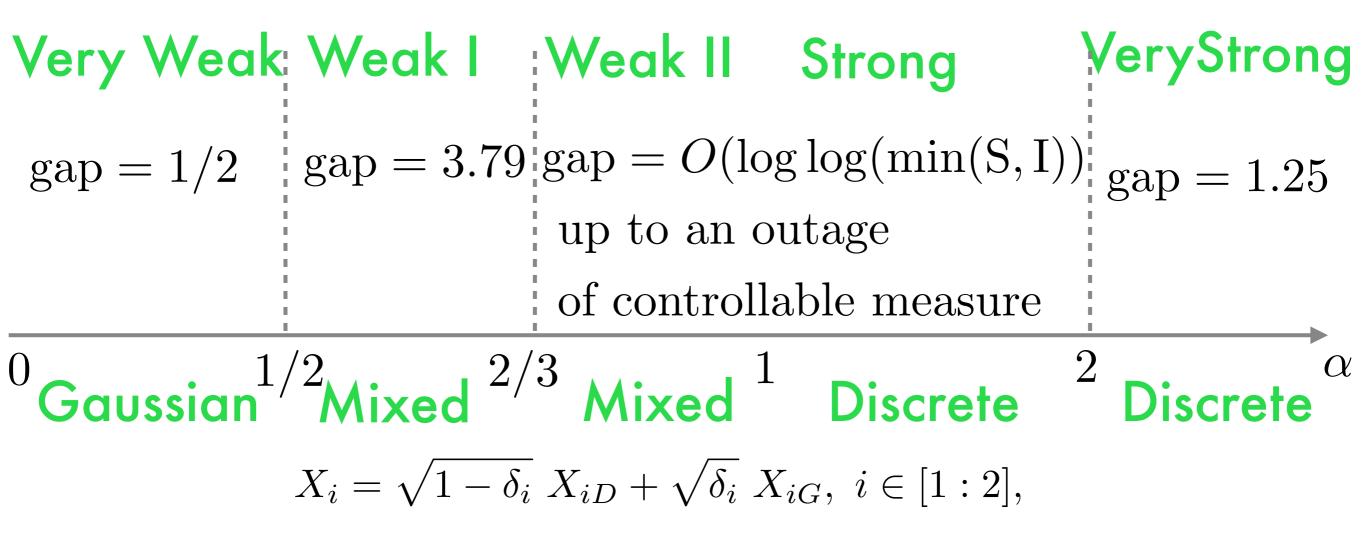
Approximate capacity without codebooks



Approximate optimality of TINnoTS in Gaussian-IC



Approximate optimality of TINnoTS in Gaussian-IC



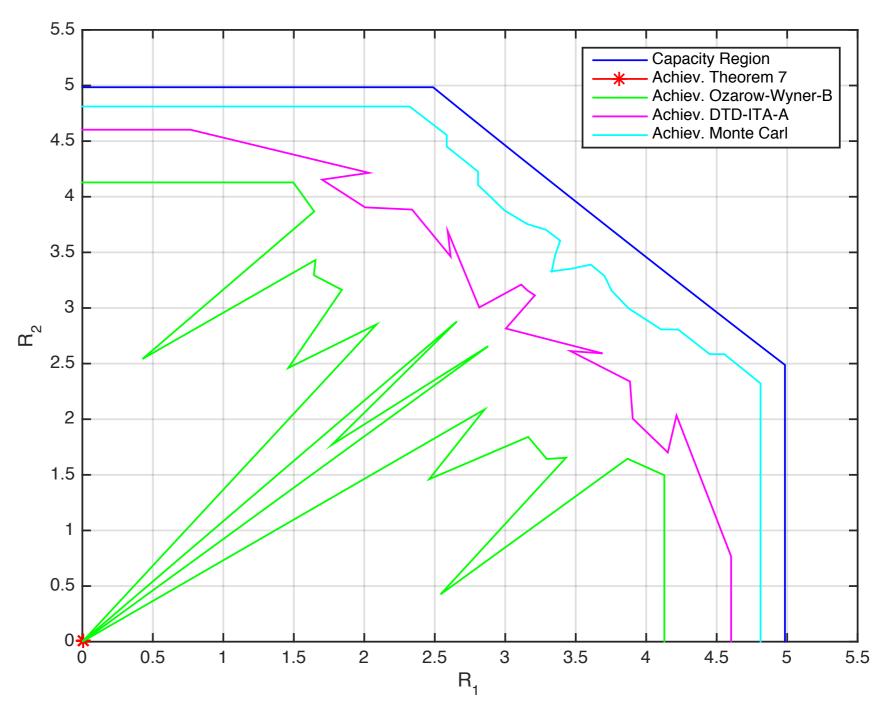
 $\alpha = \frac{\text{inrdB}}{\text{snrdB}}$

