

# Finite-Length Analysis of a Capacity-Achieving Ensemble for the Binary Erasure Channel

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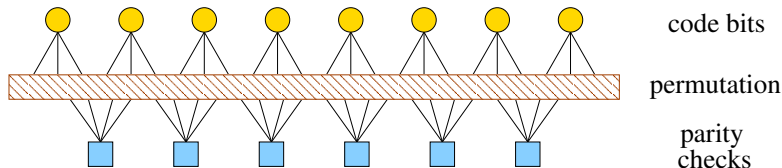
# Outline

- 1 Background
  - Codes on Graphs
  - Scaling Law for LDPC Codes
- 2 Finite Length Analysis for IRA Codes
  - Scaling Law for IRA Codes
  - A Capacity Achieving Ensemble
- 3 Conclusions

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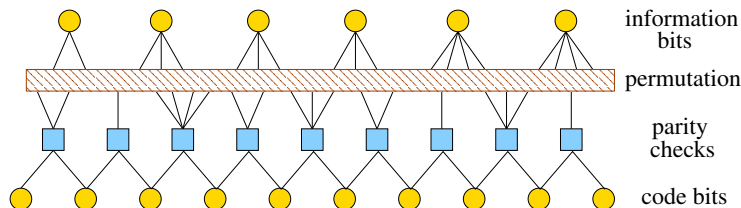
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# Low Density Parity Check (LDPC) Codes



- Linear codes with sparse parity-check matrix  $H$ 
  - Regular  $(j, k)$ :  $H$  has  $j$  ones per column and  $k$  ones per row
  - Irregular  $(\lambda, \rho)$ : uses degree distributions for ones in  $H$
- Bipartite Graph
  - An edge connects check node  $i$  to bit node  $j$  if  $H_{ij} = 1$
  - Used for *message passing iterative* (MPI) decoding

# Irregular Repeat-Accumulate (IRA) Codes



- Can be viewed either as a Turbo or LDPC variation
  - LDPC: Simply add zig-zag structured degree 2 bits
  - Turbo: Repeat info bits, parity-check, and accumulate
- Repeat-parity given by sparse generator matrix  $G$ 
  - Information bit  $j$  included in parity check  $i$  if  $G_{ij} = 1$
  - Regular  $(j, k)$ :  $G$  has  $j$  ones per column and  $k$  ones per row
  - Irregular  $(\lambda, \rho)$ : uses degree distributions for ones in  $G$

# Degree Distributions and Density Evolution

- Definition: *degree distribution* (d.d) function

$$\lambda(x) = \sum_{i \geq 1} \lambda_i x^{i-1} \quad \rho(x) = \sum_{i \geq 1} \rho_i x^{i-1}$$

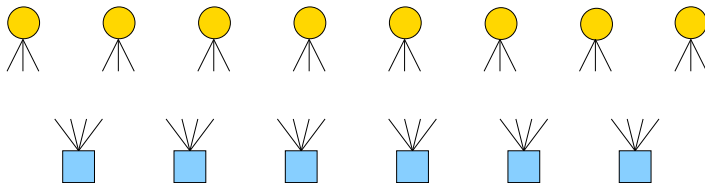
- $\lambda_i$  = Fraction of edges attached to bits of degree  $i$
  - $\rho_i$  = Fraction of edges attached to checks of degree  $i$
- Density evolution (DE)
    - Tracks distribution of messages during iterative decoding
    - Long codes decode almost surely if DE converges
    - For BEC, let  $x_i$  = erasure rate of bit output messages

$$x_{i+1} = p\lambda(1 - \rho(1 - x_i))$$

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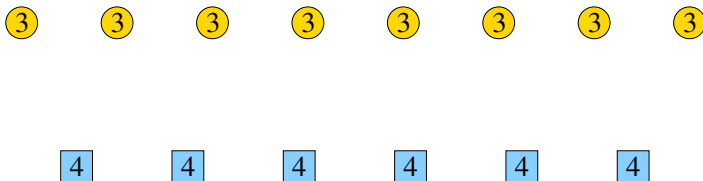
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# Peeling Style Analysis of LDPC Codes (Luby et al.)





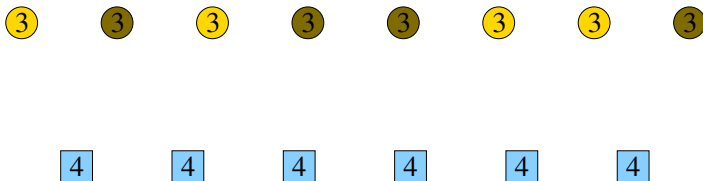
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$$\{0, 0, 0, 24\}$$

Number of Edges by Degree

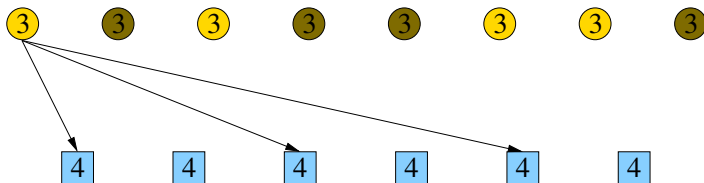
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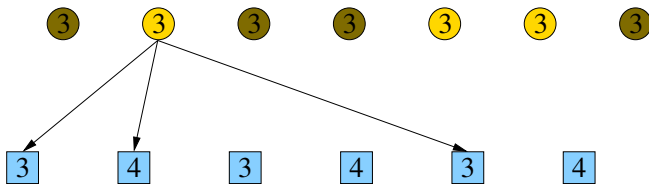
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$\{0, 0, 9, 12\}$

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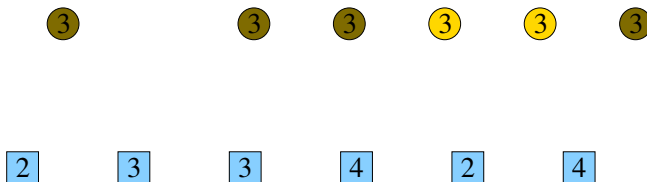
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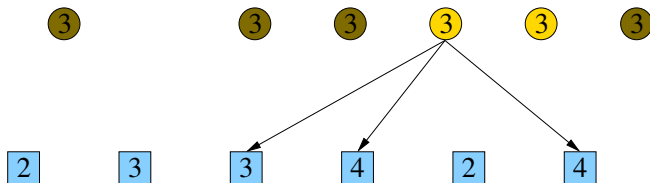
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$\{0, 4, 6, 8\}$

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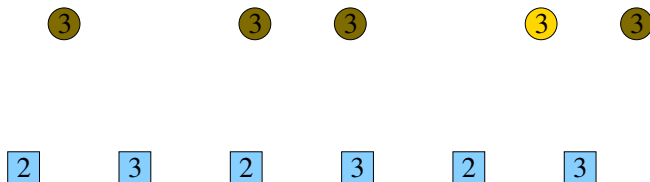
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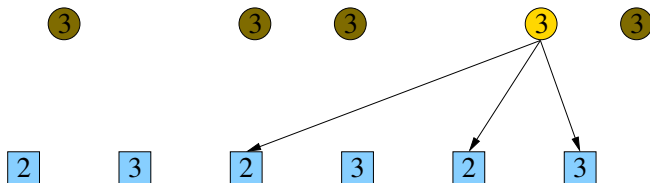


$$\{0, 6, 9, 0\}$$

Number of Edges by Degree



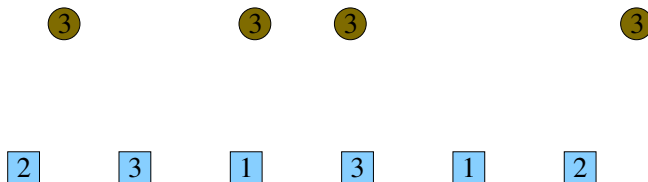
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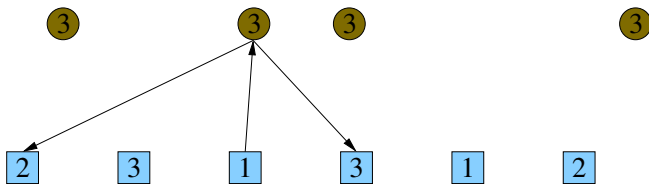
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$\{2, 4, 6, 0\}$

Number of Edges by Degree

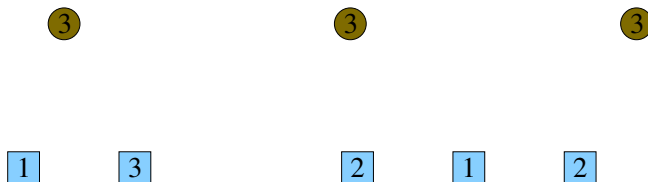
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$\{2, 4, 6, 0\}$

Number of Edges by Degree

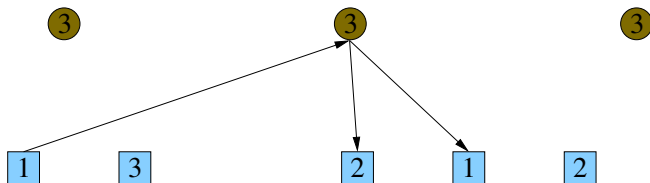
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$$\{2, 4, 3, 0\}$$