<u>Closed-form</u> expressions for number of points, power splits and gap 123

Numerical evaluation

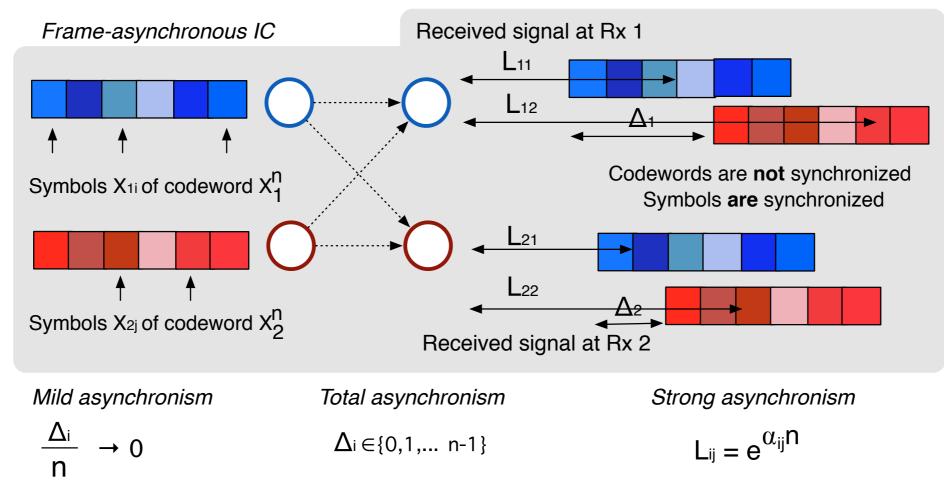
Analytical bounds on gaps are pessimistic!

strong interference, discrete inputs with analytical lower bound is red region!



Approximate capacity of ICs with lack of synchronization

in networks, often assume all nodes are synchronized



•this may be unrealistic sometimes....

Approximate capacity of ICs with lack of synchronization

Treat Interference as Noise without Time Sharing Inner Bound:

$$\mathcal{R}_{\text{in}}^{\text{TINnoTS}} = \bigcup_{P_{X_1X_2}=P_{X_1}P_{X_2}} \left\{ \begin{array}{l} 0 \le R_1 \le I(X_1;Y_1) \\ 0 \le R_2 \le I(X_2;Y_2) \end{array} \right\}$$
No Time Sharing

 this is achievable by asynchronous G-IC, so our approximate gap to capacity results apply even without synchronization!

Key ideas + open problems

- use non-Gaussian inputs: good inputs, good interferers
- general tools on bounding dmin, mutual information applicable elsewhere?
- mixed inputs hence approximately optimal for the block asynchronous G-IC and the codebook oblivious G-IC
- **OPEN:** better constellation than PAM? What about higher dimensions?
- **OPEN:** can we develop a smart set of multi-letter discrete inputs and evaluate these in the capacity achieving expression for the G-IC?

Capacity:
$$C = \lim_{n \to \infty} co\left(\bigcup_{P_{X_1^n X_2^n} = P_{X_1^n} P_{X_2^n}} \left\{ \begin{array}{l} 0 \le R_1 \le \frac{1}{n} I(X_1^n; Y_1^n) \\ 0 \le R_2 \le \frac{1}{n} I(X_2^n; Y_2^n) \end{array} \right\} \right)$$

R. Ahlswede, "Multi-way communication channels," in Proc. IEEE Int. Symp. Inf. Theory, March 1973, pp. 23–52.

Forced travel pics

unsolicited MENTORING advice: TRAVEL!

Post-Ph.D., pre tenure track























On the tenure track







On sabbatical













Practical codes for interference channels?

Polar codes over interference channels?

[L. Wang and E. Sasoglu, "Polar coding for interference networks," in Proc. IEEE Int. Symp. Inf. Theory, Honolulu, Hawaii 2014.]



propose a polar coding scheme able to achieve the H+K region for the IC based on ideas in

[E. Arıkan, "Polar coding for the slepian-wolf problem based on mono- tone chain rules," in Proc. IEEE Int. Symp. Inf. Theory, Cambridge, MA, 2012, pp. 566-570.]