Number of Edges by Degree

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Number of Edges by Degree

# Peeling Style Analysis of LDPC Codes (Luby et al.)

3

3

3

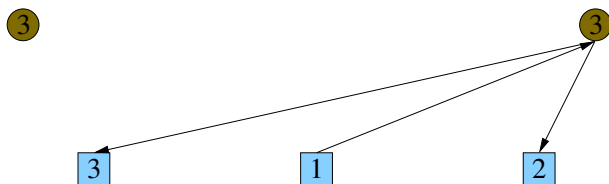
1

2

 $\{1, 2, 3, 0\}$ 

Number of Edges by Degree

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Number of Edges by Degree

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3

2

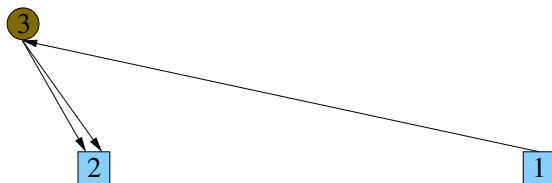
1

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Number of Edges by Degree



# Peeling Style Analysis of LDPC Codes (Luby et al.)



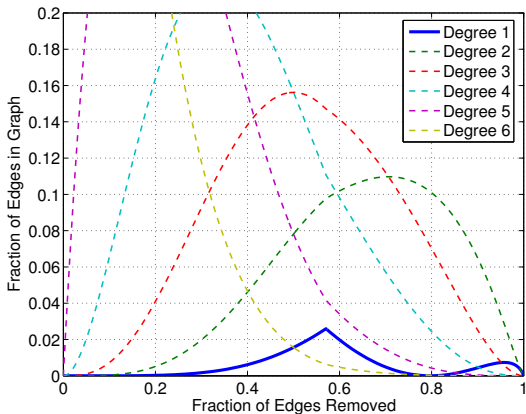
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Number of Edges by Degree

# Peeling Style Analysis of LDPC Codes (Luby et al.)

Decoding Successful

# Mean Trajectory for the (3,6) LDPC Code



- *Critical point* is where the fraction of deg. 1 edges is zero

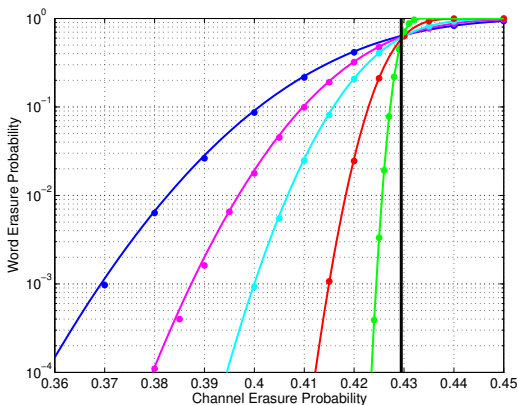
# Finite Length Scaling for LDPC Codes

- Refined analysis of peeling style decoding (Amraoui et al.)
  - Number of bit and check edges asymptotically Gaussian
    - Use differential equations to track the mean and covariance
  - Probability of block error versus block length  $n$  given by

$$P_B = Q\left(\frac{\sqrt{n}(p^* - \beta n^{-2/3} - p)}{\alpha}\right) + o(1)$$

- Exact in the limit as  $n \rightarrow \infty$  with  $\sqrt{n}(p^* - p)$  held constant
- Parameters defined in the neighborhood of the critical point
  - $\alpha$  related to std. dev. of number of degree 1 edges
  - $\beta$  related to width of parabola at the critical point

# Scaling Results for (3,6) LDPC



- Parameters:  $p^* = 0.42944$ ,  $\alpha = 0.56036$ , and  $\beta = 0.61695$
- Block length:  $n = 1024, 2048, 4096, 16384, 131072$
- Outer code assumed to eliminate small stopping sets

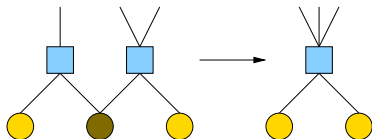
# Covariance Evolution for LDPC Decoding