[S. H. Hassani and R. L. Urbanke, "Universal polar codes," 2013. [Online]. Available: http://arxiv.org/abs/1307.7223]

Informal statement by Ruediger Urbanke: "any region achievable by i.i.d. inputs usually can be shown to be achievable by polar codes"



Point to point codes for interference networks?

Young-Han Kim's group has worked extensively on this, see excellent slides: http://circuit.ucsd.edu/~yhk/pdfs/swcm.pdf

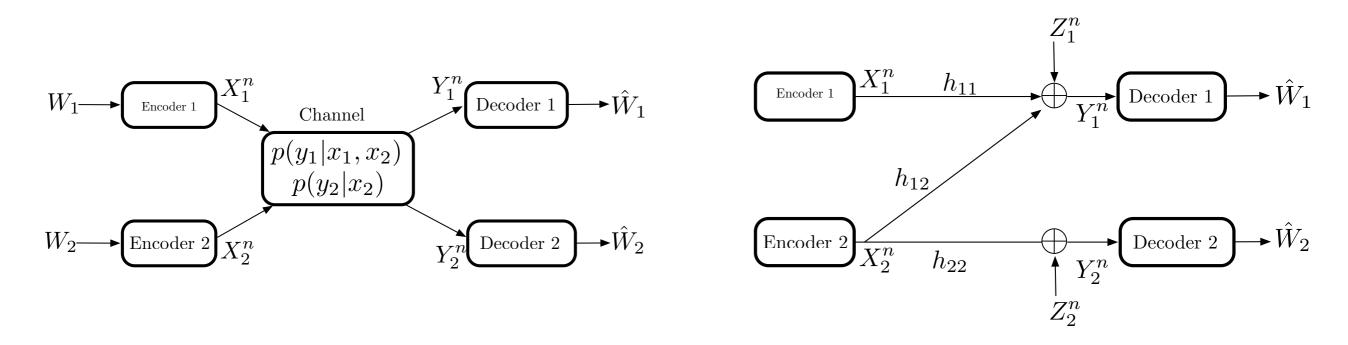
Main ideas: high performance, lo Other thoughts: Block coding (relaying for " Ask Henry+Krishna? Superposition coding (without rate-splitting) Staggered transmission

Sliding window and successive cancellation decoding 134



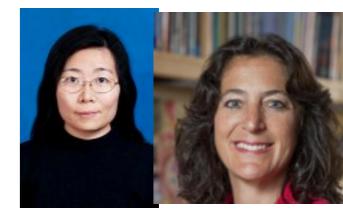
Variations

Z interference channel



[N. Liu and A. Goldsmith, "Capacity regions and bounds for a class of Z interference channels", IEEE Trans. on Info Theory, Vol. 55, No. 11, pp. 4986-4994, Nov. 2009.]

Capacity unknown in general, except:



- sum-rate known for Gaussian Z-IC

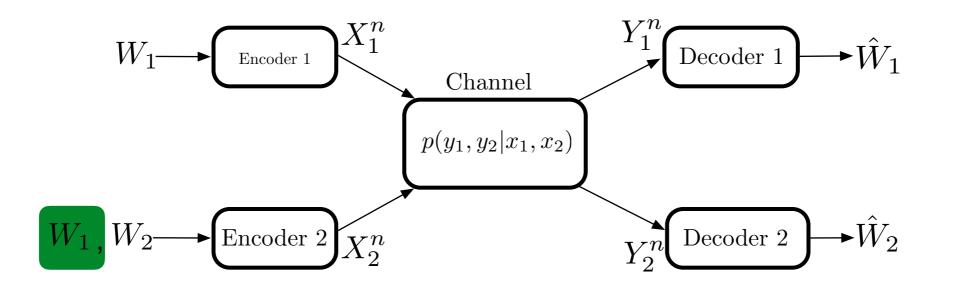
[I. Sason, "On achievable rate regions for the Gaussian interference channel," IEEE Trans. Inf. Theory, vol. 50, no. 6, pp. 1345–1356, Jun. 2004.]

- sum-rate known when interference-free link is noise-free

[R. Ahlswede and N. Cai, "Codes with the identifiable parent property and the multiple-access channel," in *General Theory of Information Transfer* and Combinatorics (Lecture Notes in Computer Science).]

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Cognitive interference channel





Introduced: [N. Devroye, P. Mitran and V. Tarokh, "Achievable rates for cognitive radio channels," IEEE Trans. on Info. Theory, vol. 52, no. 5, pp. 1813-1827, May 2006.]