- Bit Regular Decoding
  - Assume we start with  $n$  check edges
  - Let  $X_{n,i}^{(j)}$  be the number of deg.  $j$  check edges after  $i$  steps
  - Number check edges of each deg. is a Markov process
- Phase 1: Remove  $(1 - p^*)n$  edges for known bits
  - Pick random edge  $\sim X_{n,i}^{(j)}/(n - i)$
  - If deg.  $k$ , replace  $k$  deg.  $k$  edges with  $k - 1$  deg.  $k - 1$  edges
  - Differential eq. for mean and covariance (Amraoui et al.)
- Phase 2: Remove  $(t_{crit} - 1 + p^*)n$  edges for decoding
  - Remove a degree 1 edge
  - Repeat  $d - 1$  times: Remove random edge as above
  - Differential eqns for mean and covariance (Amraoui et al.)
- Parameter  $\alpha$  given by the variance of degree 1 edges

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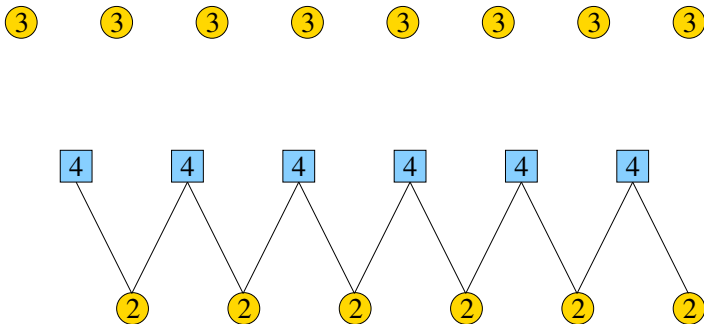
# Graph Reduction For IRA Codes



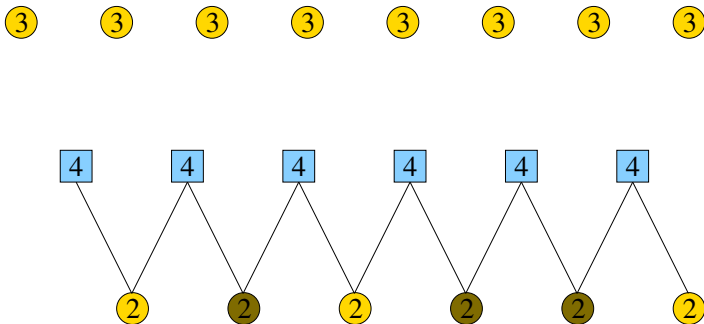
- *Graph reduction* removes all code bits from the graph
  - Peeling style decoding removes all known code bits
  - Merging check nodes removes all erased code bits
    - Equivalent to summing check equations to remove bit
- After graph reduction we have
  - A standard LDPC code with a modified check d.d.
  - Check d.d. is random and depends on erased code bits
- Straightforward generalization of scaling also possible
  - A *degree vector* for each node, but complexity increased



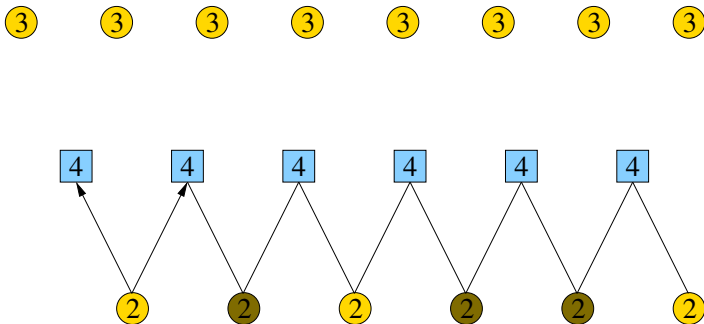
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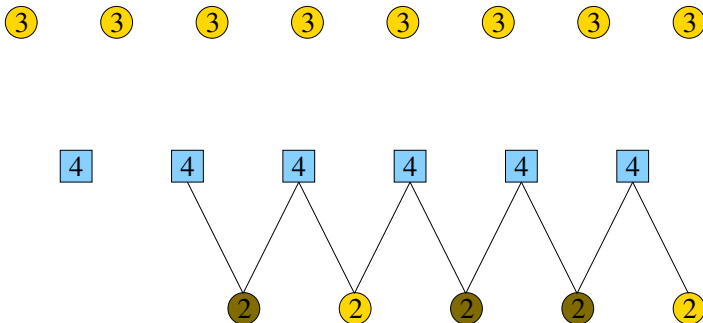
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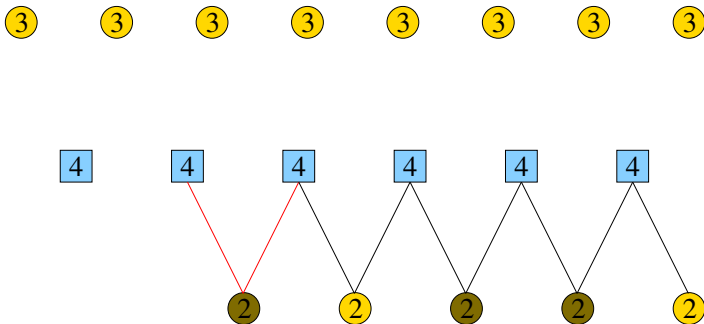
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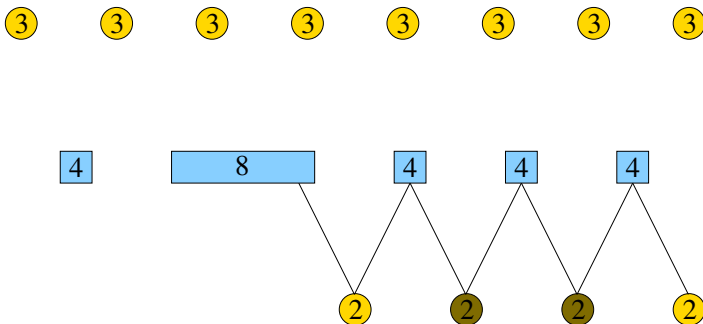
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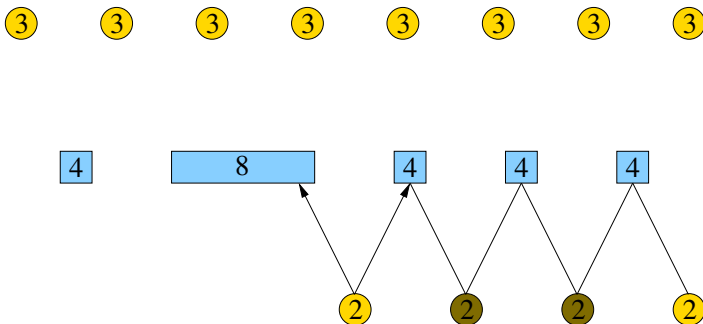
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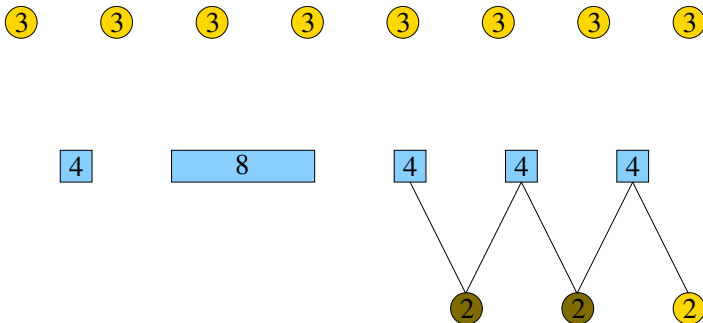
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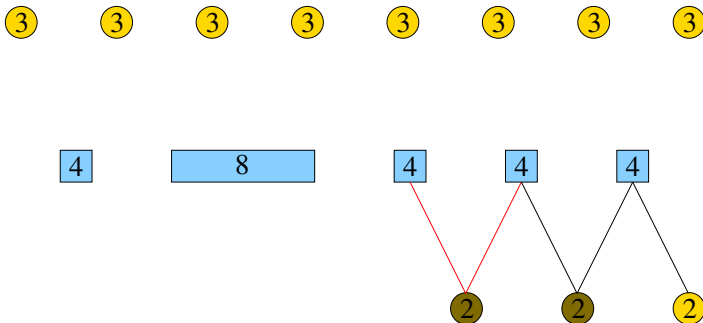


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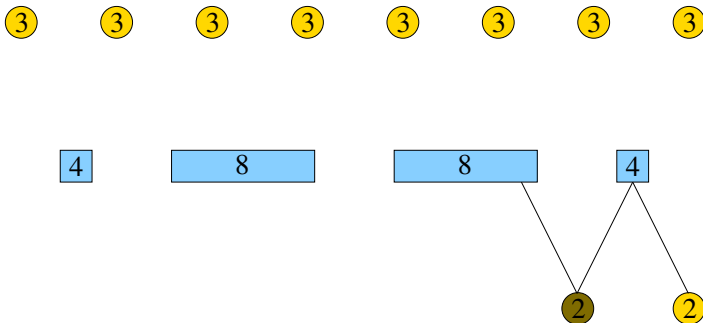