State of the art DM: [S. Rini, D. Tuninetti and N. Devroye, "New inner and outer bounds for the discrete memoryless cognitive interference channel and some capacity results," IEEE Trans. on Info. Theory, vol. 57, no. 7, pp. 4087-4109, July 2011.]



State of the art Gaussian (capacity to within a constant gap): [S. Rini, D. Tuninetti and Gaussian compilies interf

[S. Rini, D. Tuninetti and N. Devroye, "Inner and outer bounds for the Gaussian cognitive interference channel and some new capacity results," IEEE Trans. on Info. Theory, vol. 58, no. 2, pp. 820-848, Feb. 2012.]

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GDoF, cognitive with more users:

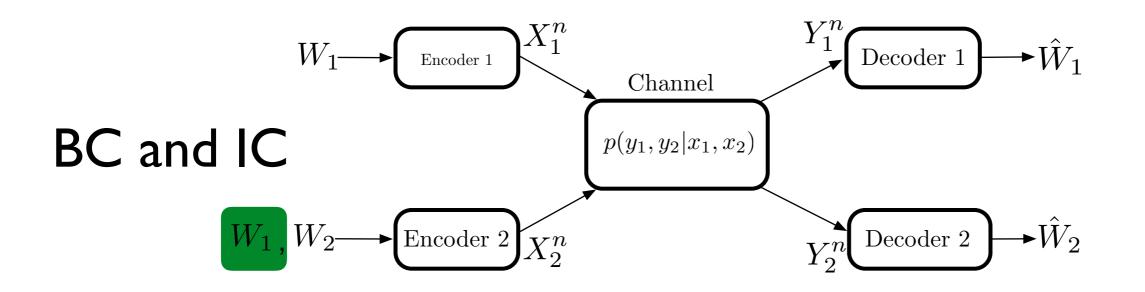


[D. Maamari, D. Tuninetti, and N. Devroye, ``Approximate Sum-Capacity of K-user Cognitive Interference Channels with Cumulative Message Sharing," IEEE Journal of Selected Areas in Communications -- Cognitive Radio Series, Vol. 32, No. 3, pp. 654-666, March 2014.]

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Fig. 3. $d_{\Sigma}(\alpha; K)/K$ for different channel models. The discontinuity at $\alpha = 1$ is not shown where the value is $\frac{1}{2}$

Cognitive interference channel



New feature (like Broadcast Channel):

Encoder 2 can use

- a) "dirty paper coding" to <u>eliminate</u> interference of W₂ at Rx I, or
- b) "cooperate" in sending W1 to Rx I

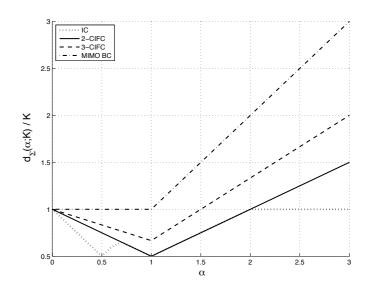
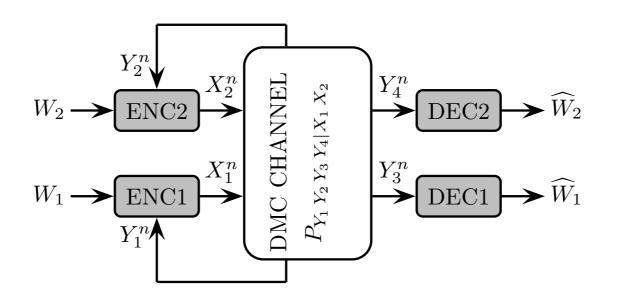


Fig. 3. $d_{\Sigma}(\alpha; K)/K$ for different channel models. The discontinuity at $\alpha = 1$ is not shown where the value is $\frac{1}{K}$.

Interference channel with generalized feedback

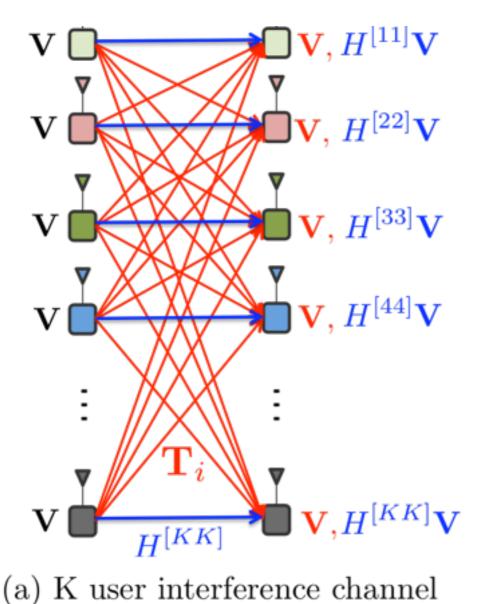




[S. Yang and D. Tuninetti, "Interference channel with generalized feedback (aka source cooperation) Part 1: achievable regions" IEEE Trans. on Info. Theory, Vo. 57, No. 5, pp. 2686-2710, May 2011.] [D. Tuninetti, "An outer bound for the memoryless two-user interference channel with general cooperation" Information Theory Workshop, pp. 217-221, 2012.]