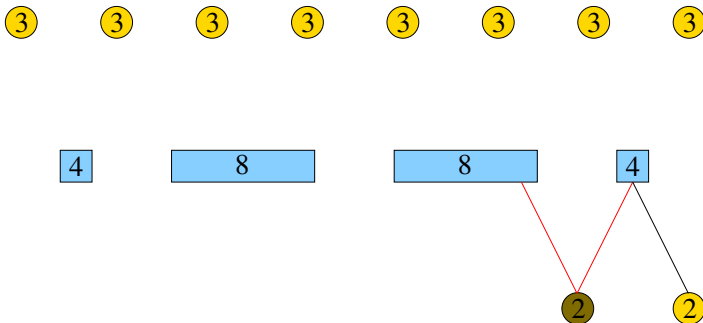
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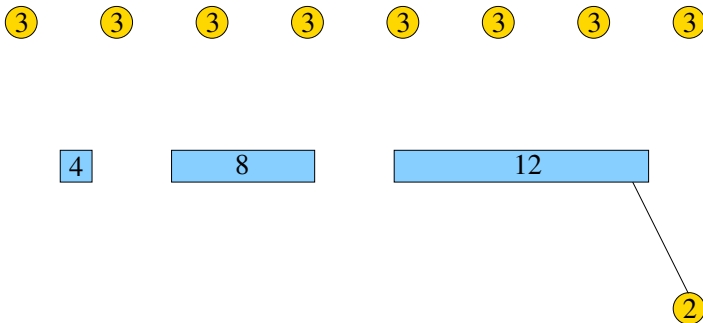
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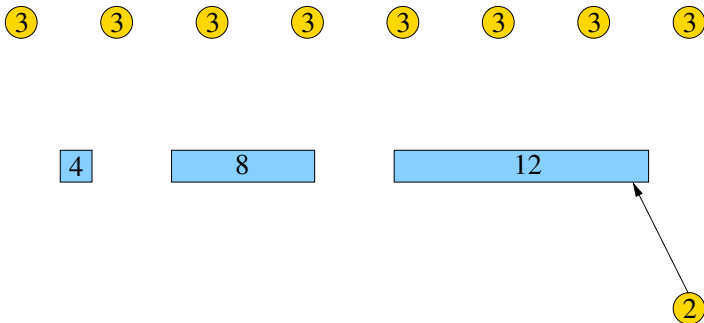
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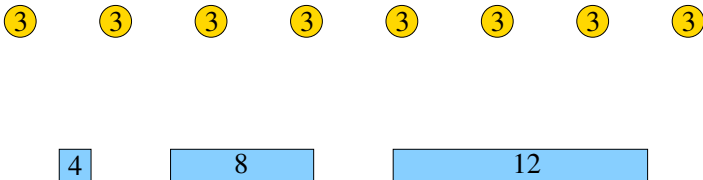
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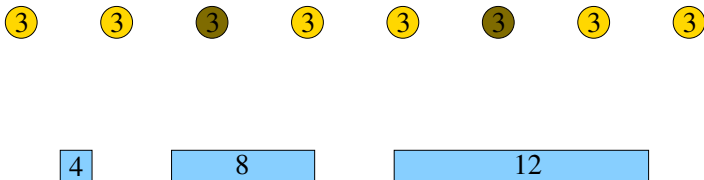
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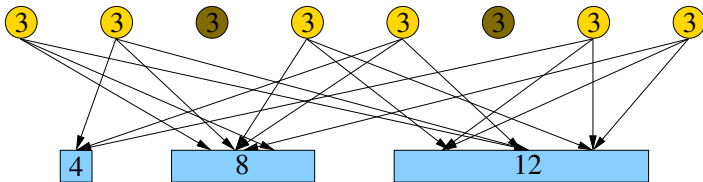
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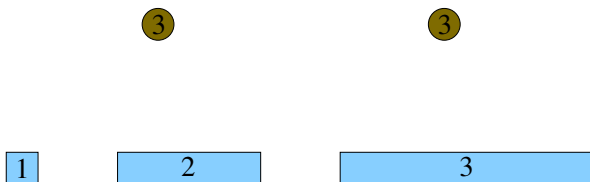


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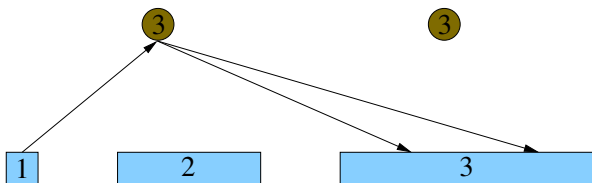




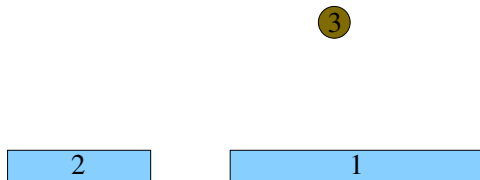
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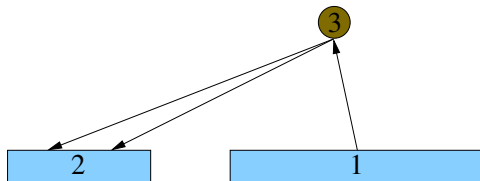
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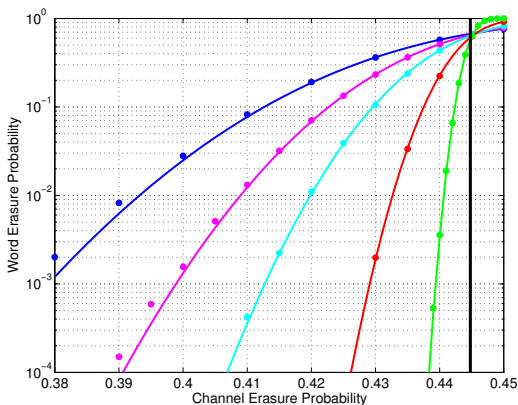
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# Peeling Style Analysis for IRA Codes

Decoding Successful

# Rate 1/2 Systematic (3,3) IRA Code



- Parameters:  $p^* = 0.44478$   $\alpha = 0.59588$   $\beta = 0.83874$
- Block length:  $n = 1024, 2048, 4096, 16384, 131072$
- Outer code assumed to eliminate small stopping sets

# Covariance Evolution for Graph Reduction

- Graph Reduction (starting with  $n$  checks)
  - Number check nodes of each deg. is a Markov process
  - State  $X_{n,i}^{(j)}$  is number of checks of degree  $j$  after  $i$  steps
  - $X_{n,0}^{(j)} = n R_j$  where  $R_j$  is the fraction of check nodes deg.  $j$
  - For each erasure, pick two checks and combine

$$Pr(\text{deg } j, \text{ deg } k \rightarrow \text{deg } j + k) = \frac{X_{n,i}^{(j)} X_{n,i}^{(k)}}{(n-i)(n-i)} + O\left(\frac{1}{n}\right)$$

- This is sufficient to apply the theorem of Amraoui et al.
- Conversion to edge perspective (to continue decoding)
  - Number of edges deg.  $j$  after  $i$  steps:  $Y_{n,i}^{(j)} = \frac{j X_{n,i}^{(j)}}{\sum_k k R_k}$

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# Approaching Capacity in Practice

- The biggest obstacle is the enormous block length required
  - Irregular LDPC codes limited by length, not complexity
  - Length  $10^7$  used for Chung's 0.04 dB from capacity result
- Block length vs. gap to capacity for iterative decoding?
  - First, need a capacity achieving sequence of ensembles
  - Second, need to pick a block length for each ensemble
  - Empirically: If length grows too slowly, performance is bad
- Two Approaches
  - Scaling law: Determine  $\{p^*, \alpha, \beta\}$  for c.a. sequence
  - Weight enumerator: Focus on low weight codewords

# Capacity-Achieving LDPC Codes for the BEC

- A seq. of codes is *capacity-achieving* (c.a.) on a channel
  - If DE converges for each code in the sequence
  - Sequence of code rates converges to channel capacity
- Complexity of iterative decoding
  - Proportional to number of edges in the graph
- Check regular c.a. sequence  $\{\lambda^{(k)}, \rho^{(k)}\}$  (Shokrollahi)
  - Let  $\rho^{(k)}(x) = x^k$  and  $\tilde{\lambda}^{(k)}(x) = \frac{1}{p} (1 - (1 - x)^{1/k})$
  - $\lambda^{(k)}(x)$  given by truncating series for  $\tilde{\lambda}^{(k)}(x)$  so  $\lambda^{(k)}(1) = 1$
  - Complexity grows like  $\ln \frac{1}{\varepsilon}$  for gap to capacity  $\varepsilon$

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# Capacity-Achieving IRA Codes for the BEC

- Density Evolution (Turbo style decoding):

$$x_{i+1} = \lambda \left( 1 - \left( \frac{1-p}{1-pR(1-x_i)} \right)^2 \rho(1-x_i) \right)$$

- Bit regular non-sys. IRA Ensemble  $\lambda(x) = x^2$  (deg. 3)

$$\rho(x) = \sum_{i \geq 1} \rho_i x^{i-1} = \frac{1 - (1-x)^{1/2}}{(1-p(1-3x+2(1-(1-x)^{3/2})))^2}$$