general model that captures causal source cooperation, all forms of feedback

K-user interference channels



Interference alignment

[VR Cadambe and SA Jafar, "Interference alignment and the degrees of freedom of the K user interference channel," IEEE Transactions on Information Theory, Vol. 54, No. 8, pp. 3425-3441, Aug. 2008.



[M. Maddah-Ali, A. Motahari, and A. Khandani, "Communication over X channel: Signalling and multiplexing gain," in Technical Report. UW-ECE-2006-12, University of Waterloo, July 2006.]

DoF = K/2



Ask Helmut + Aylin!

Each user can send up to half of interference-free rate

image taken from https://sites.google.com/site/interferencealignment/home

highly recommended site for interference alignment

Other variations

- with state, known at....?
- secrecy....?
- with cognition and state....?
- ergodic capacity....?
- game-theoretic issues....?
- Wyner model ...?
- _ zero-error capacity....?
- MIMO interference channel....?
- IC with various flavors of feedback....?
- I-MMSE approach to understanding the IC?
- ICs with relays....?
- two-way ICs.....?

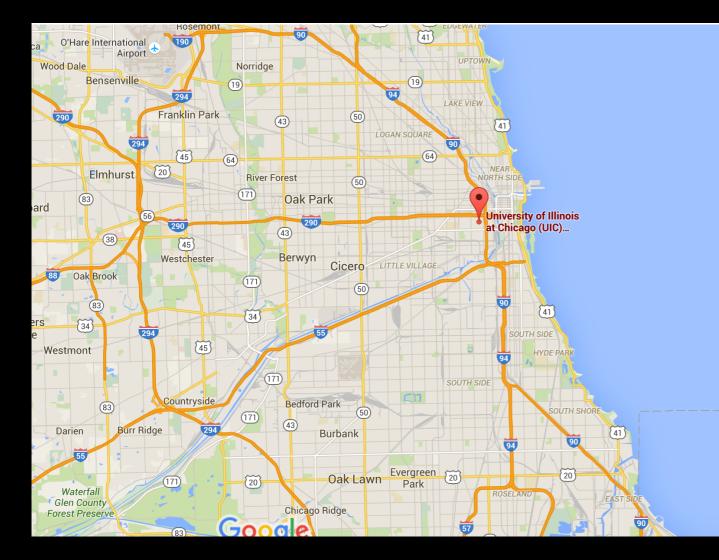
unsolicited advice: chip away at fundamental problems!

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Forced UIC advertisement



UIC is a public school in downtown Chicago





"Trump cancels Chicago rally (UIC Pavilion); protesters fill streets near UIC"



UIC is a great place to visit

- home of the "NICEST" lab

UIC



Networks Information Communications

Natasha Devroye

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Hulya Seferoglu

Besma Smida Daniela Tuninetti

- home of the best "Brutalist" architecture in the world









Key lessons learned

Simple channel model, what is it?

Many ways of treating interference, such as?

Is capacity known?

What is surprising?

Open problems

Are Gaussian inputs optimal for the Gaussian IC? depends what expression!

[S. Beigi, S. Liu, C. Nair, and M. Yazdanpanah, "Some results on the scalar Gaussian interference channel," ISIT, July 2016.]

Progress on evaluating the multi-letter capacity expression? [S. Beigi, S. Liu, C. Nair, and M. Yazdanpanah, "Some results on the scalar Gaussian interference channel," ISIT, July 2016.]

Does the sum-capacity decrease or increase with the symmetric interference coefficient (btw 0 and 1)?

[I. Sason, "On achievable rate regions for the Gaussian interference channel," IEEE Trans. Inf. Theory, vol. 50, no. 6, pp. 1345–1356, Jun. 2004.]

Questions + discussions now, later, email are always welcome

Natasha Devroye devroye@uic.edu www.ece.uic.edu/Devroye

I may be looking for a post-doc January 2017