- Sequence of ensembles  $\{\lambda, \rho^{(M)}\}$  by truncation of  $\rho(x)$

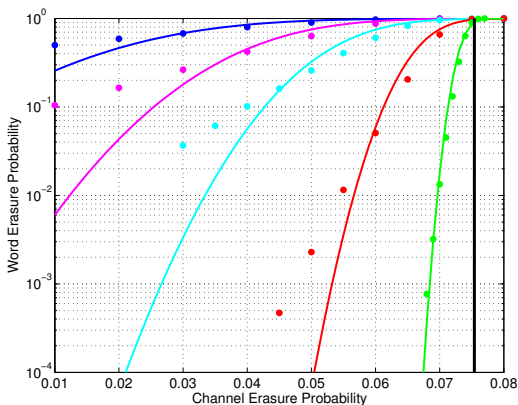
- where  $\rho_M(x) = \sum_{i=2}^{M-1} \rho_i x^{i-1} + \sum_{i=M}^{\infty} \rho_i x^{M-1}$
- Capacity achieving for  $p \leq 1/13$
- Complexity converges to  $3 + \frac{2}{1-p}$  and  $\varepsilon = O(M^{-1/2})$

# Scaling for Capacity-Achieving IRA Sequence

Code	$\gamma$	Rate	$p^*$	$\alpha$	$\beta$
IRA M=20	.0019	.9126	.0754	.4122	2.938
IRA M=30	.0021	.9173	.0754	.4842	4.079
IRA M=40	.0020	.9194	.0754	.5684	5.462
IRA M=50	.0019	.9206	.0753	.6577	7.017
IRA M=60	.0017	.9214	.0753	.7491	8.737

- Bit regular (degree 3) c.a. non-systematic IRA codes
- Design rate = 0.925,  $\gamma$  = fraction of sys. bits transmitted
- Parameter  $\alpha$  rising slowly, but  $\beta$  rising quickly
  - Need bounds  $\bar{\alpha}_M, \bar{\beta}_M$  on  $\alpha, \beta$  as a function of  $M$
  - Then, choose  $n_M$  so  $\bar{\alpha}_M n_M^{-1/2}$  and  $\bar{\beta}_M n_M^{-2/3}$  are bounded

# Capacity-Achieving IRA Sequence M=40



- Parameters:  $p^* = 0.754$   $\alpha = 0.5684$   $\beta = 5.462$
- Block length:  $n = 1024, 2048, 4096, 16384, 131072$
- Real problem: **Scaling law convergence not uniform**

# Weight Enumerator (WE) Analysis

- An IRA encoder is the serial concatenation of a
  - Repeat code IOWE:  $A_{p,s}^{(rep)} = \binom{nR'(1)/3}{p} \delta_{s,3p}$
  - Parity code IOWE:  $A_{s,q}^{(par)} \leq \binom{n}{q} (R'(1))^q \frac{(\frac{1}{2}nR''(1))^k}{k!} \delta_{s-2k,q}$
  - Accumulate code CIOWE:  $A_{q,\leq w}^{(acc)} \leq \binom{n}{\lfloor q/2 \rfloor} \frac{w^{\lceil q/2 \rceil}}{\lceil q/2 \rceil!}$

$$\bar{A}_{p,\leq w}^{(IRA)} = \sum_{s,q} A_{p,s}^{(rep)} \frac{A_{s,q}^{(par)}}{\binom{nR'(1)}{s}} \frac{A_{q,\leq w}^{(acc)}}{\binom{n}{q}}$$

- Notice the  $R''(1)$  in  $A_{s,q}^{(par)}$ 
  - For this sequence, we find that  $R''(1) = \Theta(M^{1/2})$
  - For fixed  $n$ , we find  $d_{min} \rightarrow 0$  as  $M$  increases
  - For fixed  $M$ , we find  $d_{min} \geq n^{1/3-\epsilon}$  as  $n$  increases
  - Fixed input wt.,  $n = \Omega(M^{3/2})$  sufficient for  $d_{min} \geq n^{1/3-\epsilon}$



# Conclusions

- Block length vs. gap to capacity for iterative decoding
  - The real obstacle for capacity achieving codes
- Finite length scaling law
  - Has great potential for this problem
    - Problem A: Parameters require numerical methods
    - Problem B: Non-uniform convergence
    - Can we get upper/lower bounds on  $n$  instead?
- Weight Enumerator Analysis
  - Required to prove convergence to zero erasures
    - Gives lower bounds on  $n$
    - Needs refinement to prove  $d_{min} = \Omega\left(n^{1/3-\epsilon}\right)$